

**Seamless transition to geometrical optics concepts in  
a fully physical optics framework**

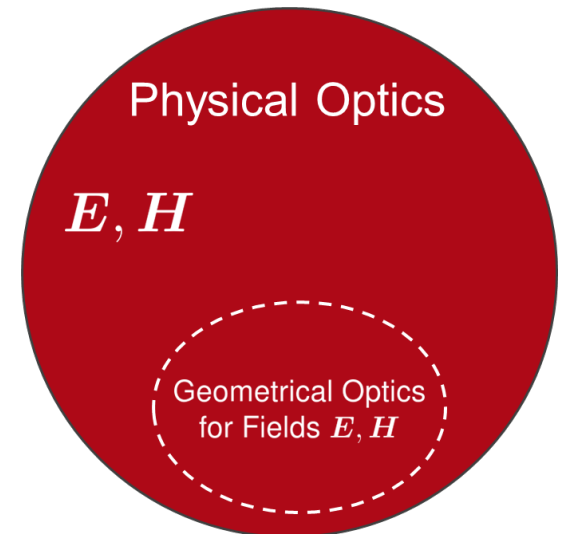
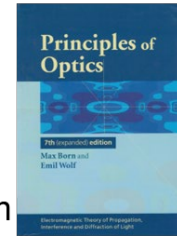
# Abstract

VirtualLab Fusion is a software product developed for multiscale optical simulations and design. It offers a platform that interconnects different simulation models, such as precise Maxwell solvers, like the Fourier Modal Method (FMM), and more approximate methods, including geometrical optics. The ability for various simulation models to work together requires that all methods utilize electromagnetic fields as both input and output. Therefore, integrating geometrical optics into a multiscale simulation framework necessitates developing a formulation of geometrical optics for electromagnetic fields. This document examines the theory, which is also applied within VirtualLab Fusion.

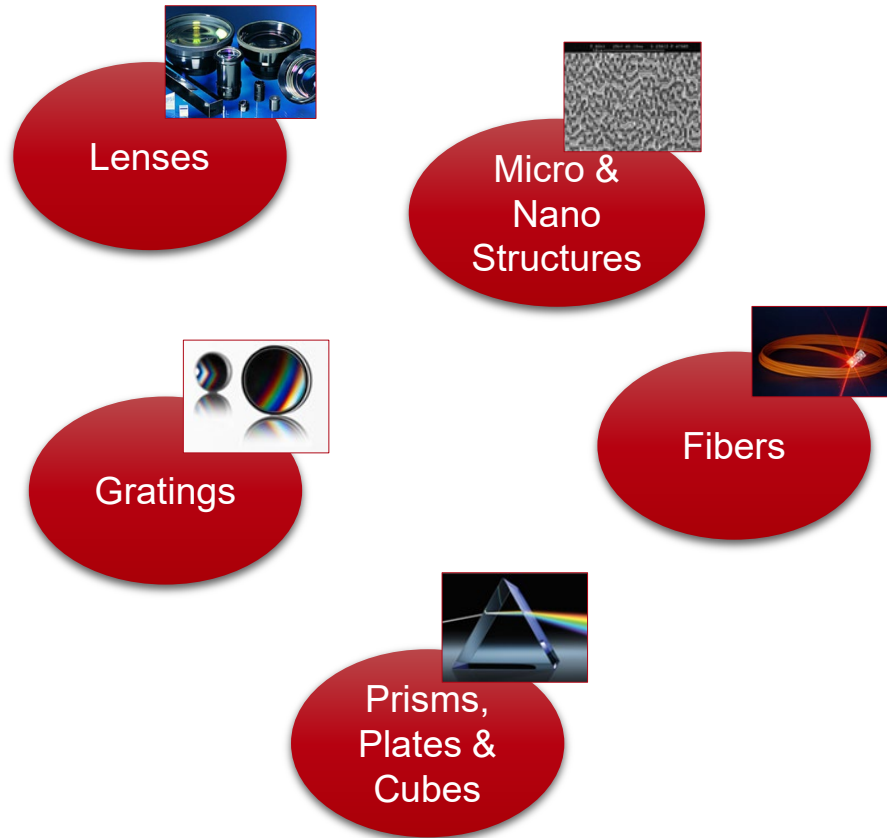
The document originates from a presentation delivered by Frank Wyrowski at SPIE Europe in April 2024.

We need to identify that part of physical optics, which deals with the “***geometrical laws relating to the propagation of the 'amplitude vectors'  $E$  and  $H$ .***”

Citation from  page 125



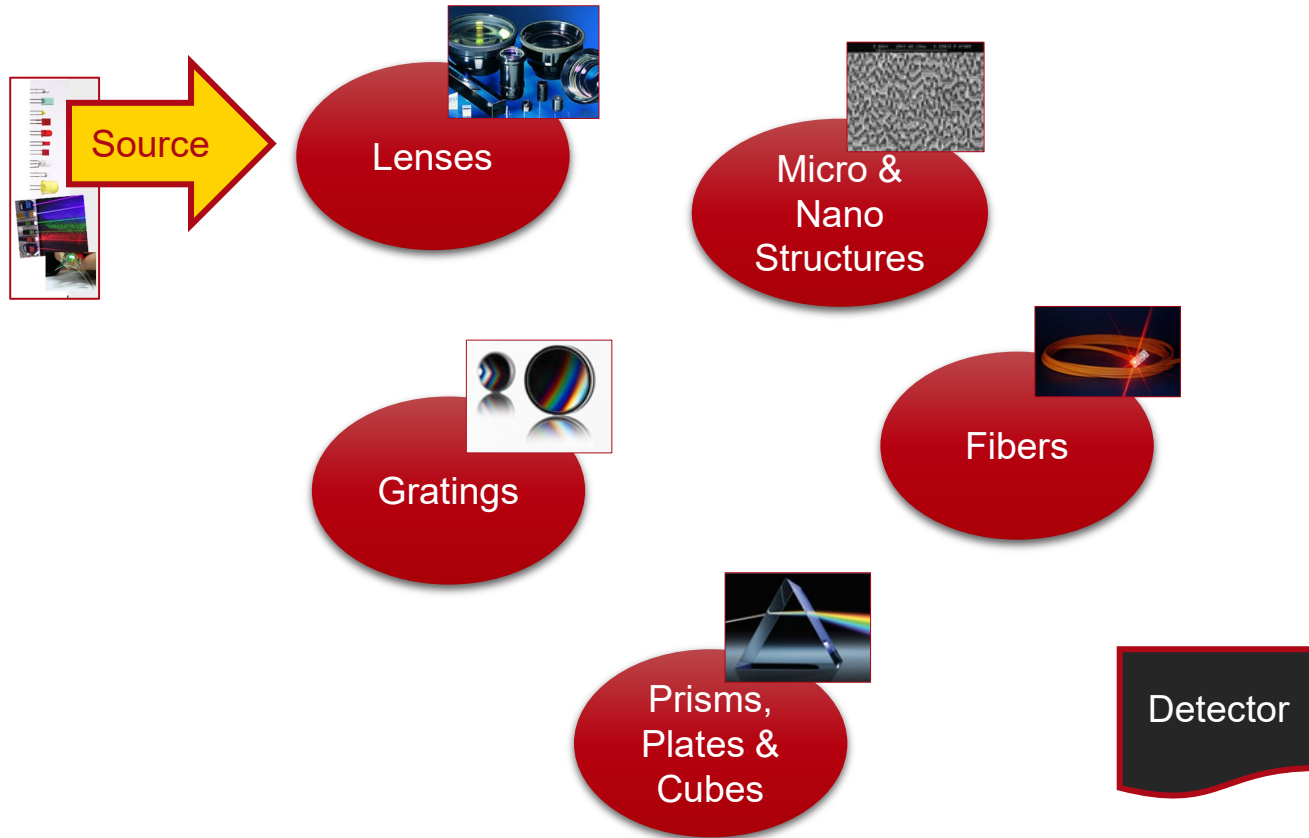
# Simulating Optical Systems



**Systems are composed of a continuously expanding variety of components**, such as lenses, freeform surfaces, Fresnel lenses, pancake lenses, GRIN lenses, metalenses, mirrors, gratings, diffractive optical elements (DOEs), crystals, apertures, prisms, cubes, fibers, scatterers, diffusers, micro lens arrays, and spatial light modulators (SLMs).

The simulation must be appropriate for a multiscale system configuration.

# Simulating Optical Systems

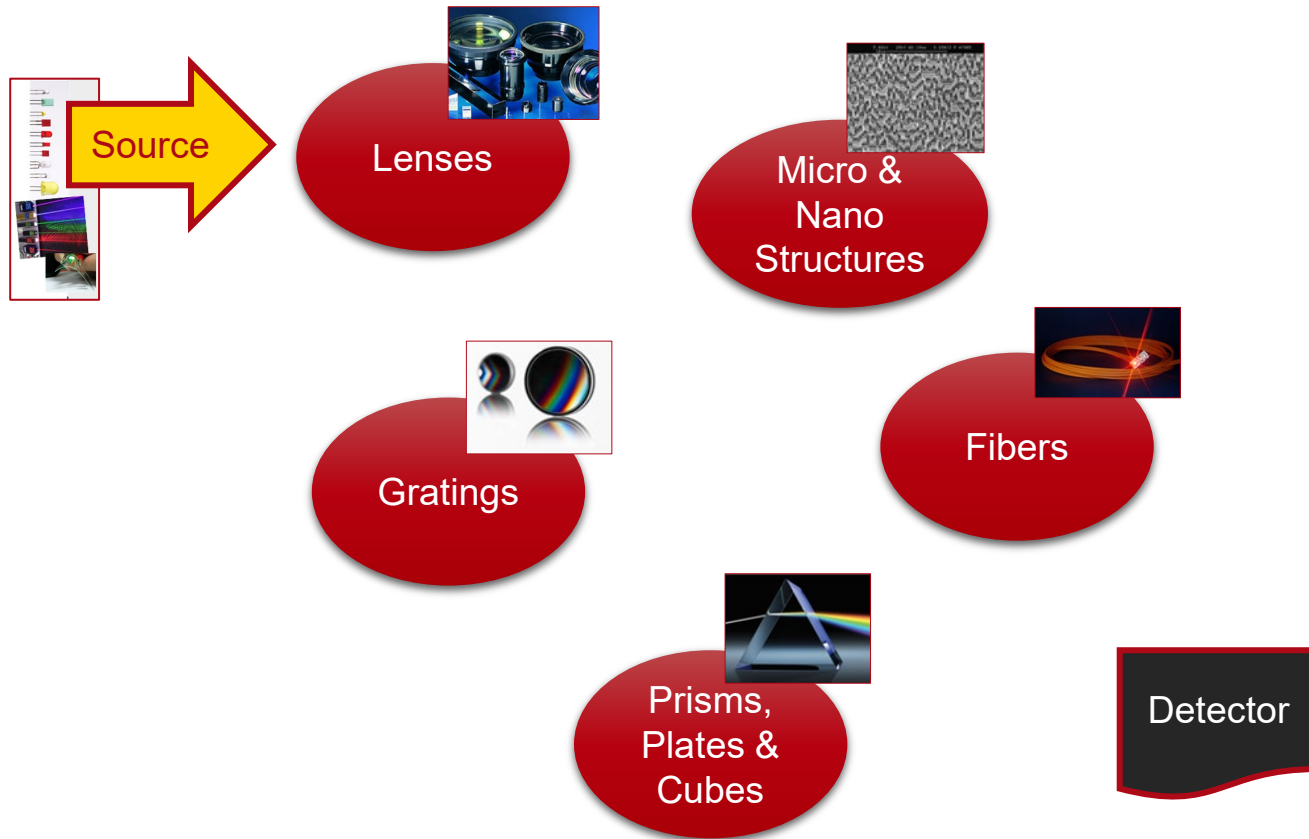


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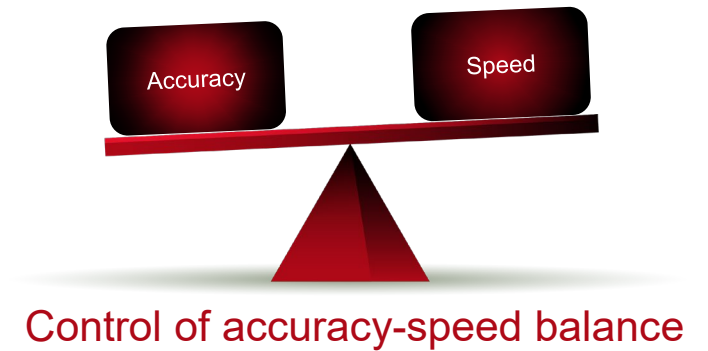
**The simulation should generate all required detector outputs**, such as aberrations, point spread function (PSF), modulation transfer function (MTF), beam characteristics, radiometry, photometry, colorimetry, and diagnostics for ultrashort pulses.

The simulation must be appropriate for a multiscale system configuration.

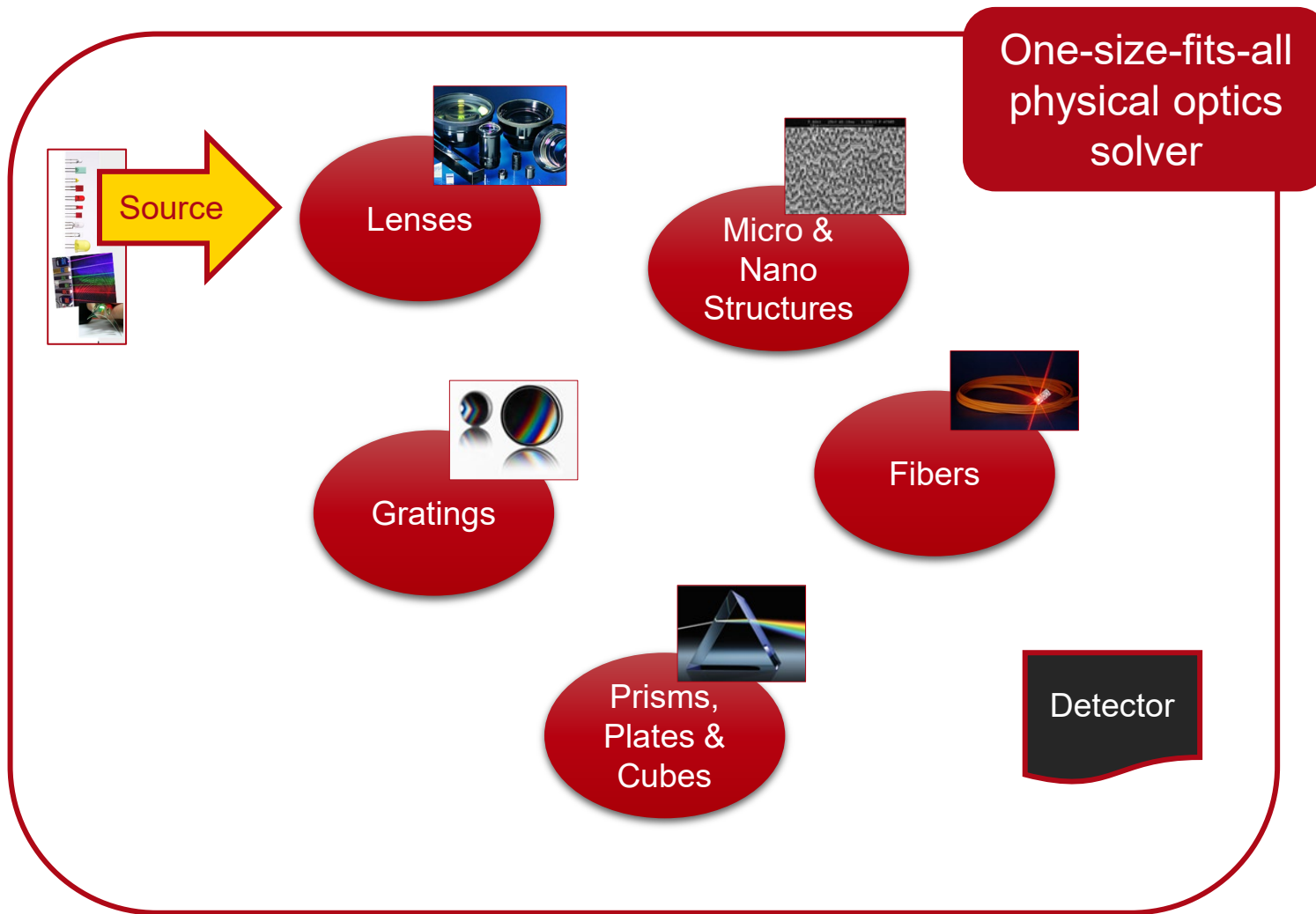
# Finding the Right Balance Between Accuracy and Speed



Simulations should aim to achieve the **necessary level of accuracy** while also being **computationally efficient**.

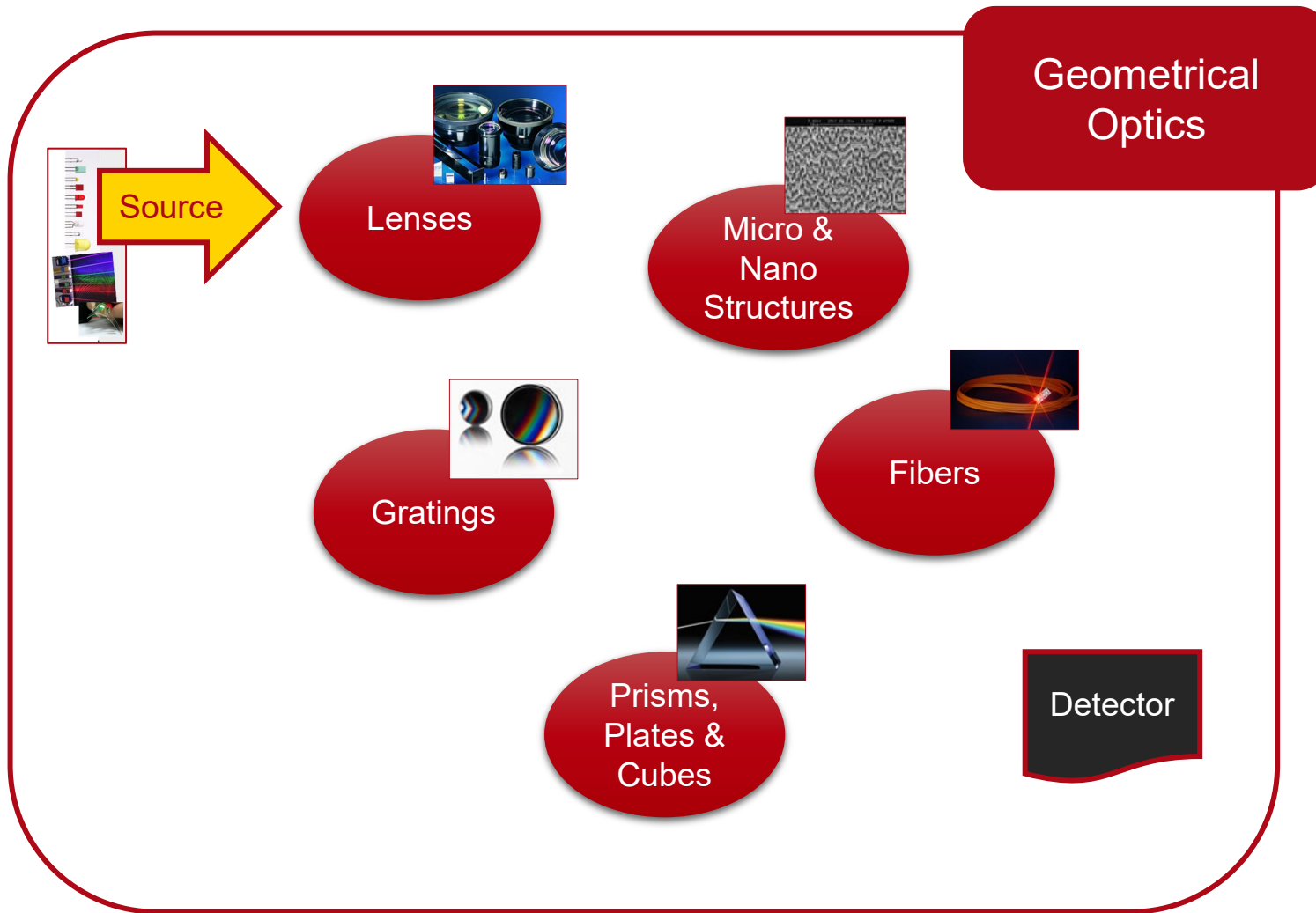


# Simulating Optical Systems: Universal Solver



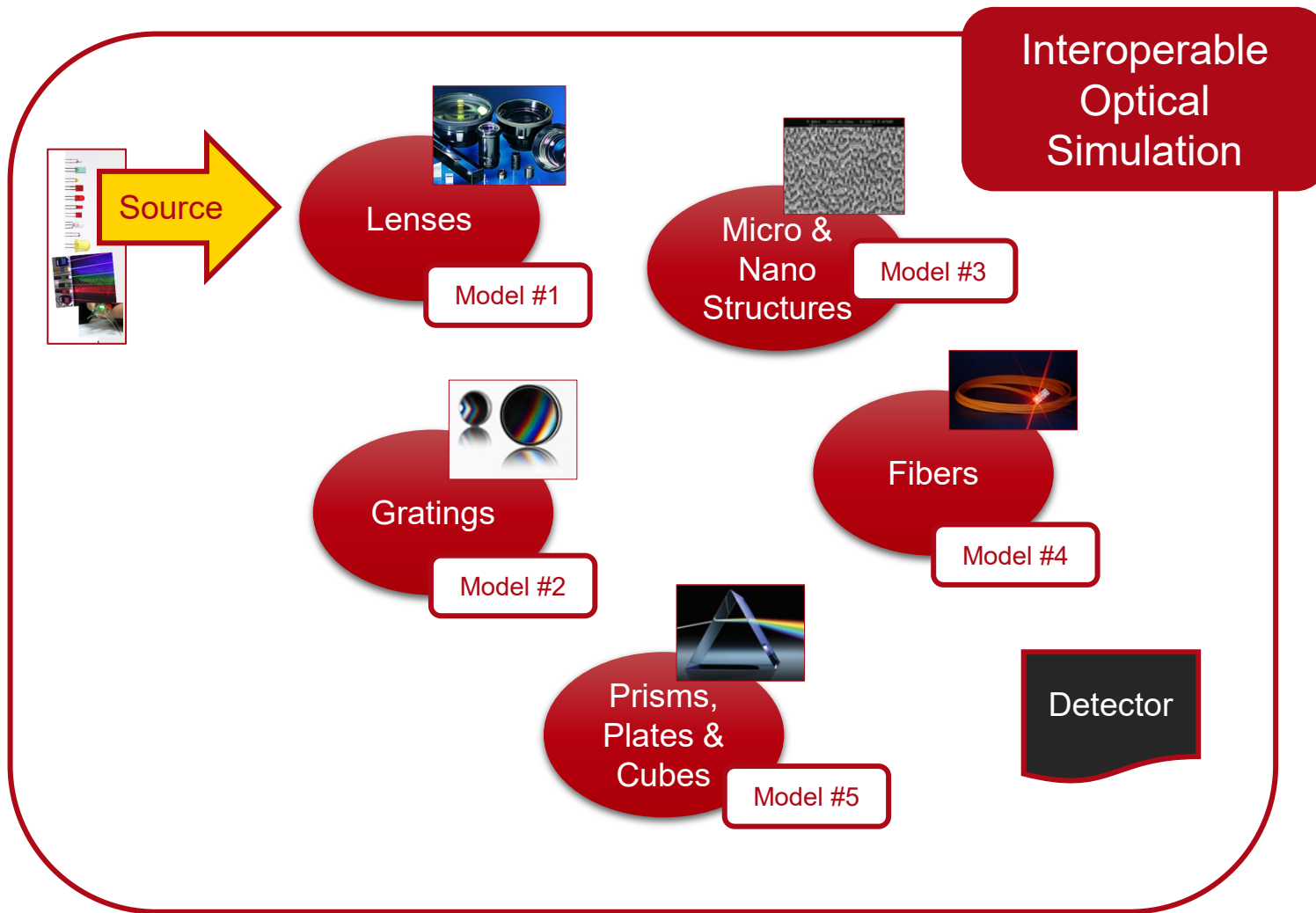
- Solvers that can be used for solving Maxwell's equations universally include methods such as:
  - Fourier Modal Method (FMM),
  - Finite Difference Time Domain Method (FDTD),
  - Finite Element Method (FEM).
- While these solvers are highly precise, they tend to be slow and demand significant computational resources.
- Their significance lies in their ability to simulate the impact of nanostructures.
- **Universal solvers are not appropriate for system simulation.**

# Simulating Optical Systems: Geometrical Optics



- Utilizing geometric optics in simulations often leads to quick results.
- It allows for the precise assessment of aberrations in lens systems.
- In general, the precision of geometrical optics is viewed as being moderate.
- **Geometrical optics is not sufficient to model the wide variety of optical systems.**

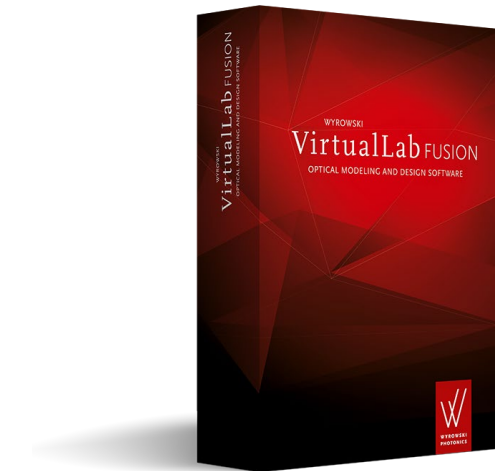
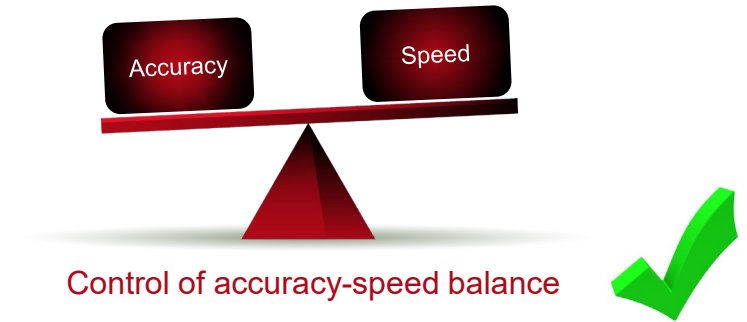
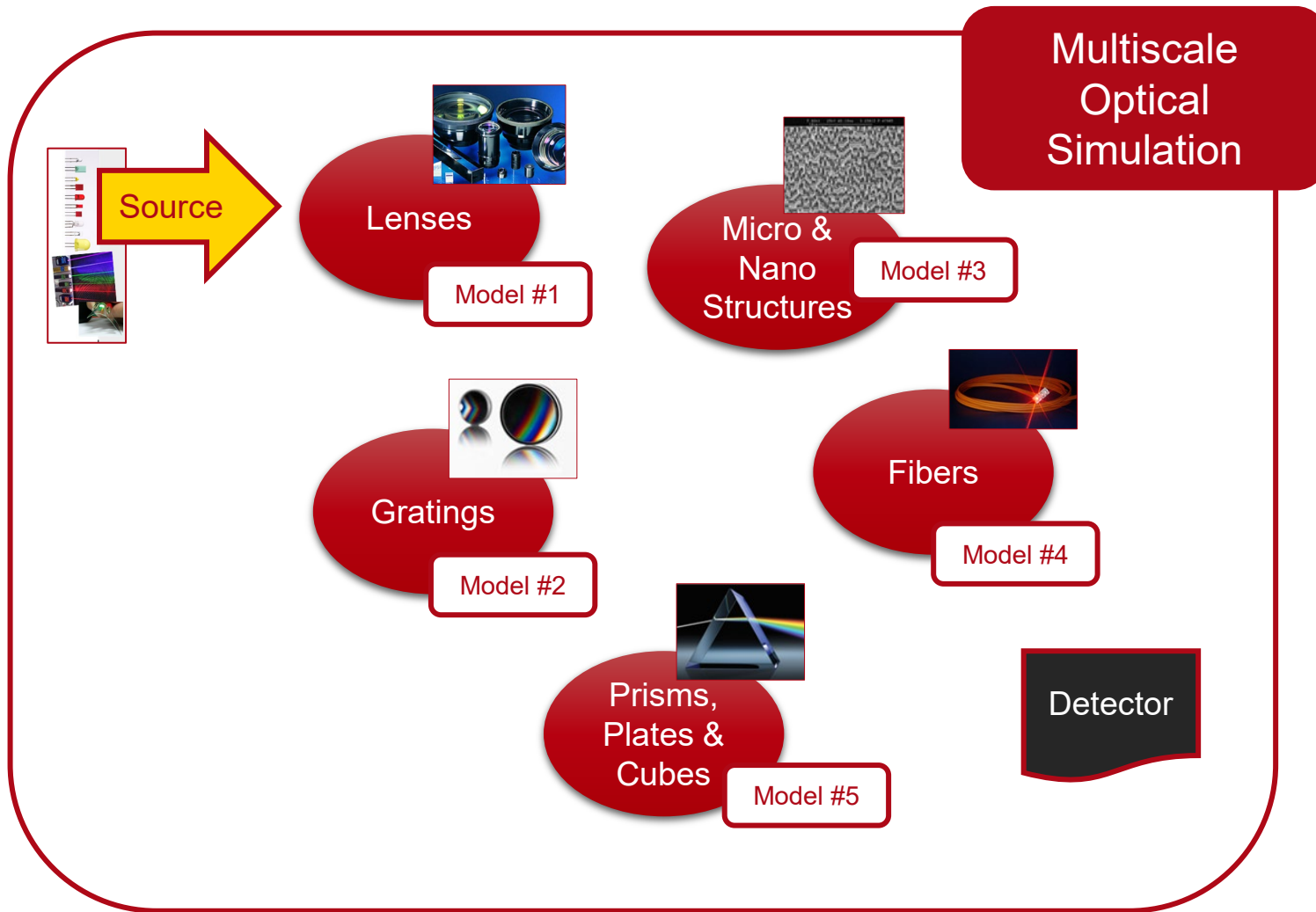
# Simulating Optical Systems: Combine Many Techniques



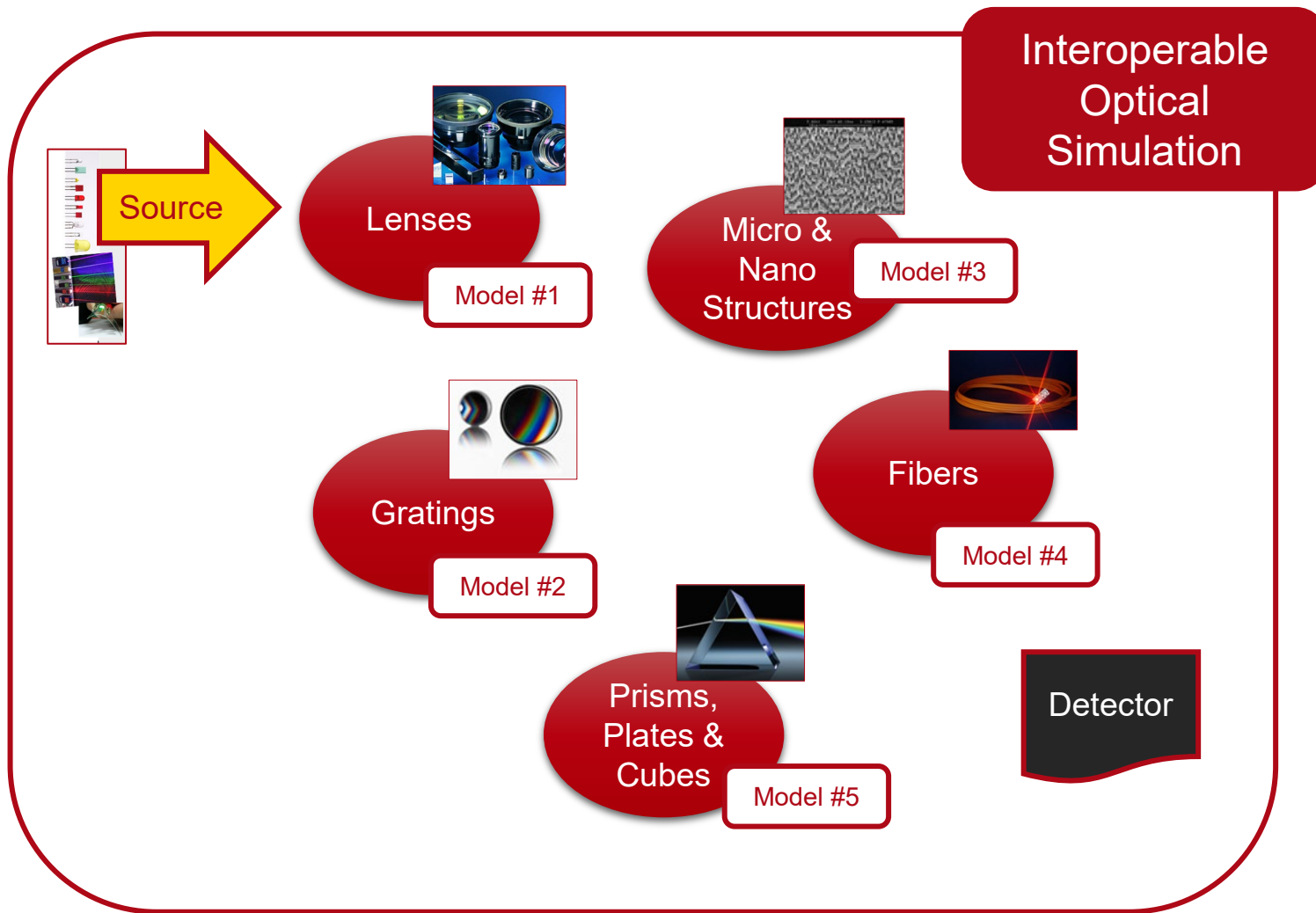
- Utilize customized simulation models for each component.
- Simulation models range from **geometrical optics** to **rigorous and simplified methods in physical optics**.
- For effective system modeling, it is crucial that all **methods are interoperable** with each other to enable seamless integration.



# Multiscale Optical Simulation by VirtualLab Fusion Software



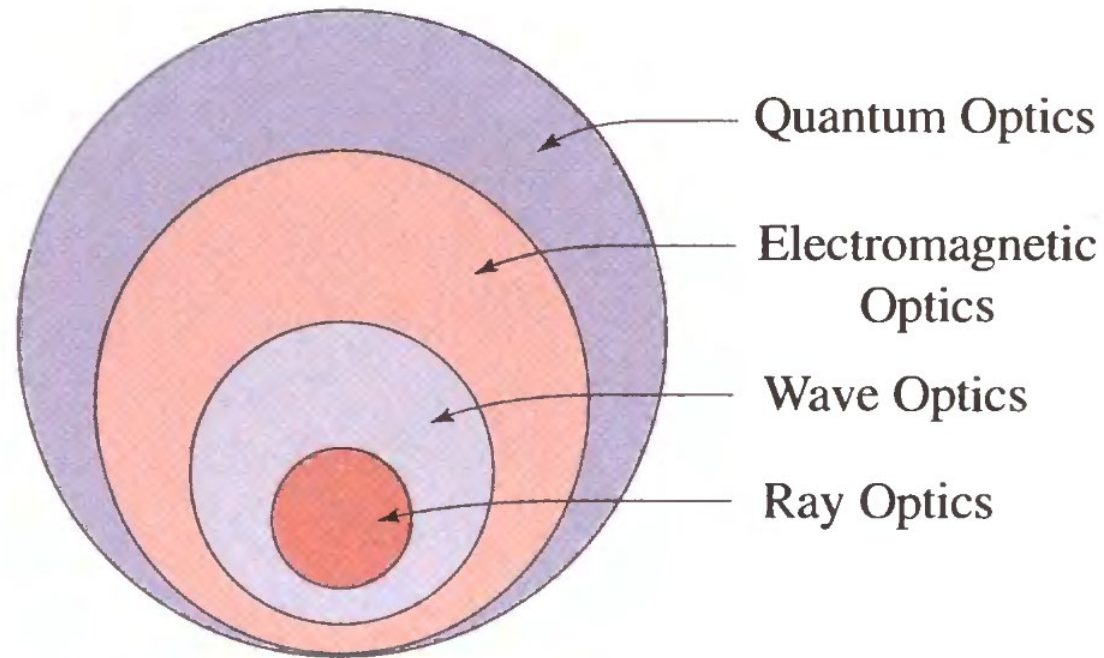
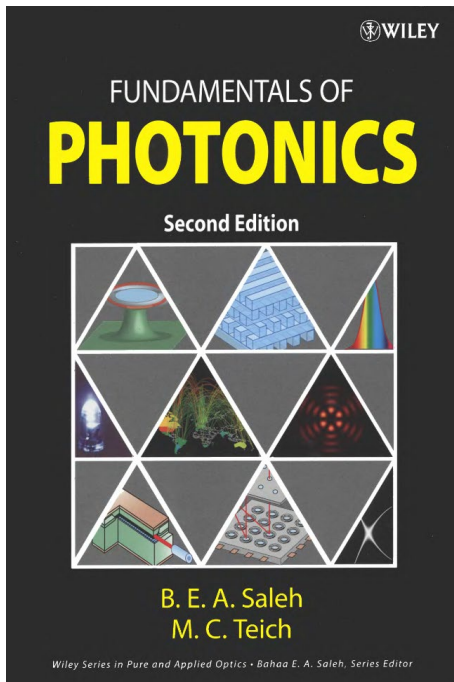
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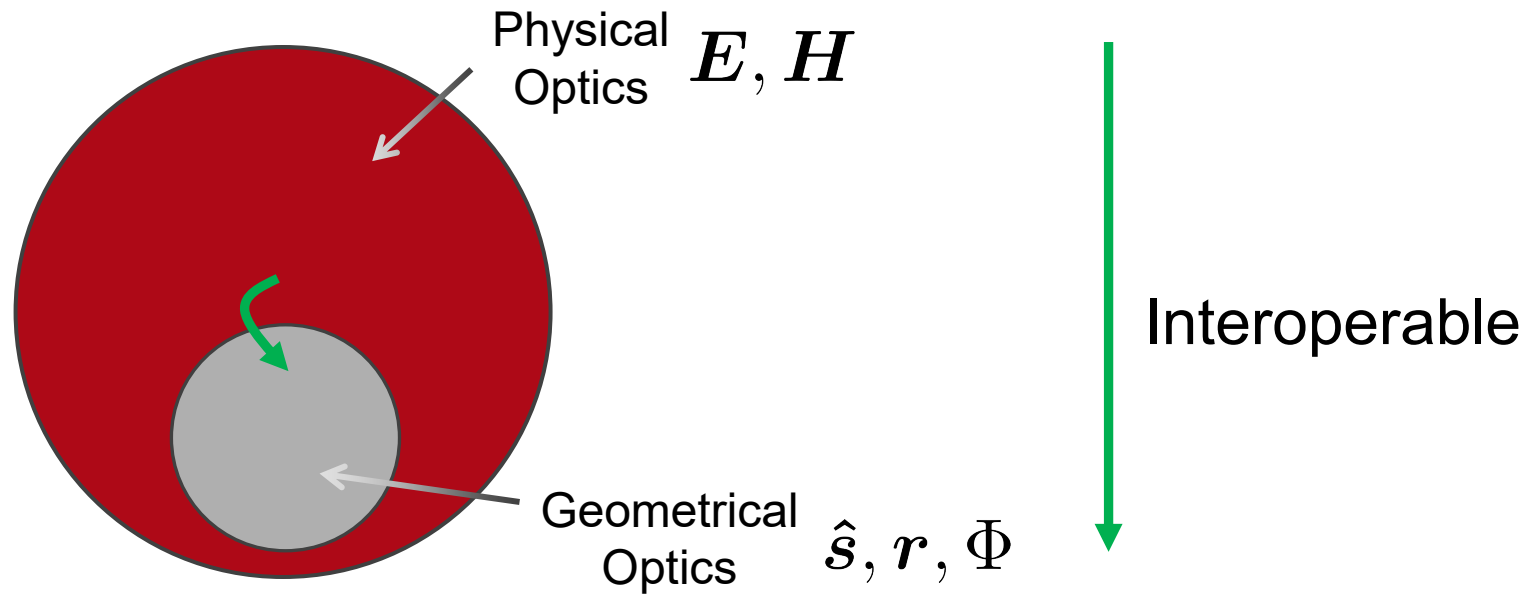
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Interoperability?

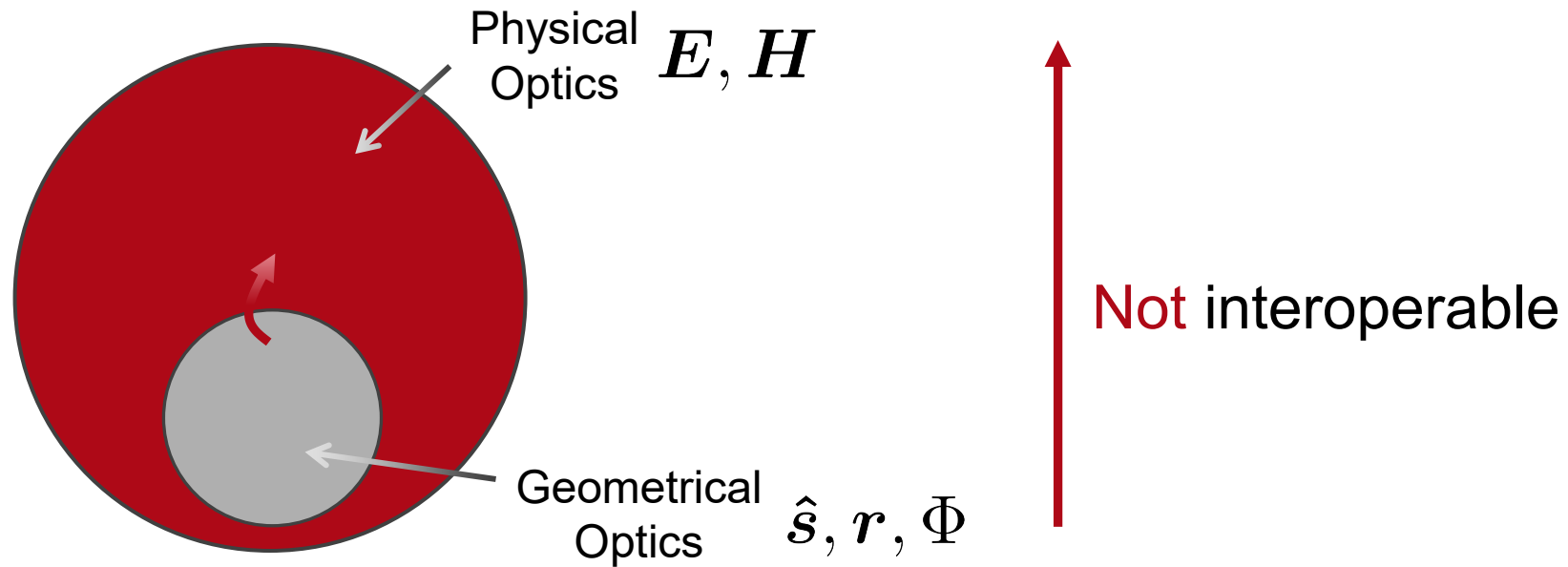
# Simulation Models and Light Representation



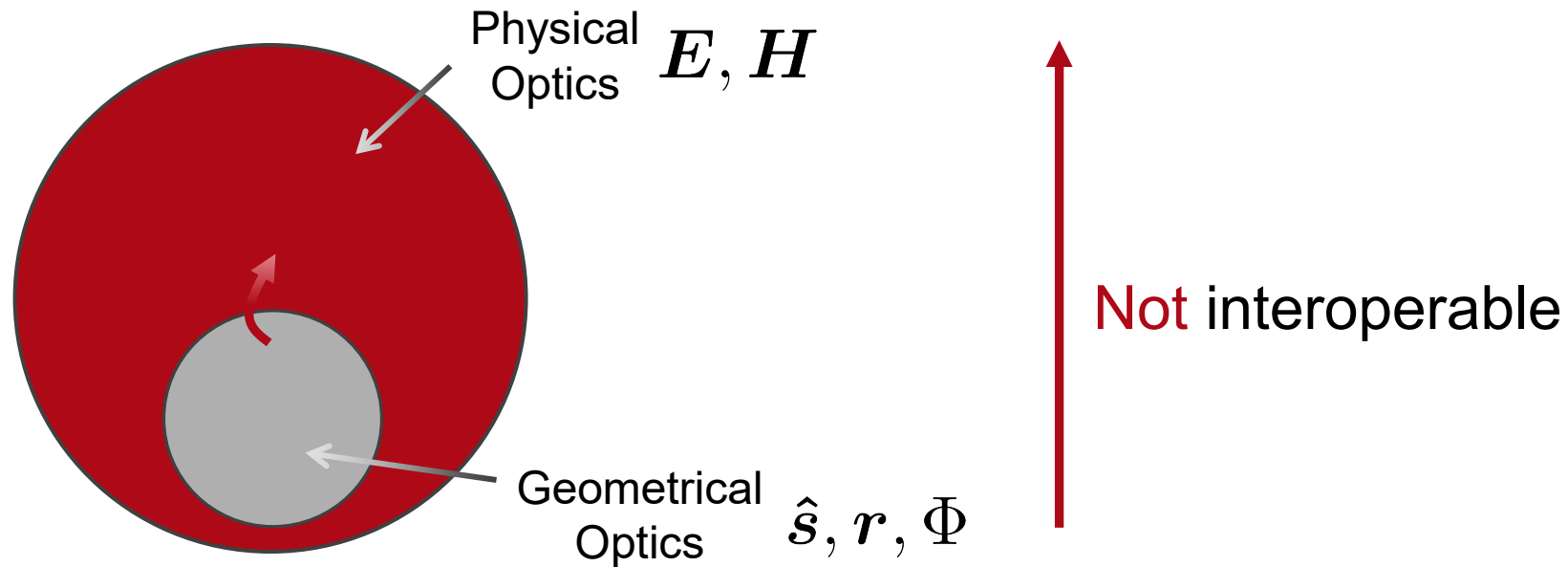
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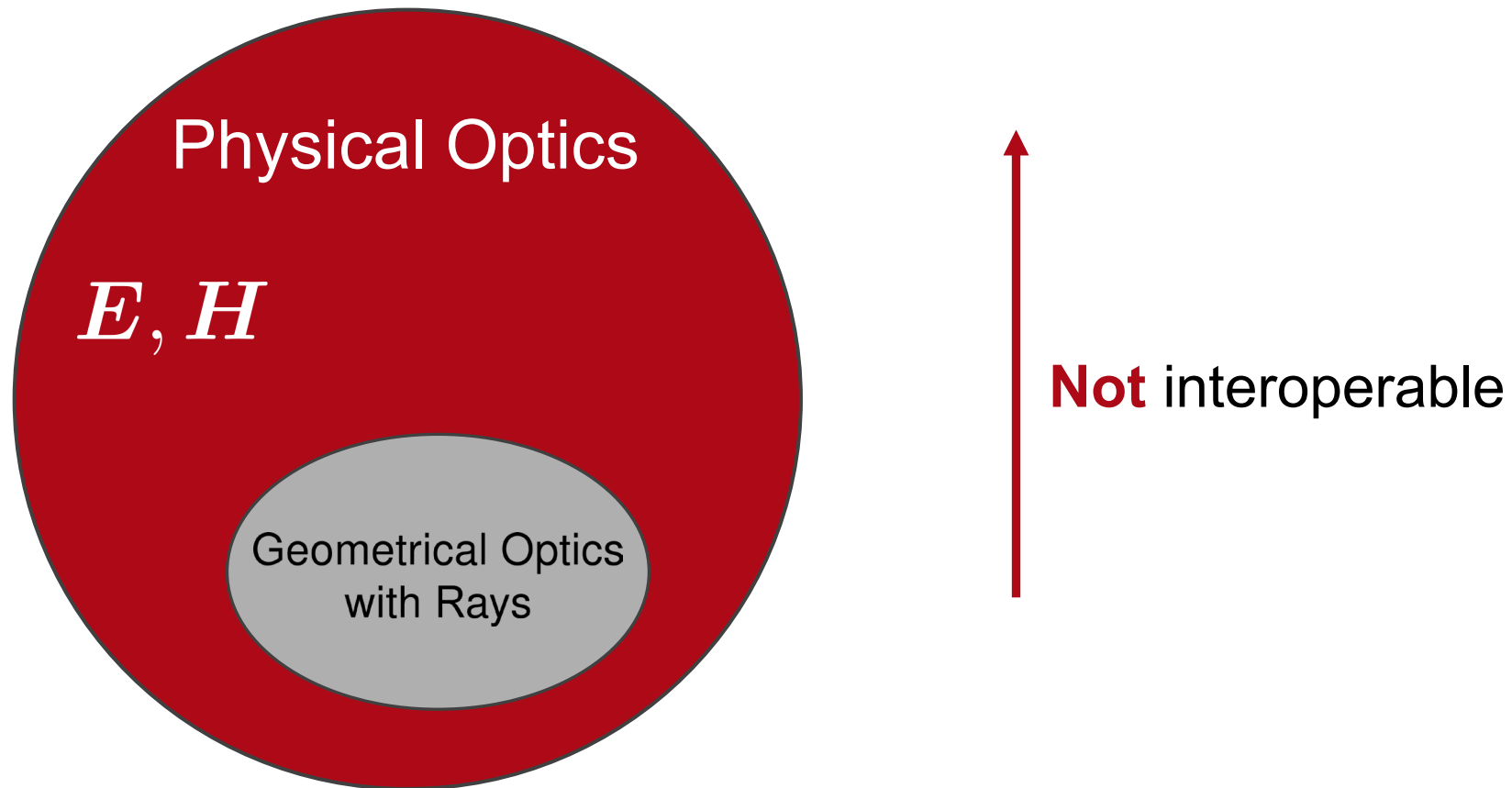
# Interoperable Simulation Requires Generalized Geometrical Optics



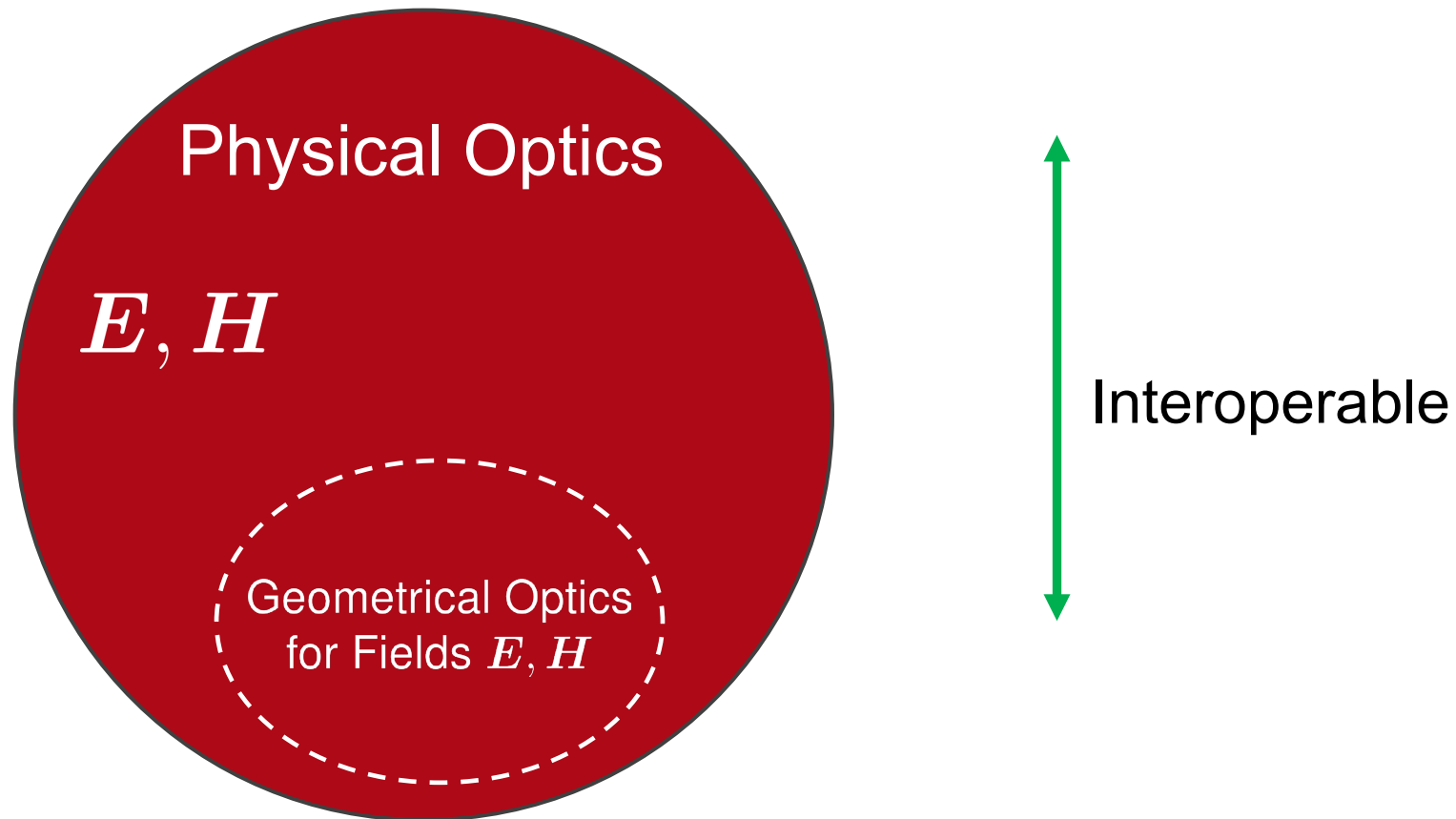
Geometrical optics for fields  $E, H$  required!

# Geometrical Optics for Electromagnetic Fields

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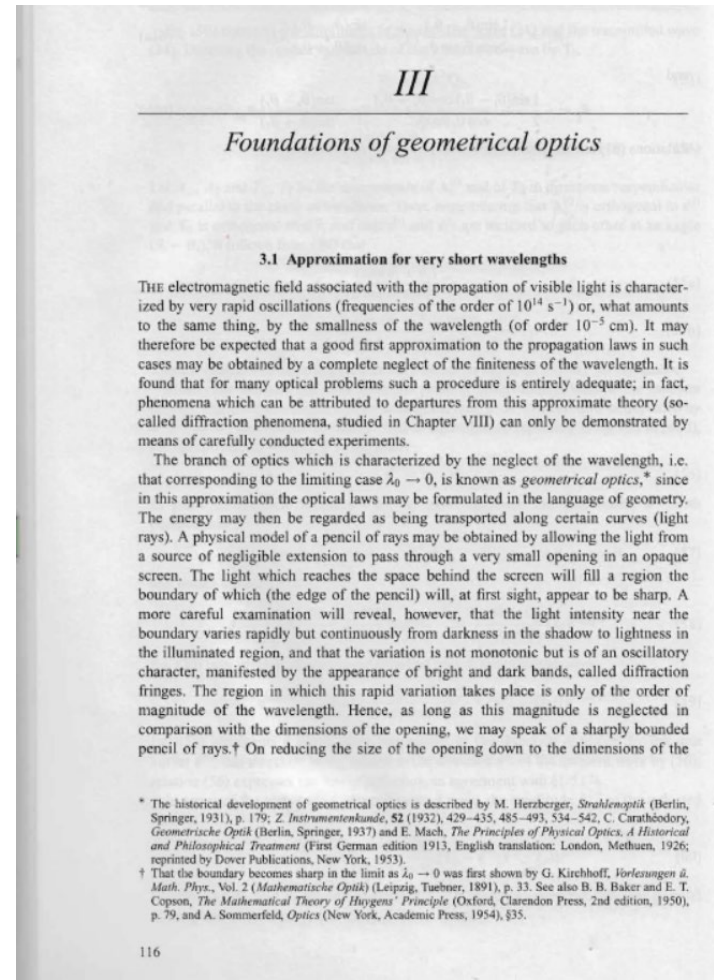
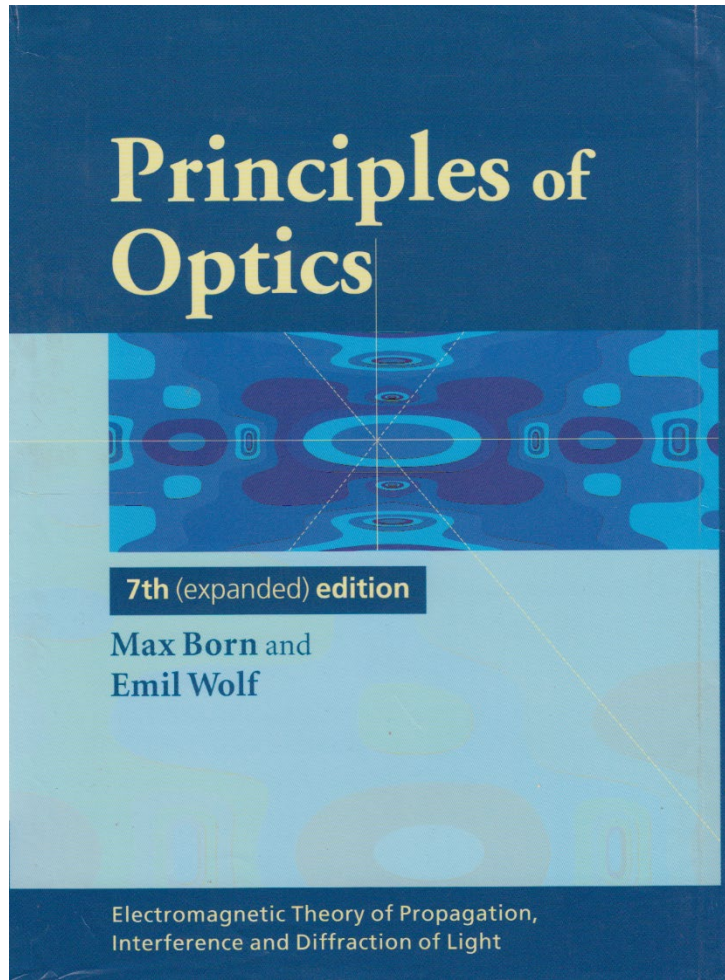


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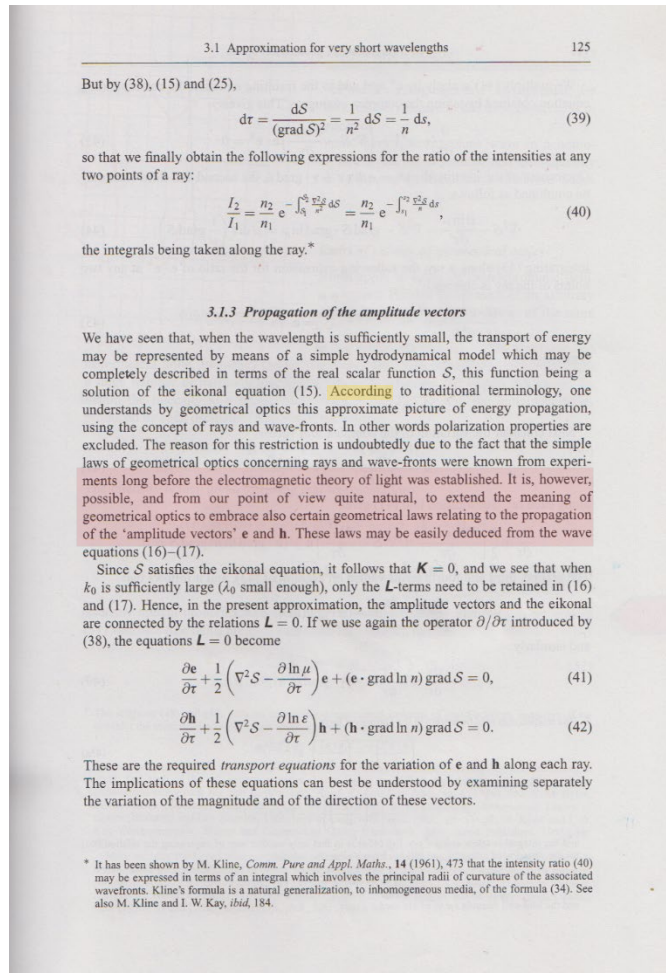




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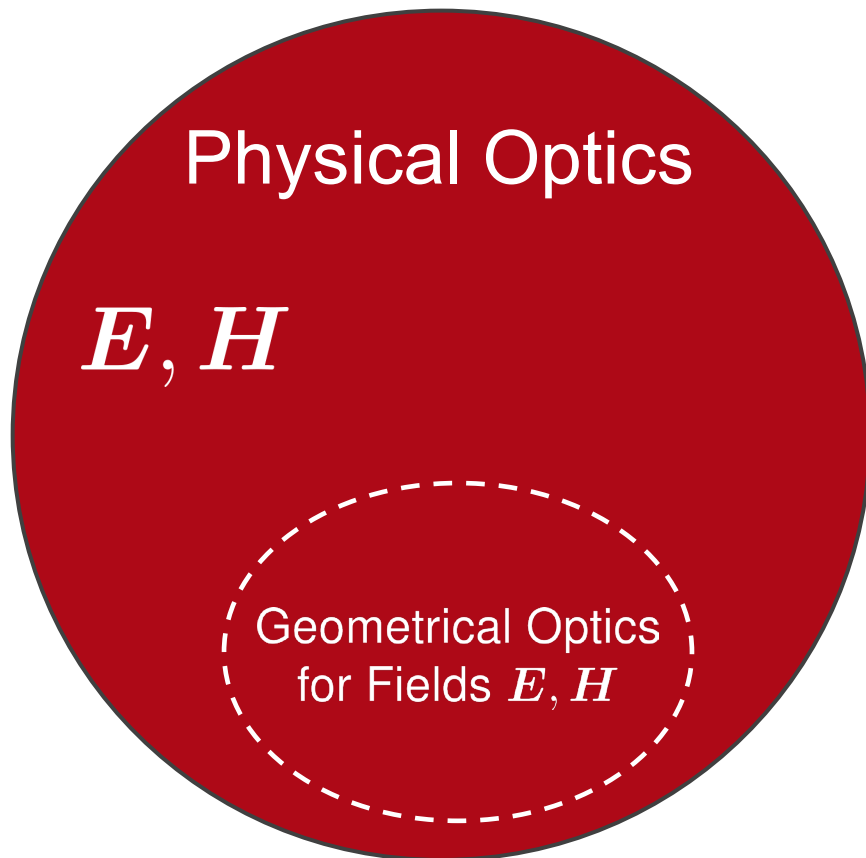
# Geometrical Optics for Electromagnetic Fields



page 125

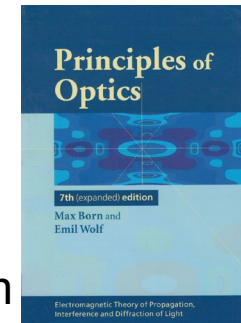
“According to traditional terminology, one understands by geometrical optics this approximate picture of energy propagation, using the concept of rays and wave-fronts. In other words polarization properties are excluded. The reason for this restriction is undoubtedly due to the fact that the simple laws of geometrical optics concerning rays and wave-fronts were known from experiments long before the electromagnetic theory of light was established. **It is, however, possible, and from our point of view quite natural, to extend the meaning of geometrical optics to embrace also certain geometrical laws relating to the propagation of the 'amplitude vectors'  $\mathbf{E}$  and  $\mathbf{H}$ .**”

# Geometrical Optics for Electromagnetic Fields



**We follow Max Born's  
and Emil Wolf's advice!**

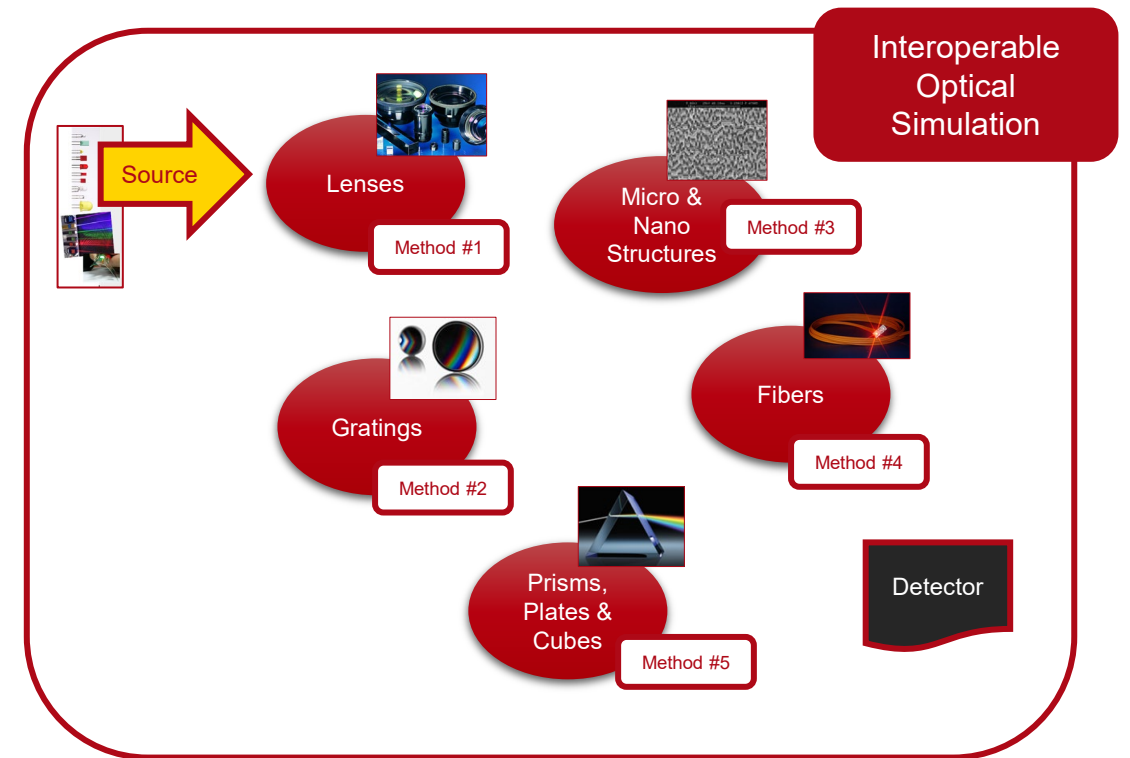
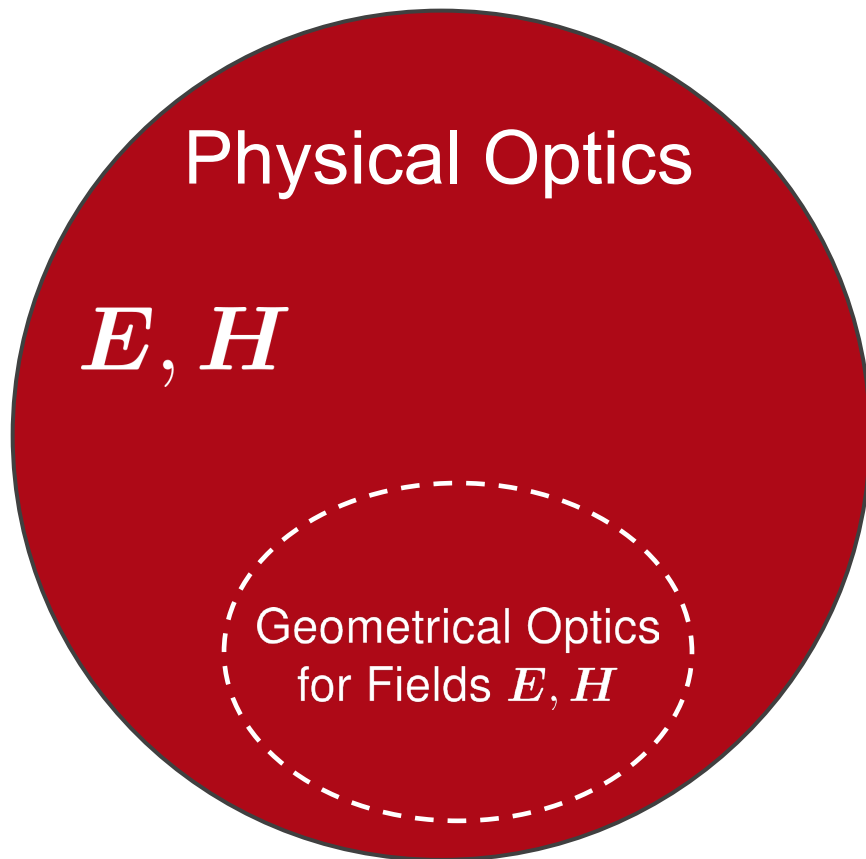
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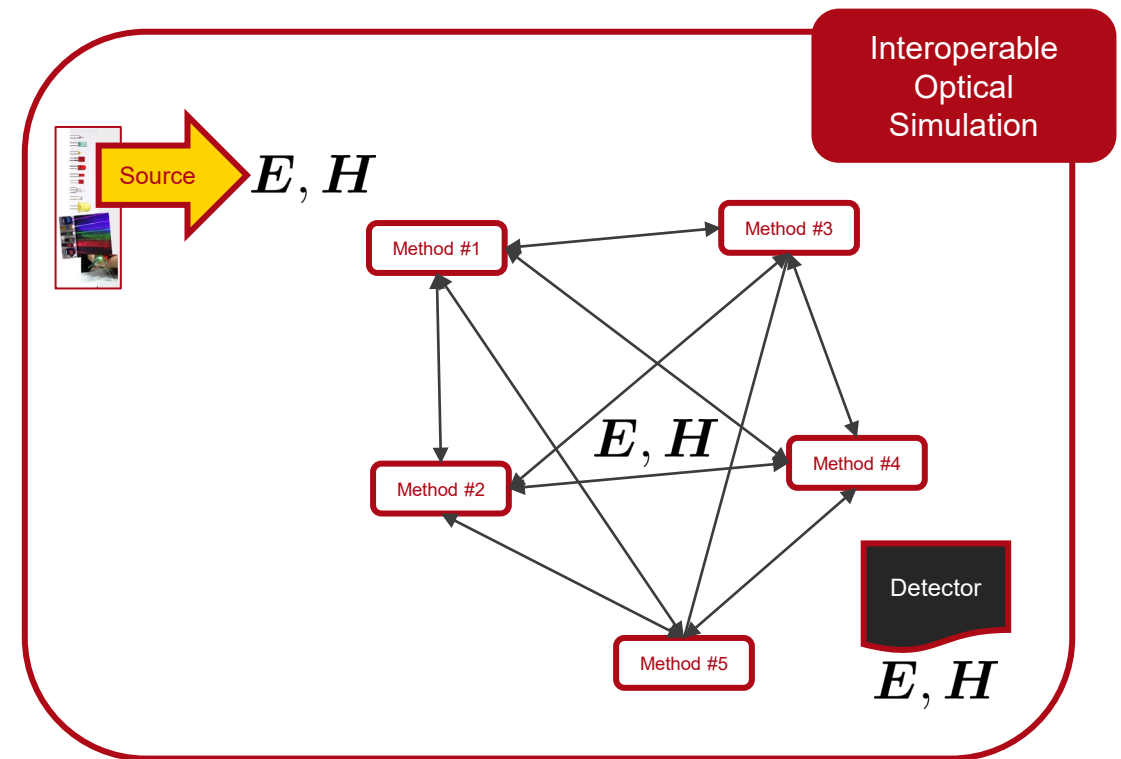
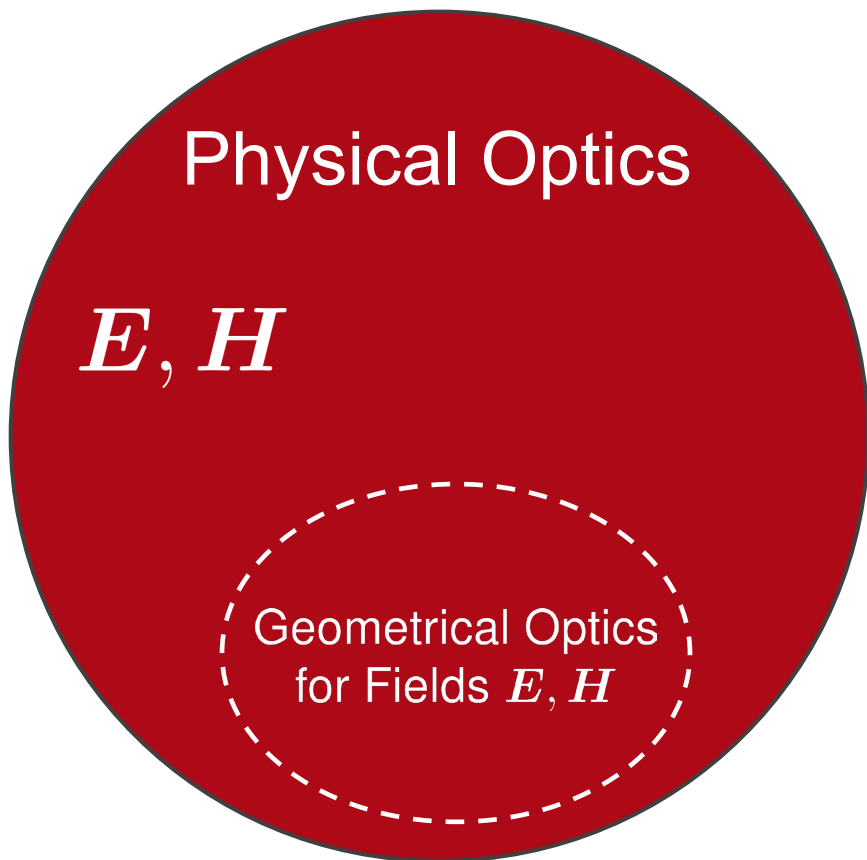
Citation from

page 125

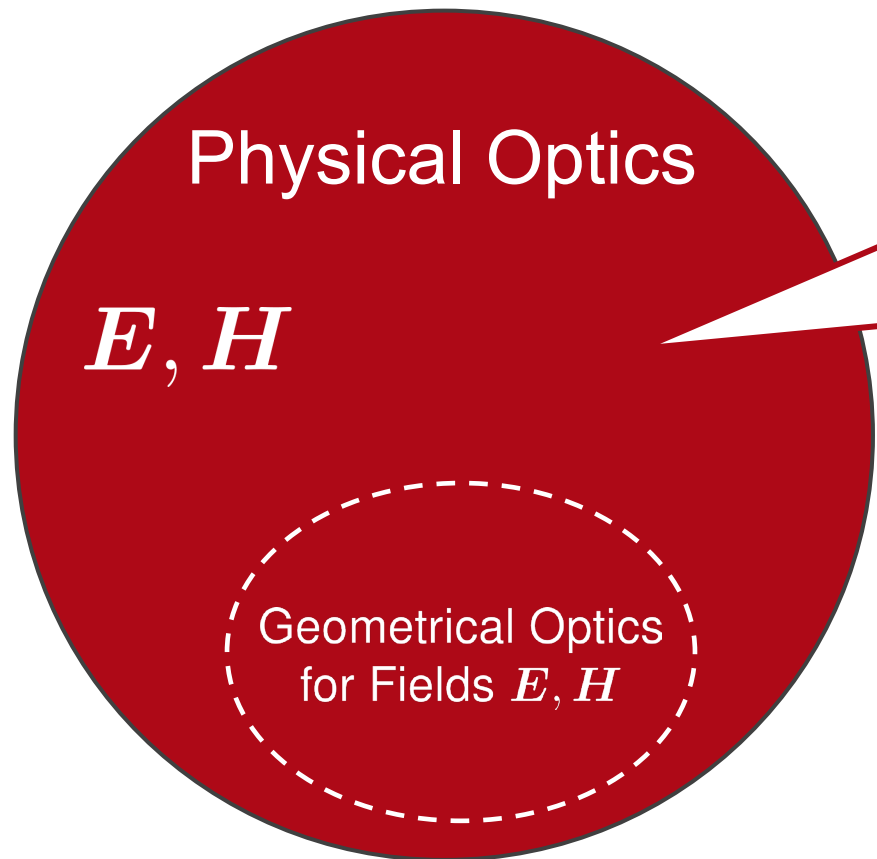
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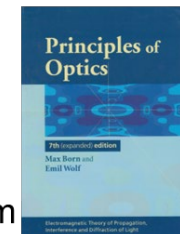


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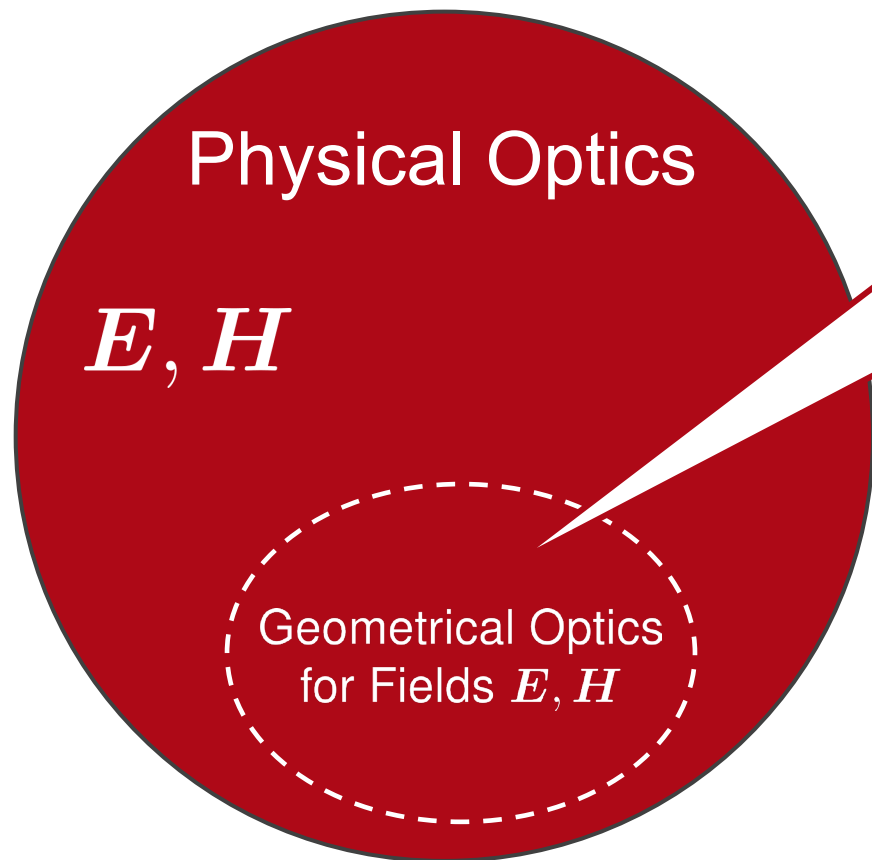
**Modeling of optical effects**, including, e.g., aberrations, energy redistribution, diffraction, scattering, interference, speckles, polarization, coherence, and spatiotemporal evolution.

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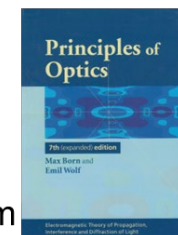
Citation from page 125

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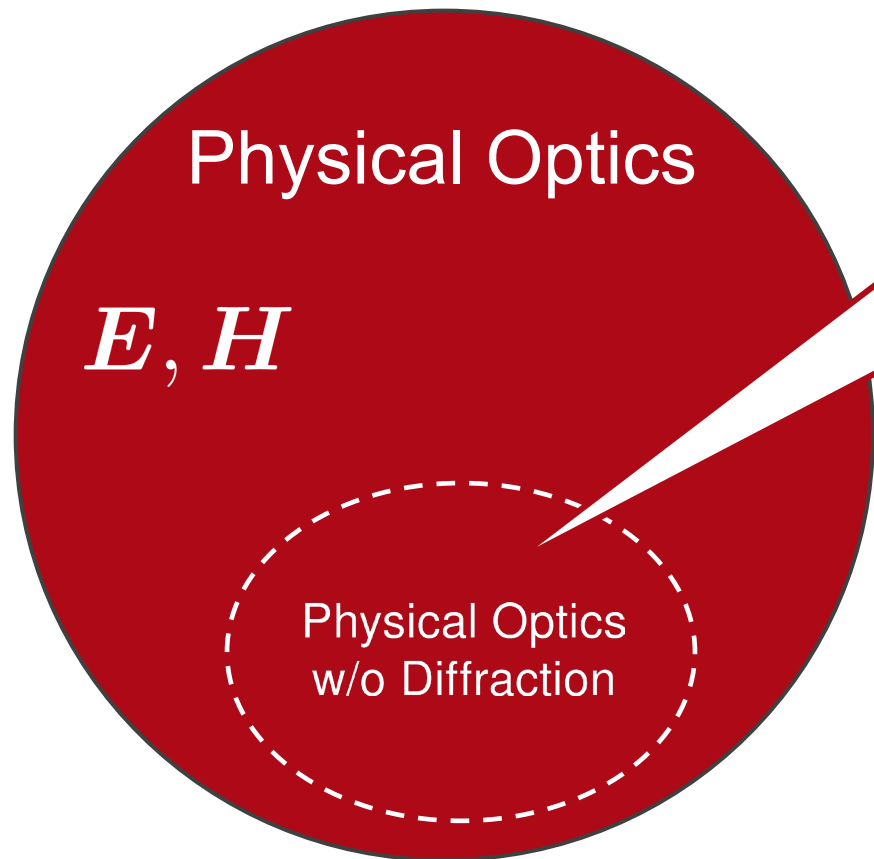
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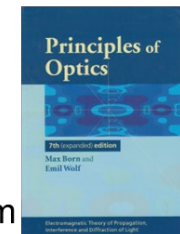
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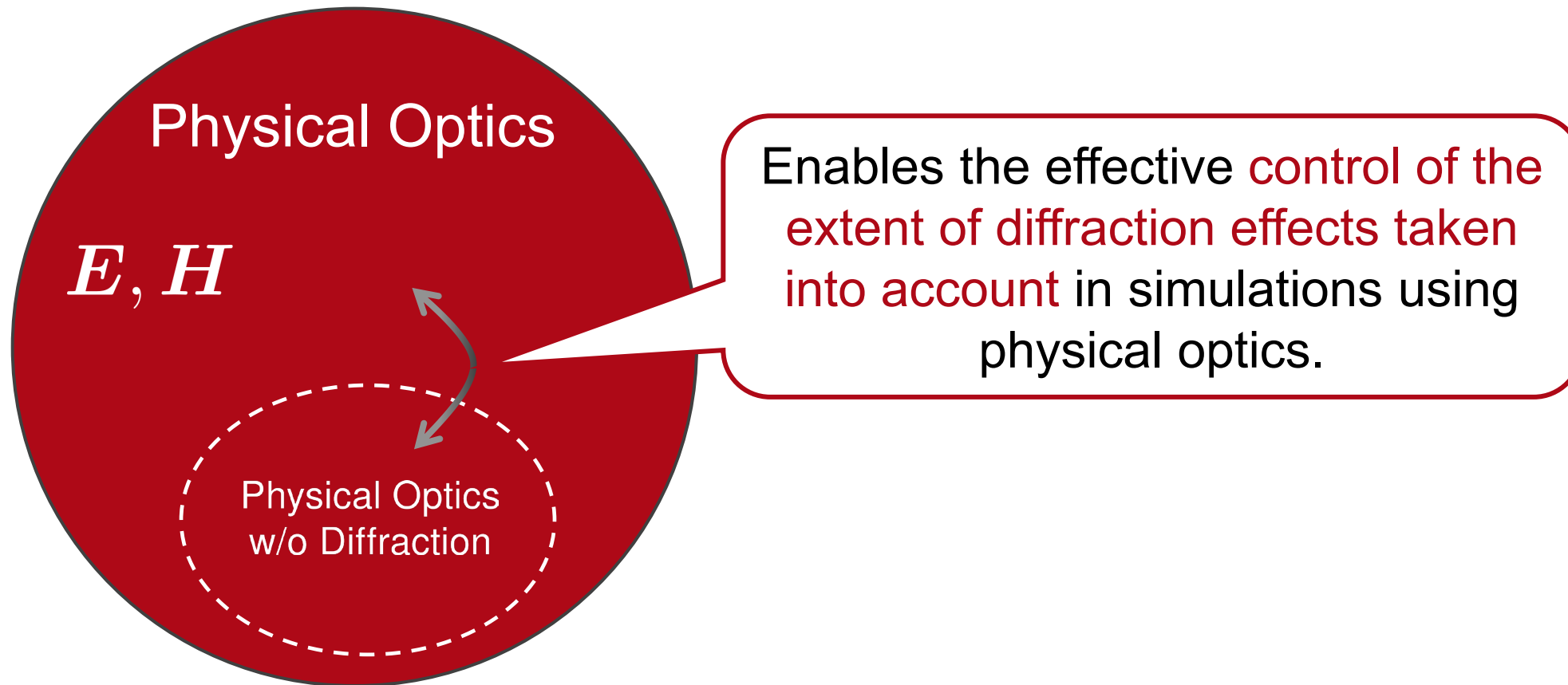
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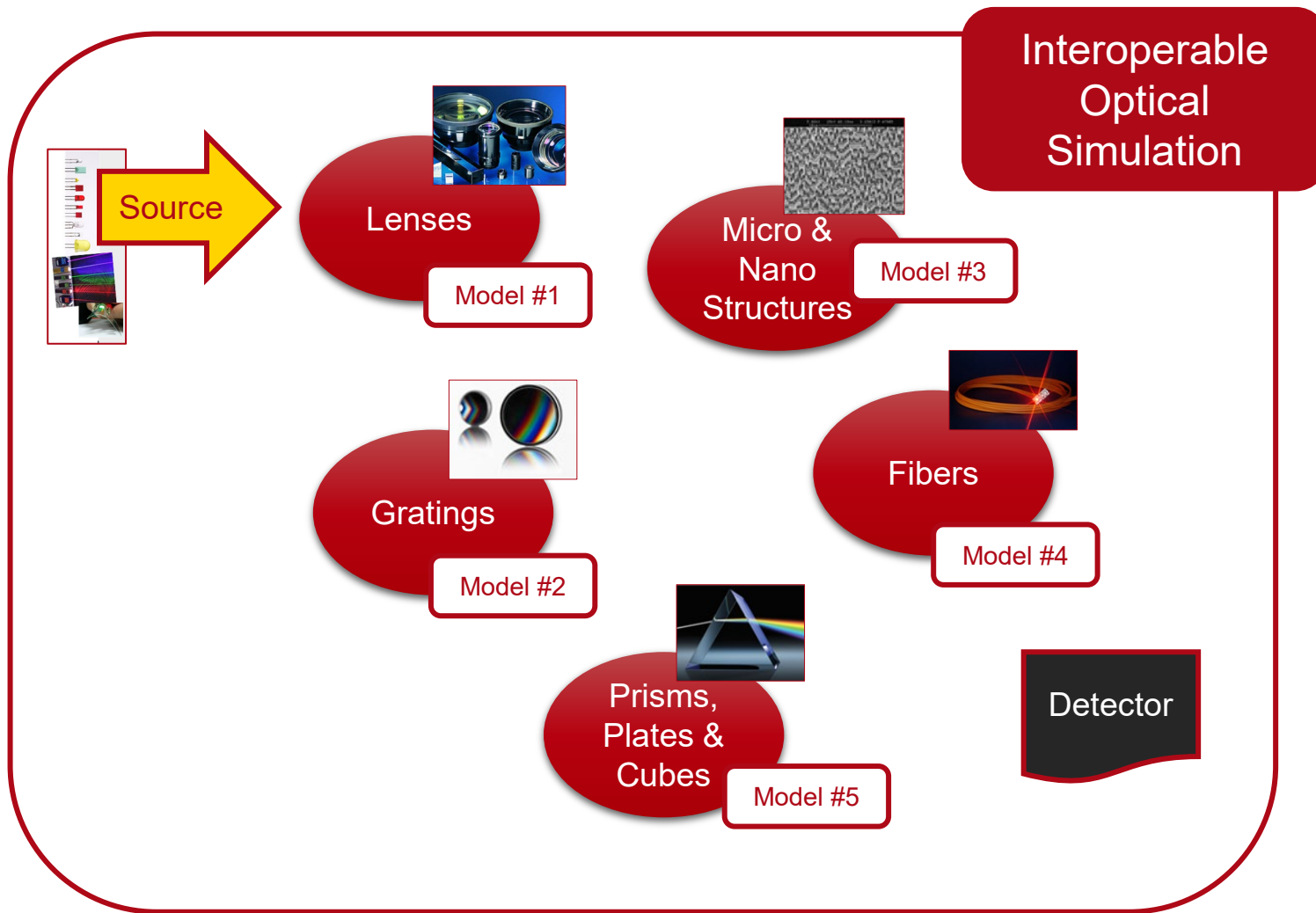
Citation from page 125



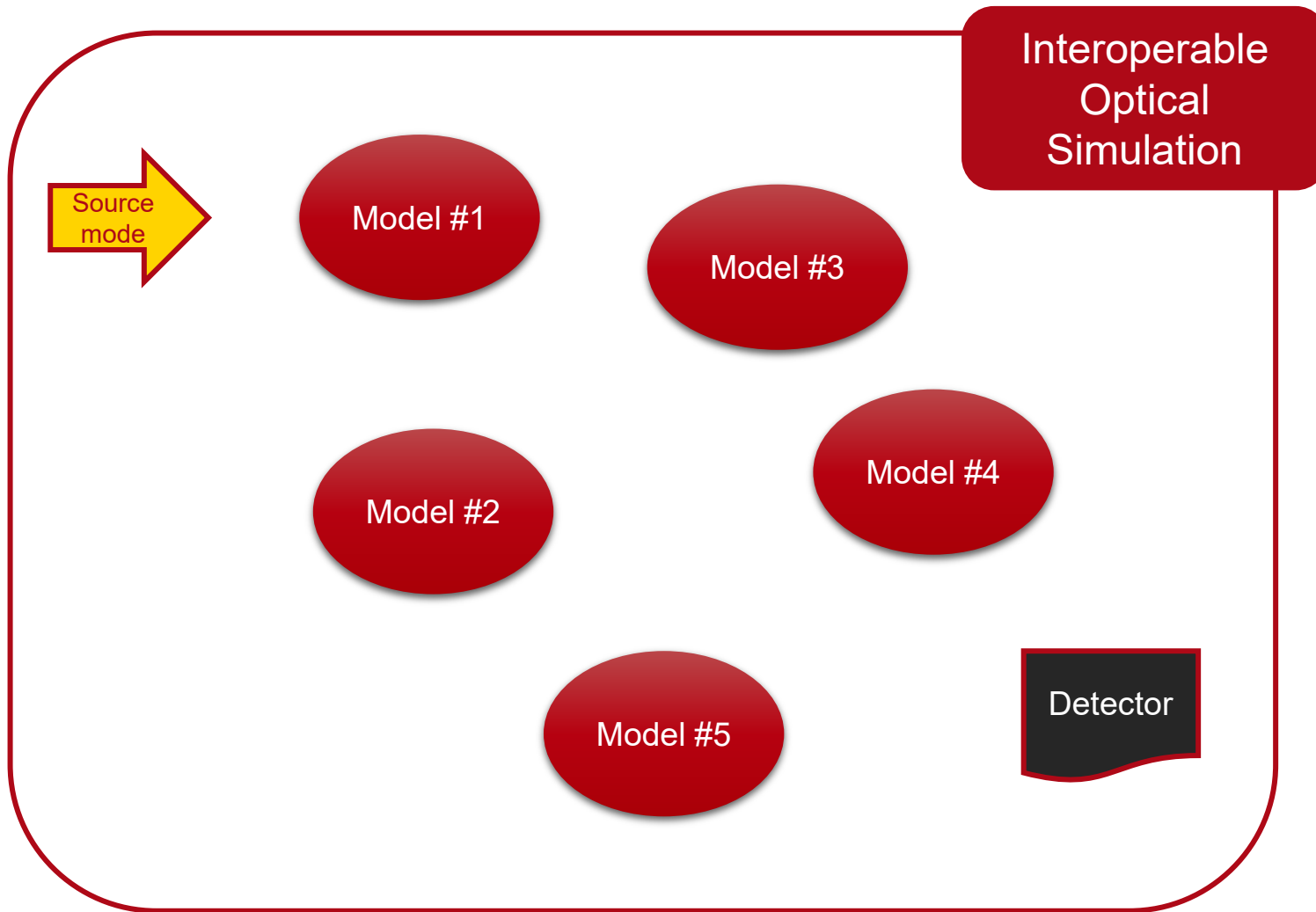
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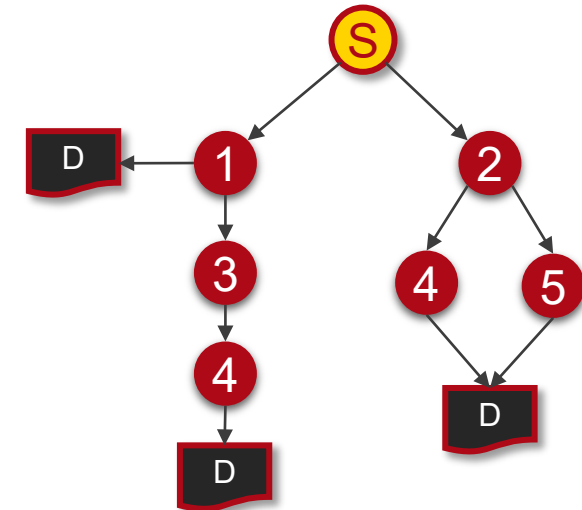
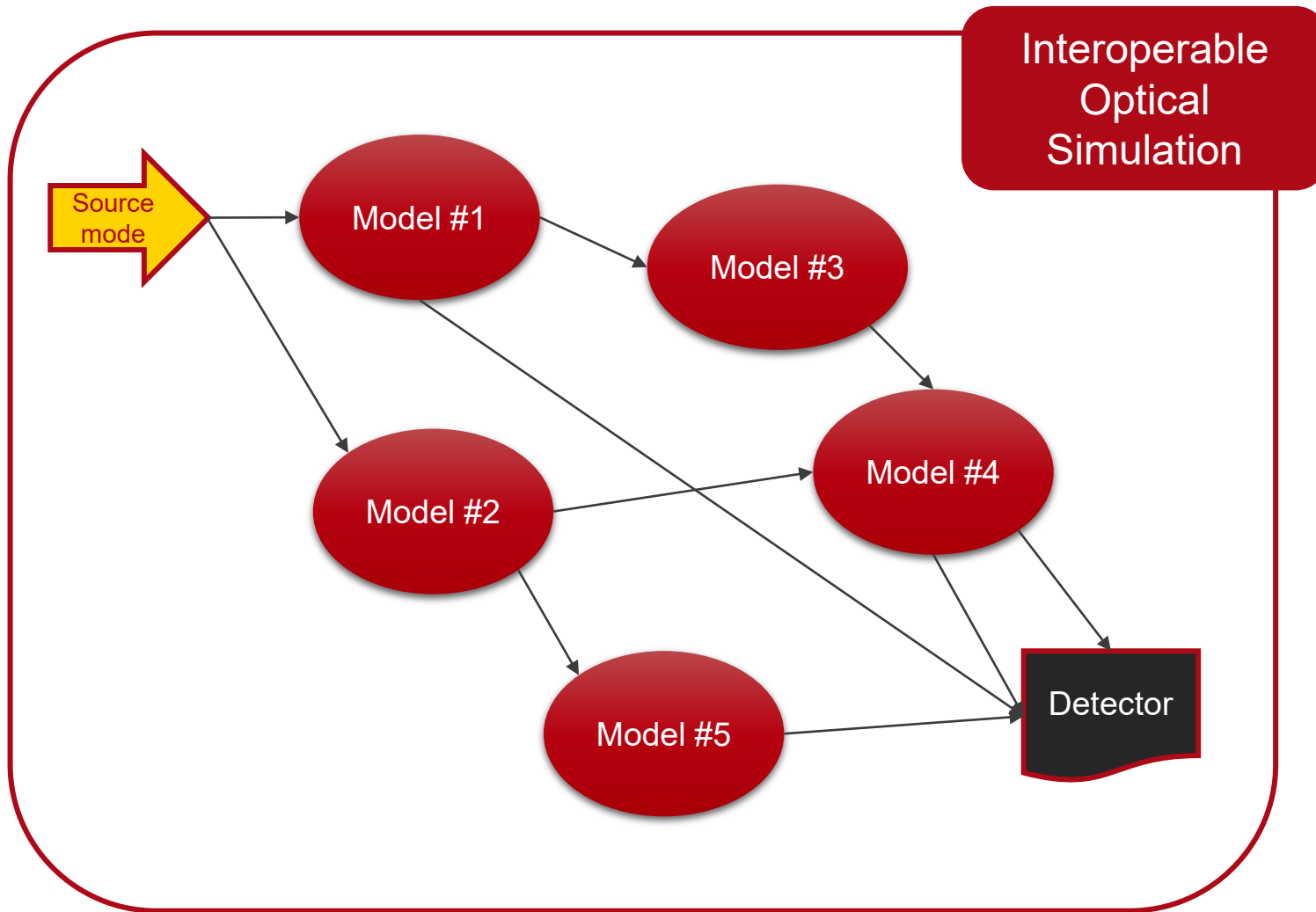
# Simulating Optical Systems: Combine Many Techniques



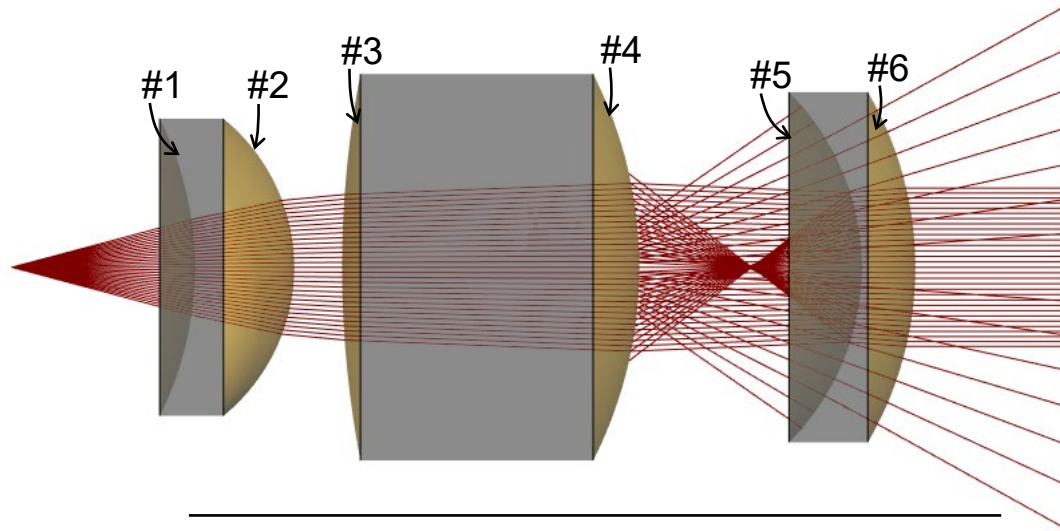
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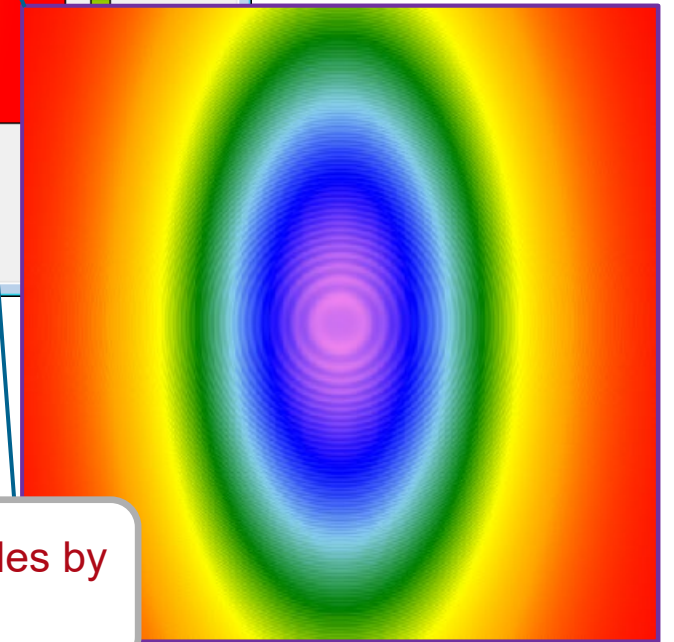
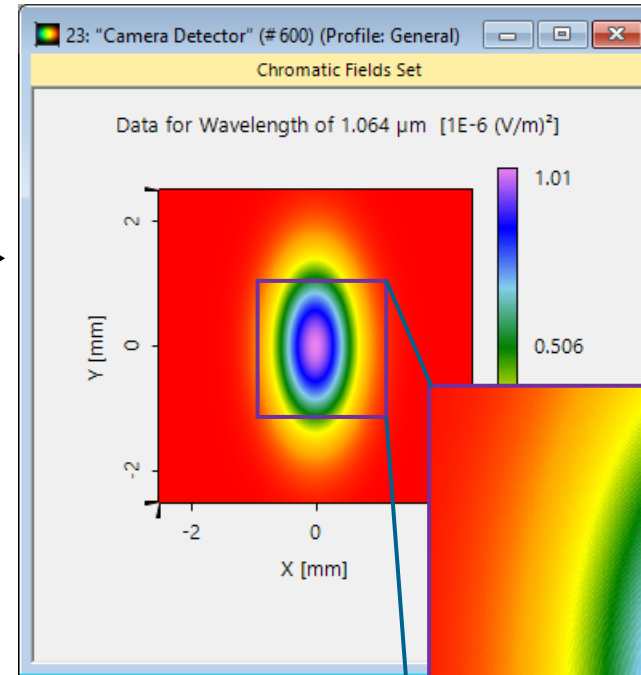
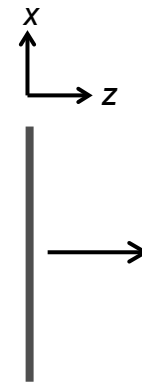
# Interoperable Optical Simulation is Non-Sequential



# Scenario: Lens System Modeling with Ghost Signal

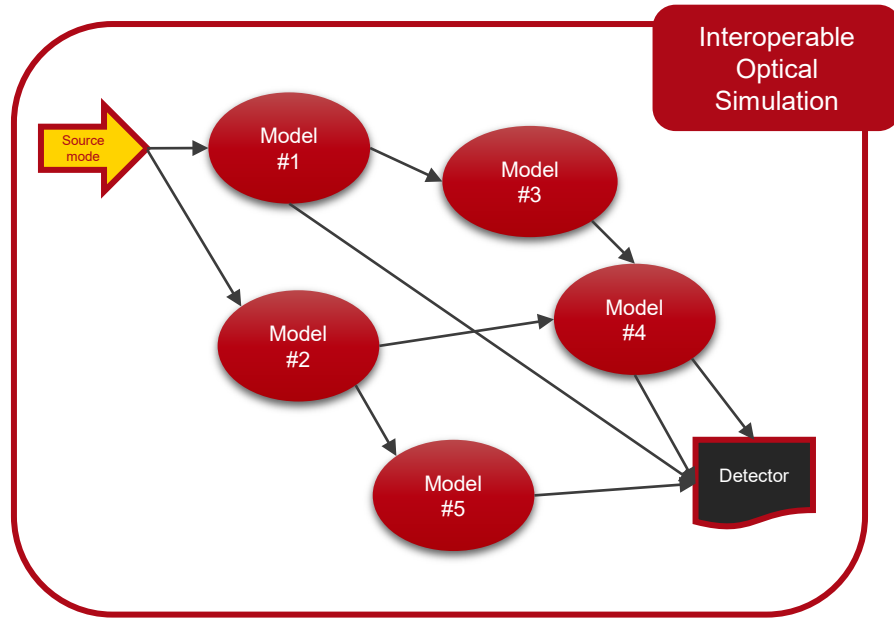


	#1	#2	#3	#4	#5	#6
+/+	√	√	√	√	√	√
+/-					√	
-/-						
-/+				√		

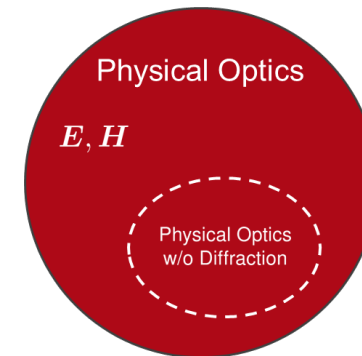
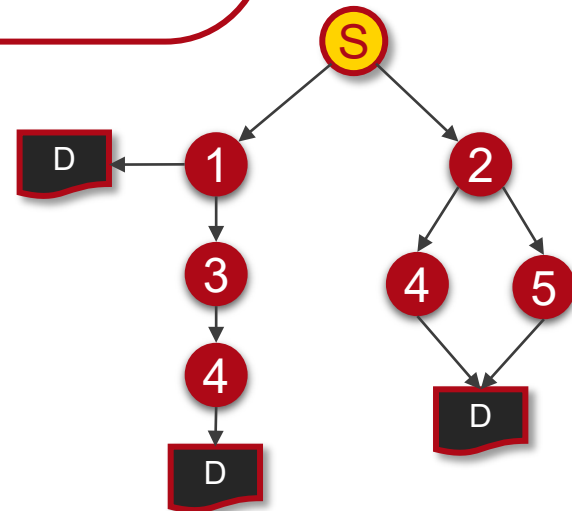


Interference of two modes by internal reflection.

# Interoperable Optical Simulation is Non-Sequential

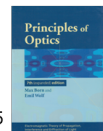


- The nodes of the simulation tree represent the simulation models for each component.
- The connections among the nodes illustrate the propagation of light between the components.
- **Diffraction manifests itself during this propagation.**



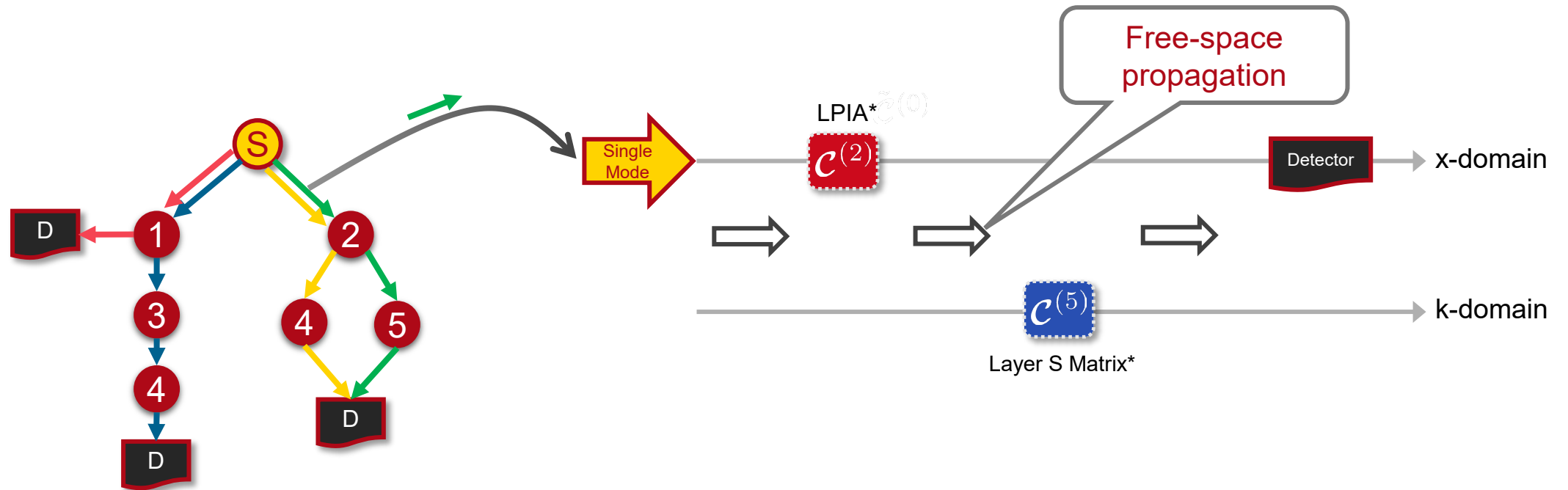
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Citation  
Page 125



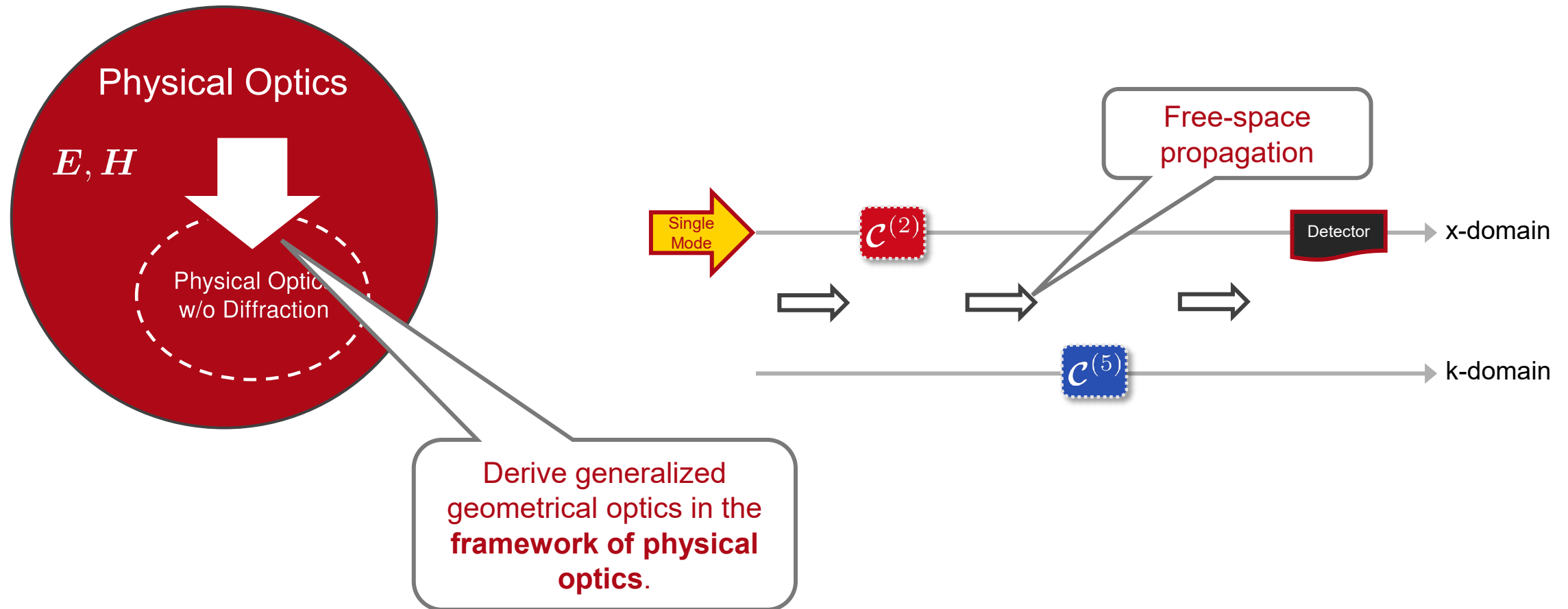
# From Simulation Tree to Operator Sequences

- The simulation tree can be divided into a limited number of **operator sequences**.
- These sequences can be illustrated using a modeling diagram.



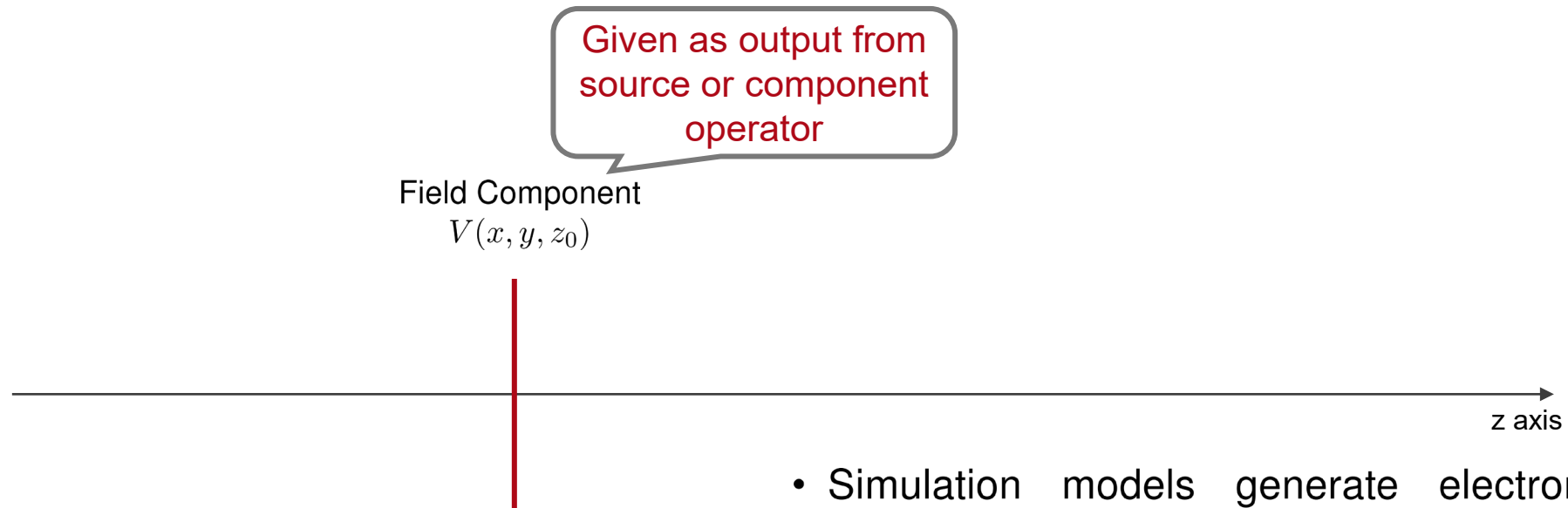
\* Here we assume a curved and a planar surface as an example.

# Modeling Free-Space Propagation



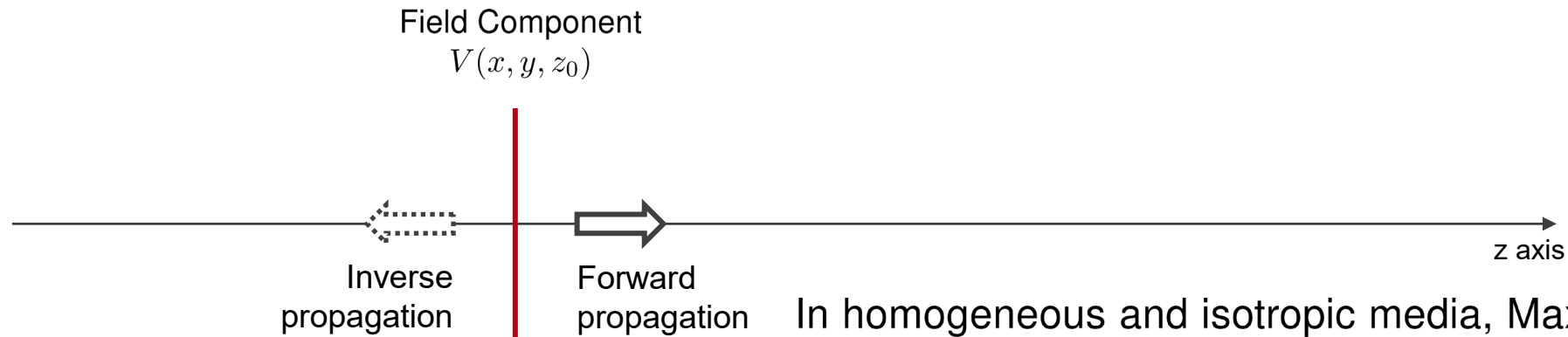


# Electromagnetic Fields in Homogeneous, Isotropic Media



- Simulation models generate electromagnetic fields  $E$  and  $H$  at the output planes of sources and components.
- These fields are then propagated to following components and detectors in the sequence.

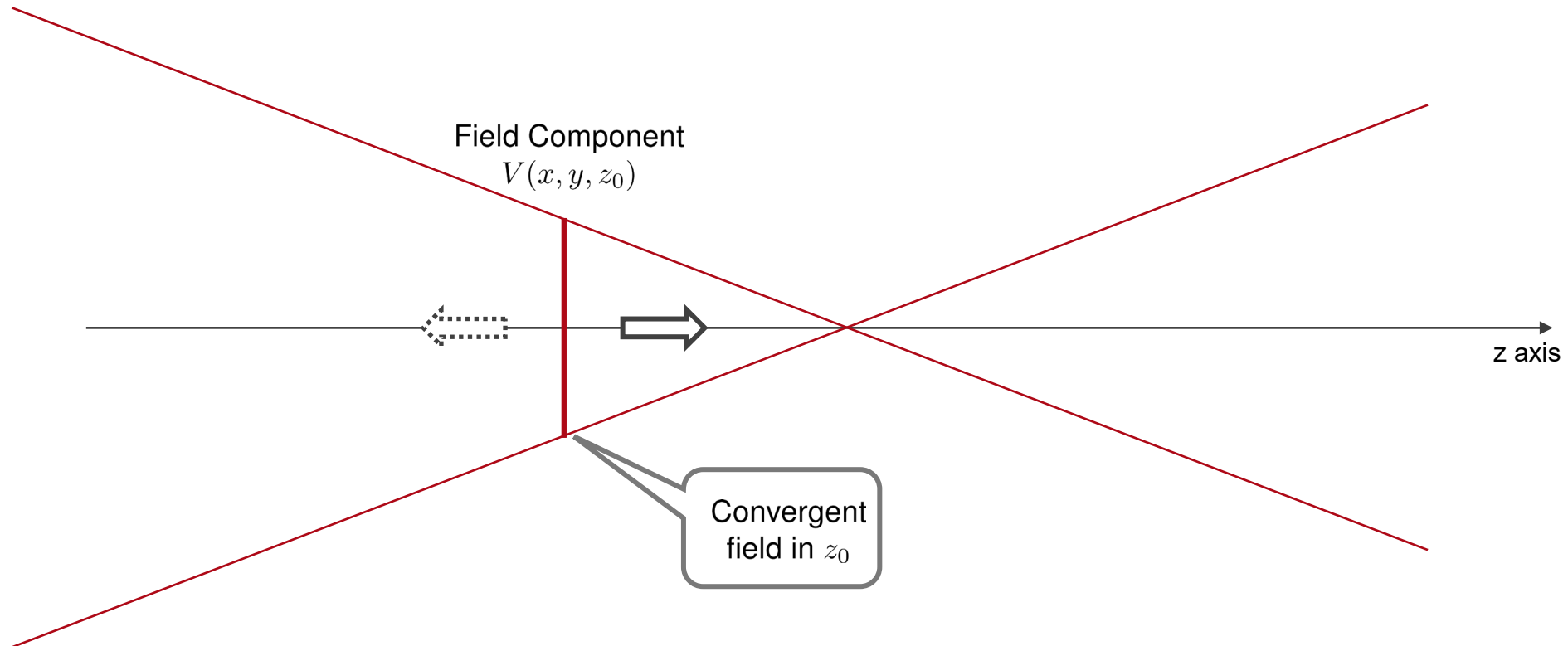
# Electromagnetic Fields in Homogeneous, Isotropic Media



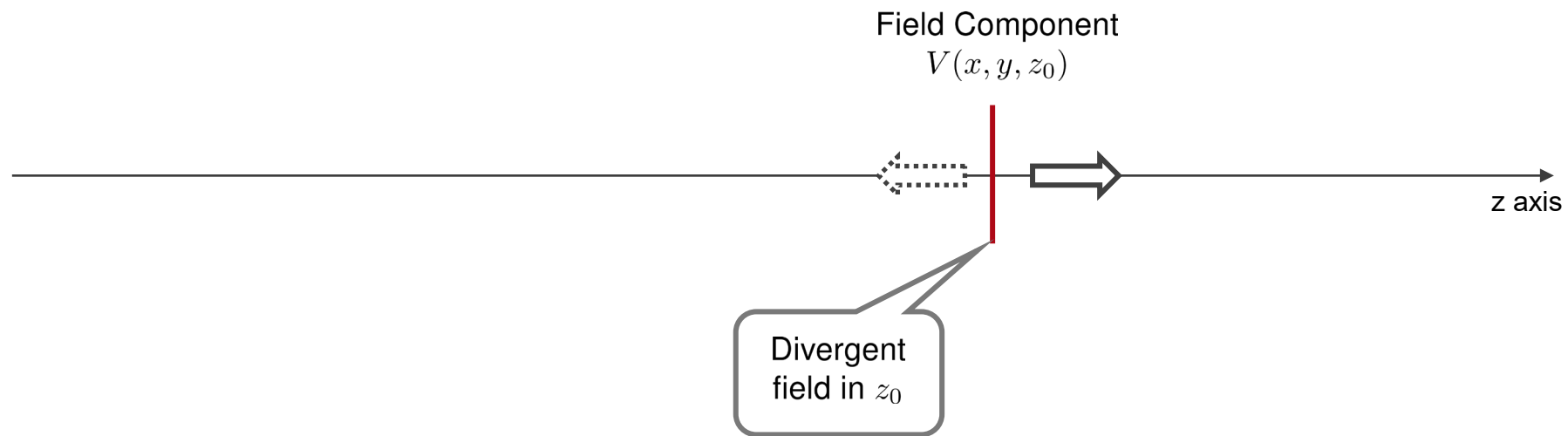
In homogeneous and isotropic media, Maxwell's equations state that:

- By utilizing  $\mathbf{E}(x, y, z_0)$  and  $\mathbf{H}(x, y, z_0)$ , we can derive  $\mathbf{E}(x, y, z)$  and  $\mathbf{H}(x, y, z)$  for  $z > z_0$  through forward propagation and for  $z < z_0$  through inverse propagation.
- The propagation of each field component, denoted by  $V$ , can be carried out independently.

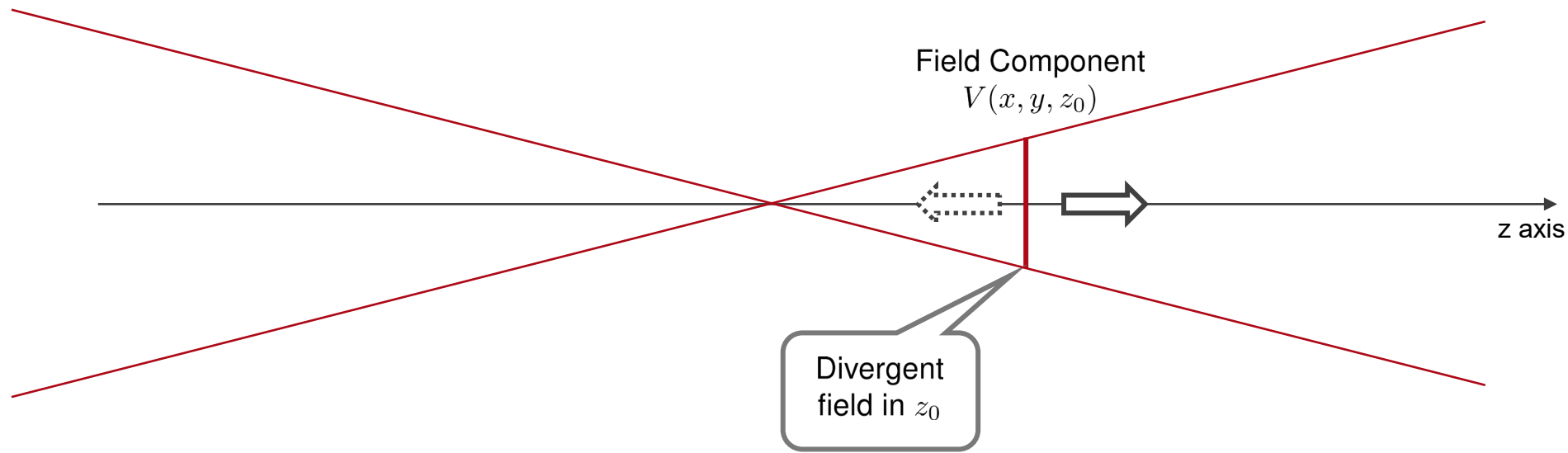
# Electromagnetic Fields in Homogeneous, Isotropic Media



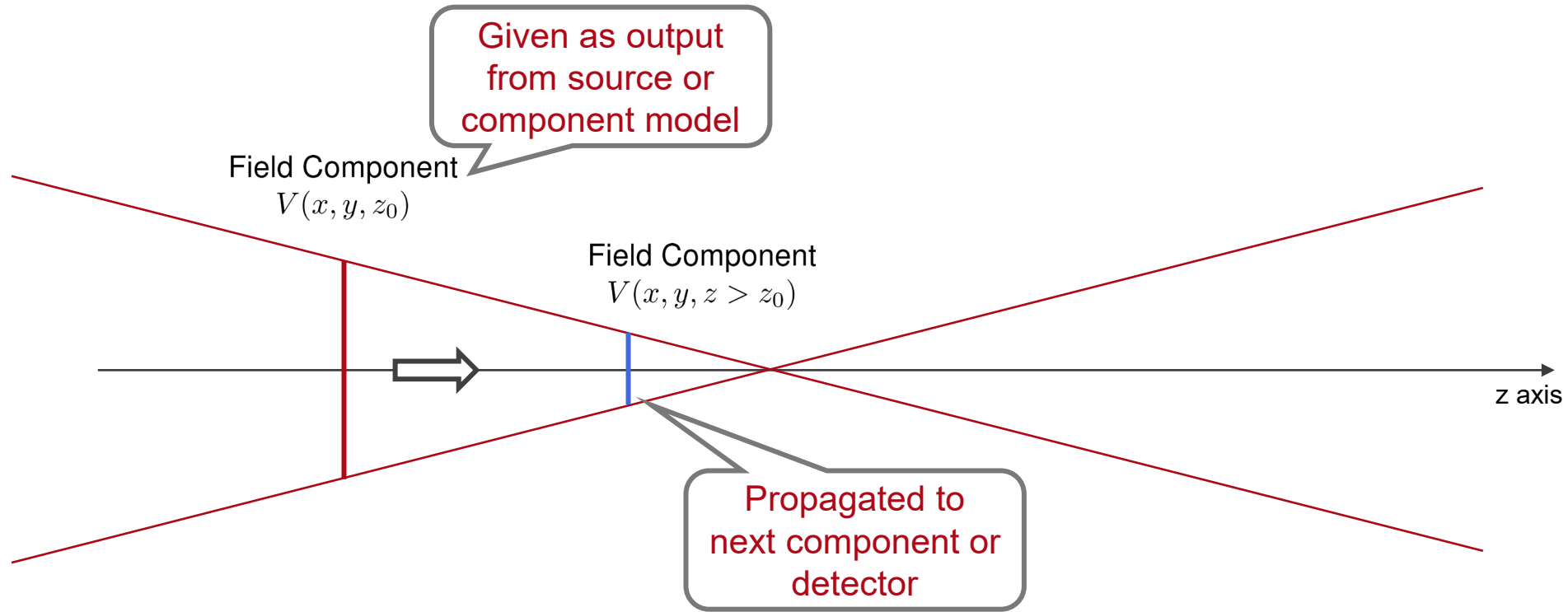
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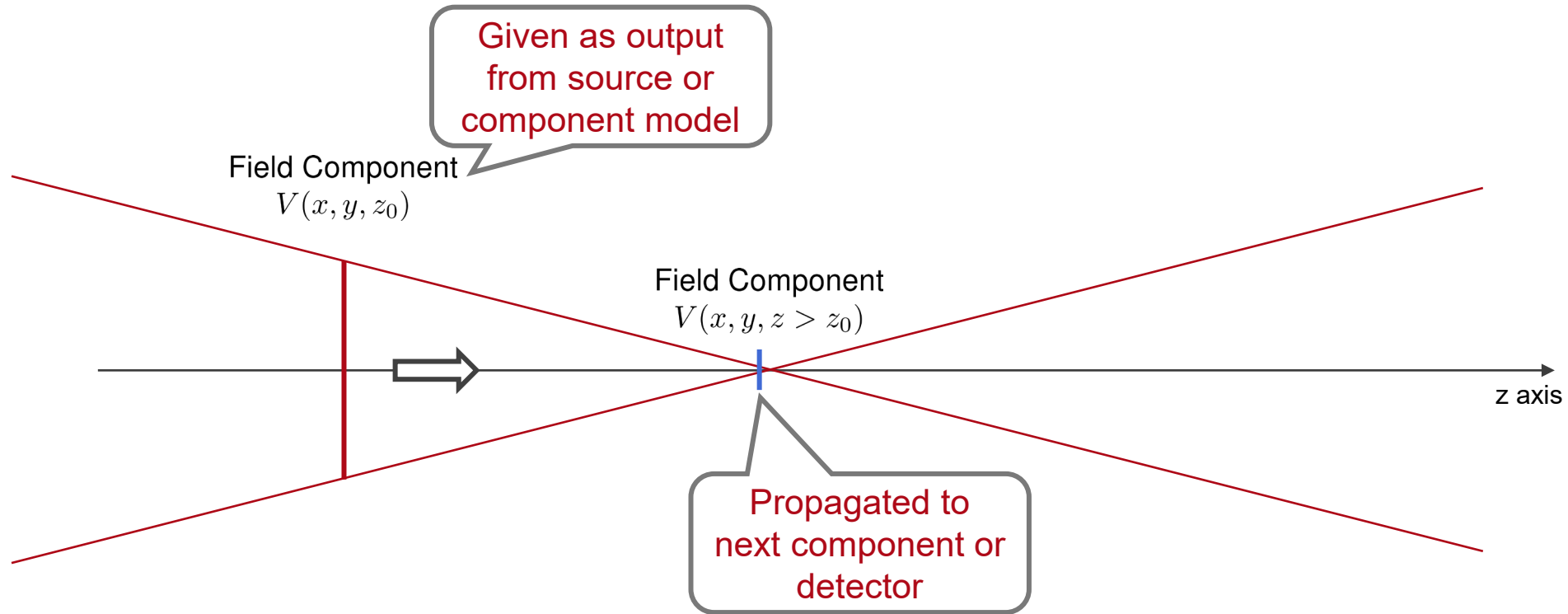
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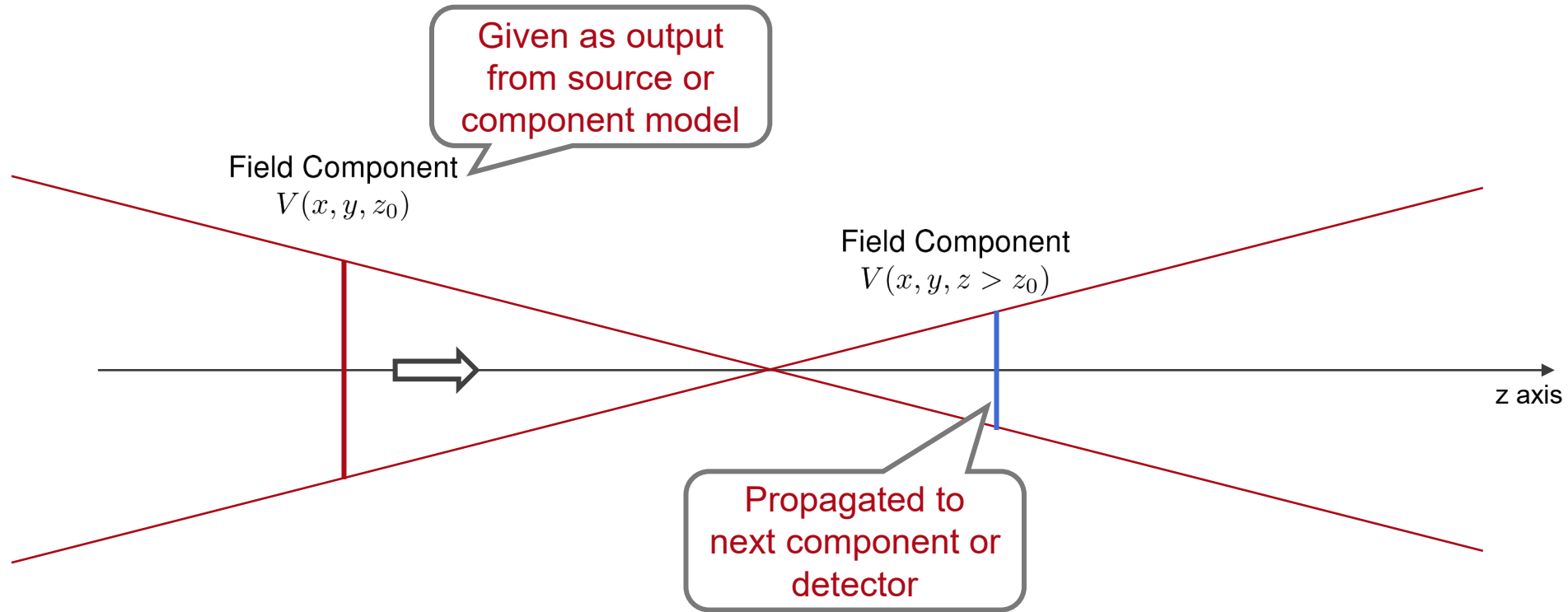
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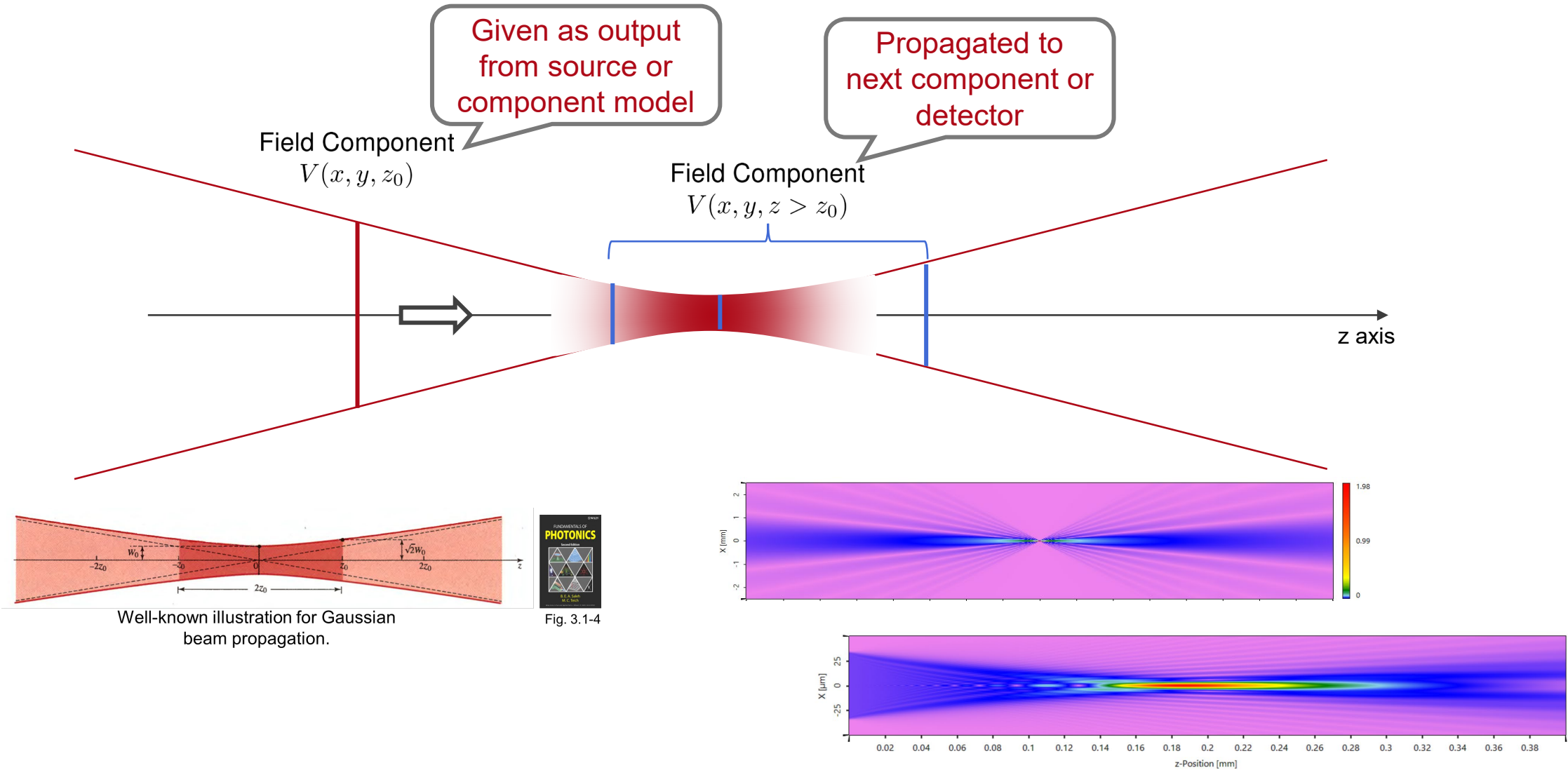


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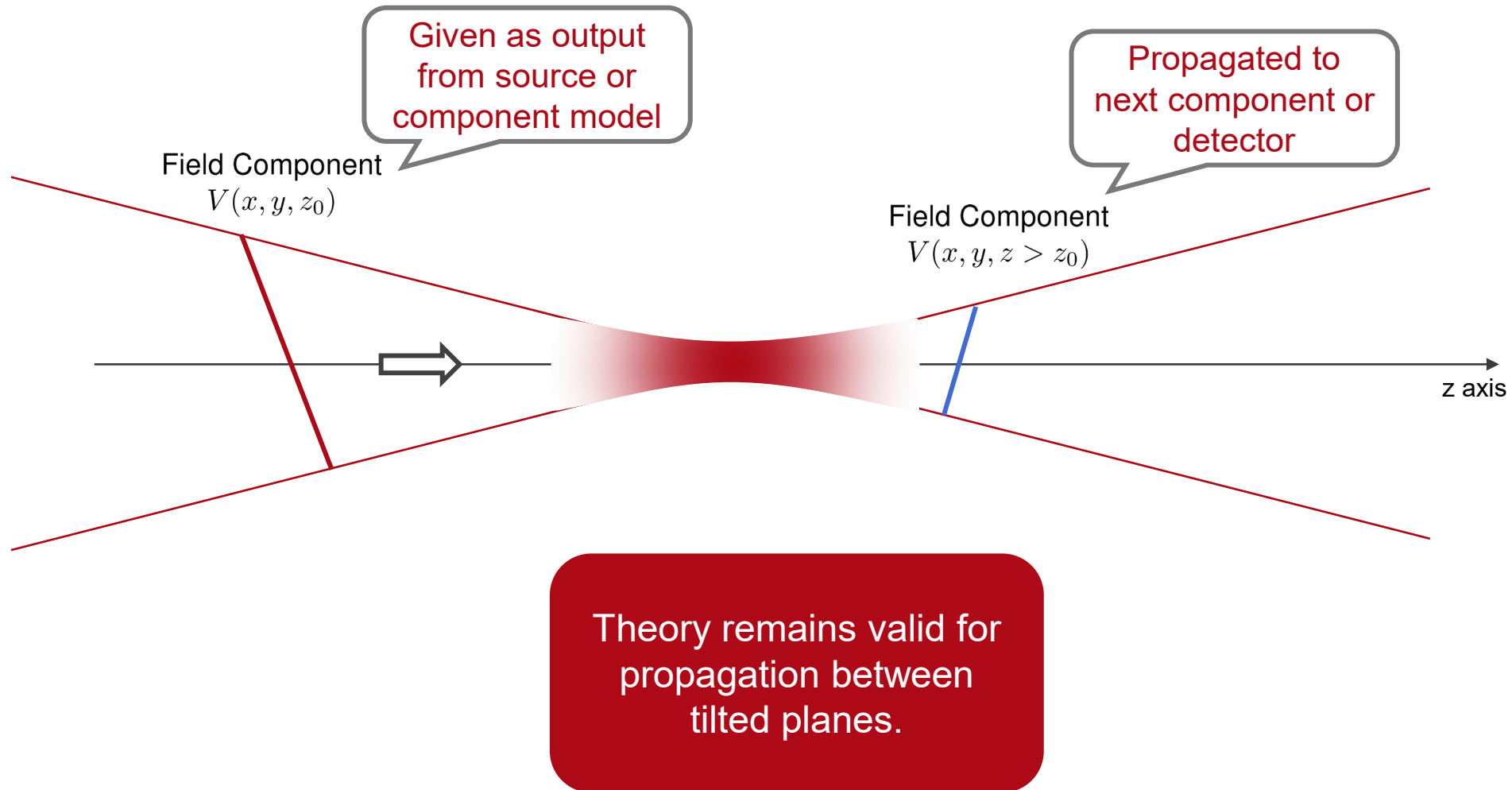




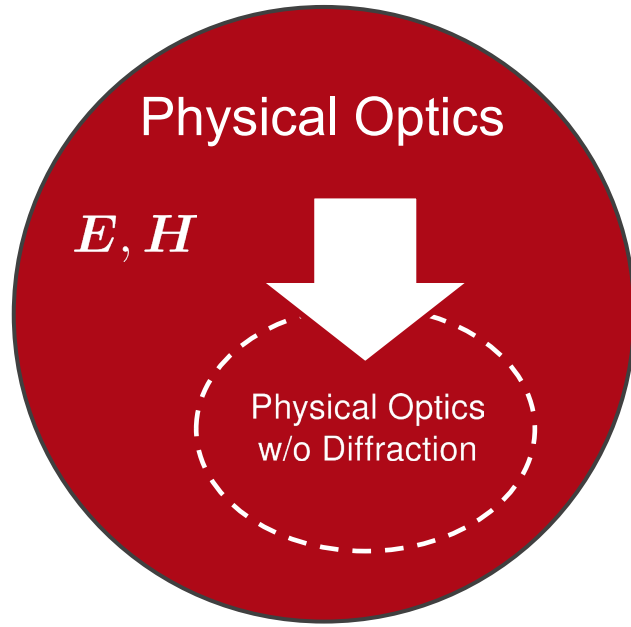
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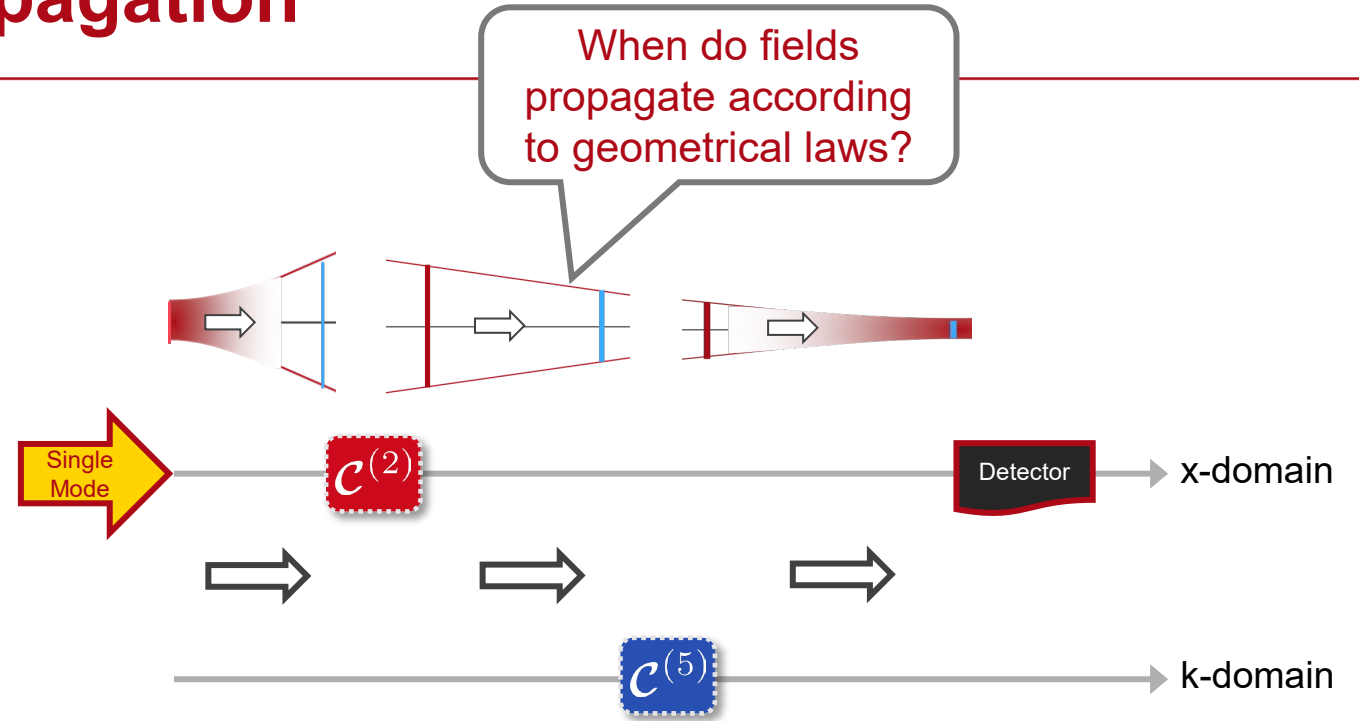
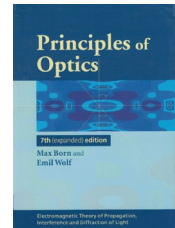


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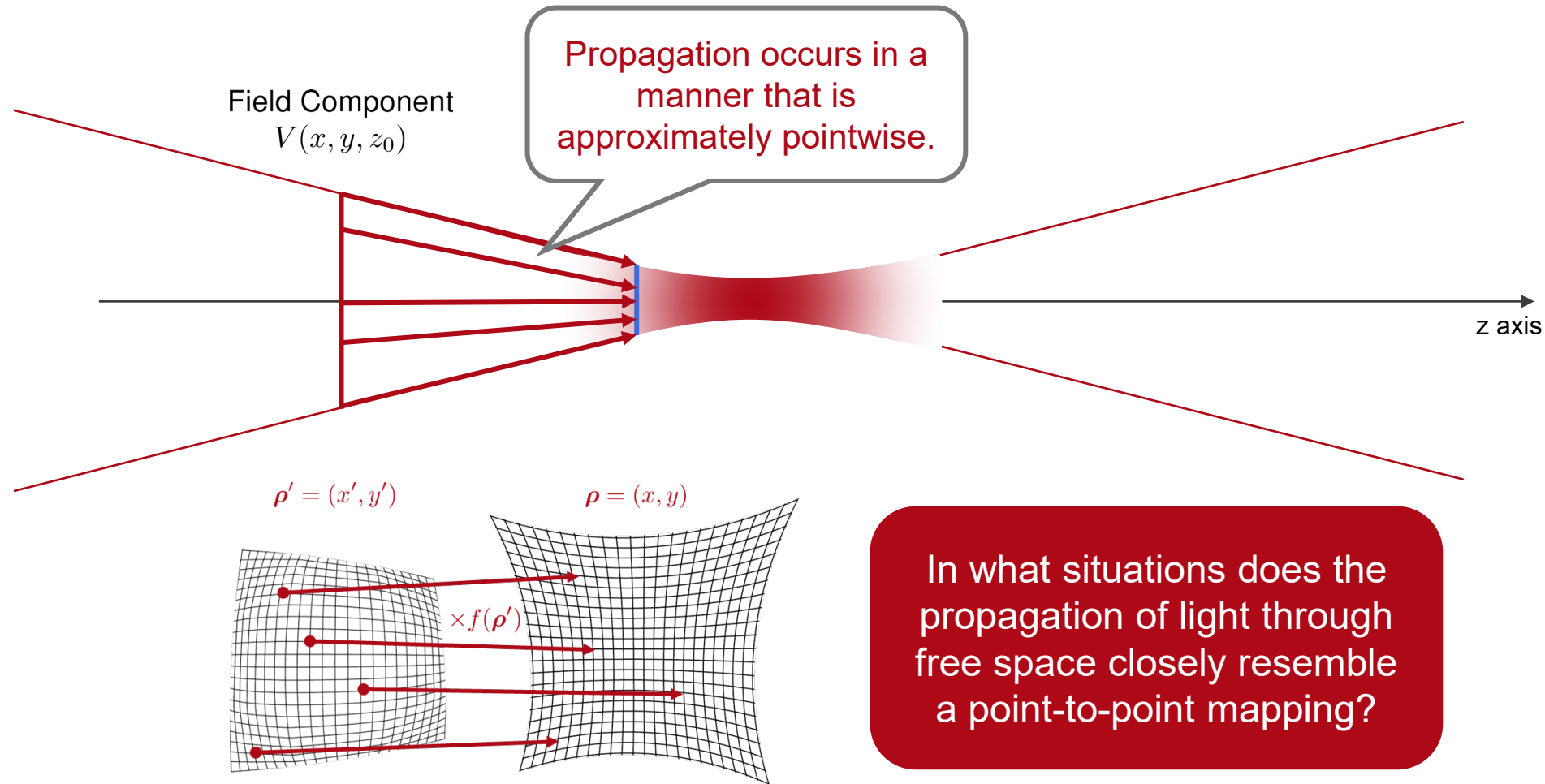


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Citation  
Page 125



# Geometric Free-Space Propagation: Pointwise Mapping



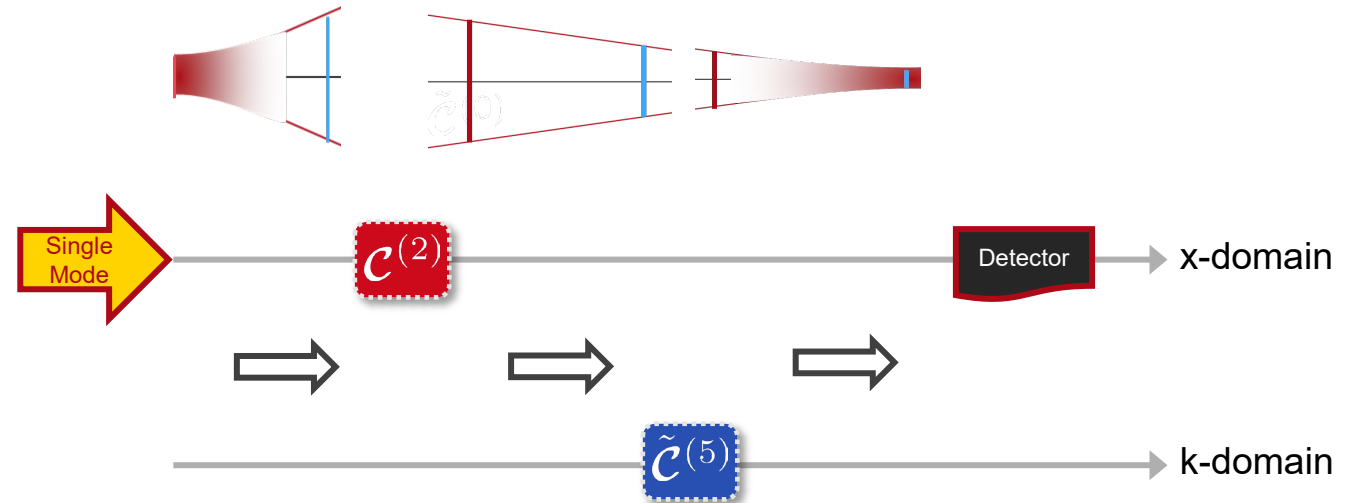
# Modeling Free-Space Propagation

- The solution of Maxwell's equations in homogeneous and isotropic media provides us with a rigorous propagation operator in the k-domain:

$$\begin{aligned}\tilde{V}(k_x, k_y, z) &= (\tilde{\mathcal{P}}\tilde{V}(z_0))(k_x, k_y, z) \\ &= \exp(i\check{k}_z \Delta z) \times \tilde{V}(k_x, k_y, z_0),\end{aligned}$$

with  $\check{k}_z(k_x, k_y) = \sqrt{k_0^2 \check{n}^2 - k_x^2 - k_y^2}$  and  $\Delta z = z - z_0 > 0$ .

- The operation  $\tilde{\mathcal{P}}\tilde{V}$  is pointwise.



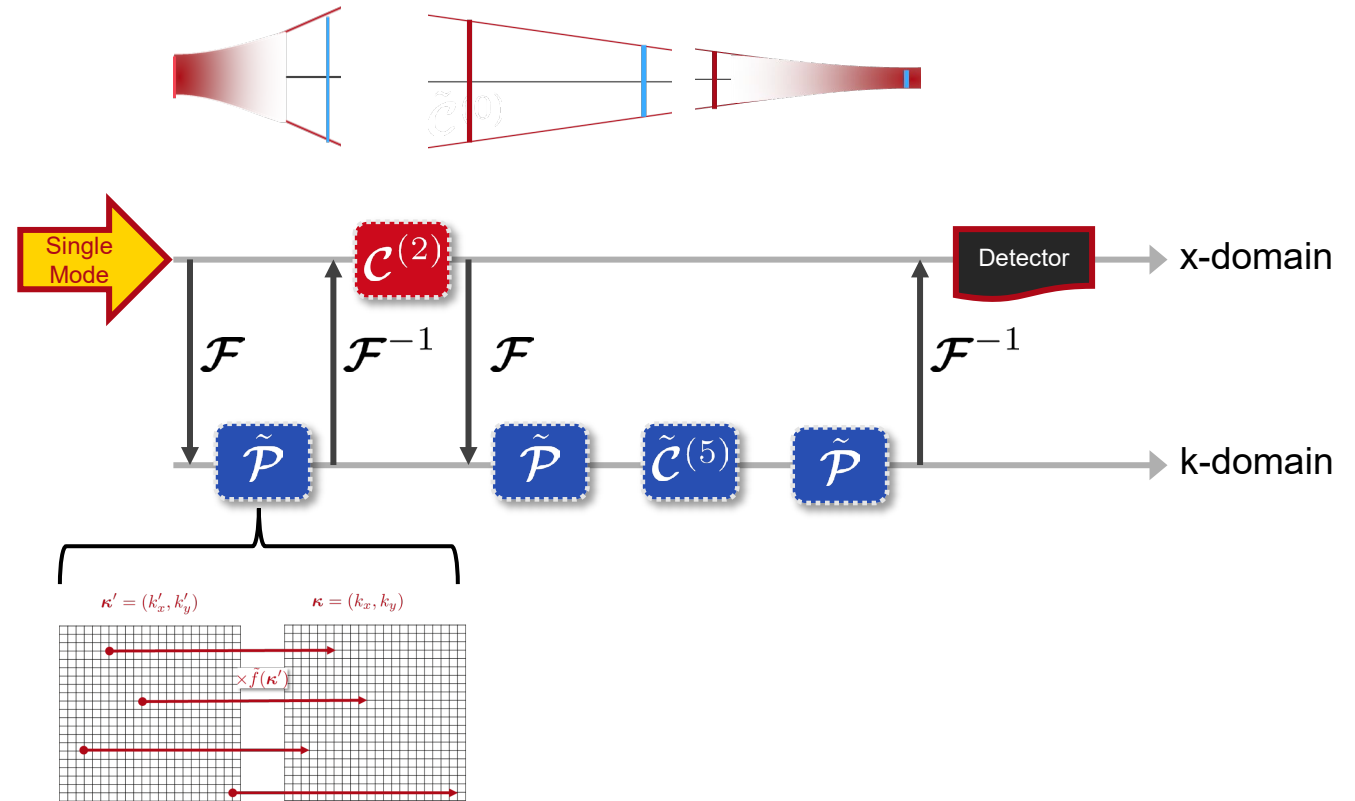
# Modeling Free-Space Propagation

- The solution of Maxwell's equations in homogeneous and isotropic media provides us with a rigorous propagation operator in the k-domain:

$$\begin{aligned}\tilde{V}(k_x, k_y, z) &= (\tilde{\mathcal{P}}\tilde{V}(z_0))(k_x, k_y, z) \\ &= \exp(i\check{k}_z \Delta z) \times \tilde{V}(k_x, k_y, z_0),\end{aligned}$$

with  $\check{k}_z(k_x, k_y) = \sqrt{k_0^2 \check{n}^2 - k_x^2 - k_y^2}$  and  $\Delta z = z - z_0 > 0$ .

- The operation  $\tilde{\mathcal{P}}\tilde{V}$  is pointwise.



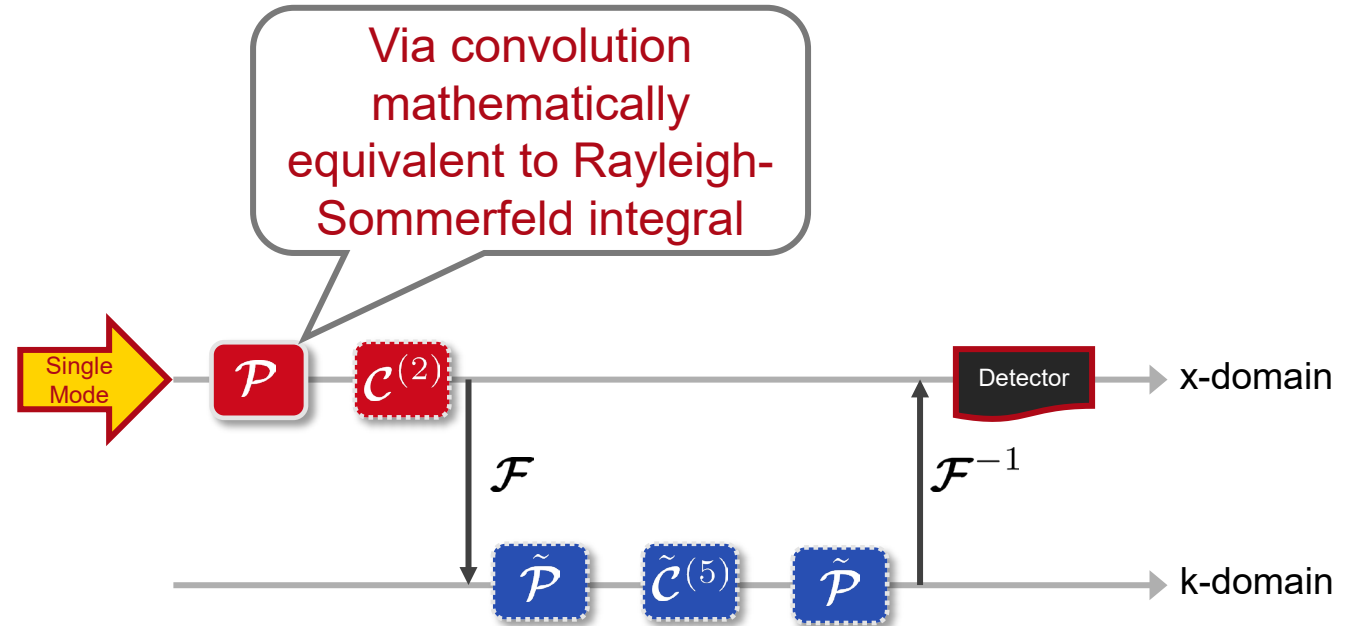
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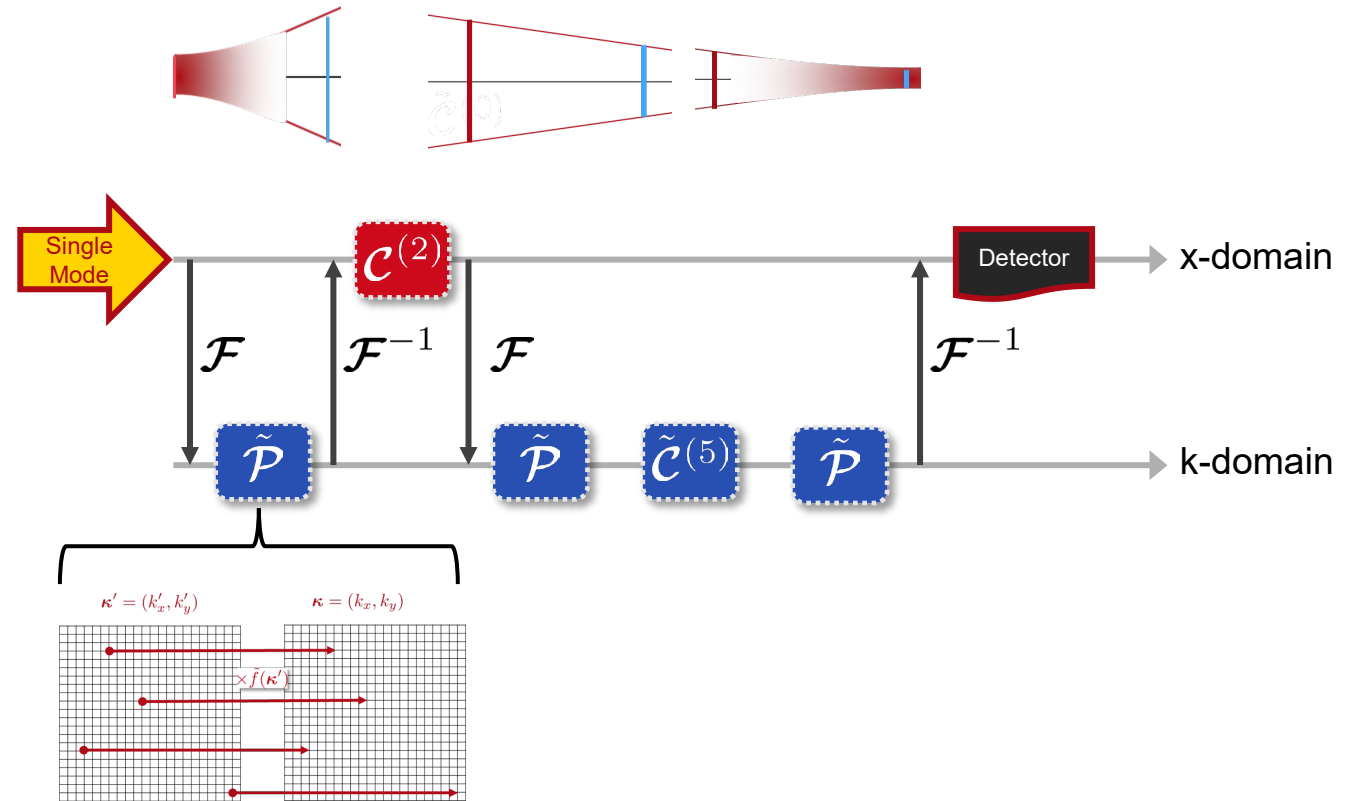
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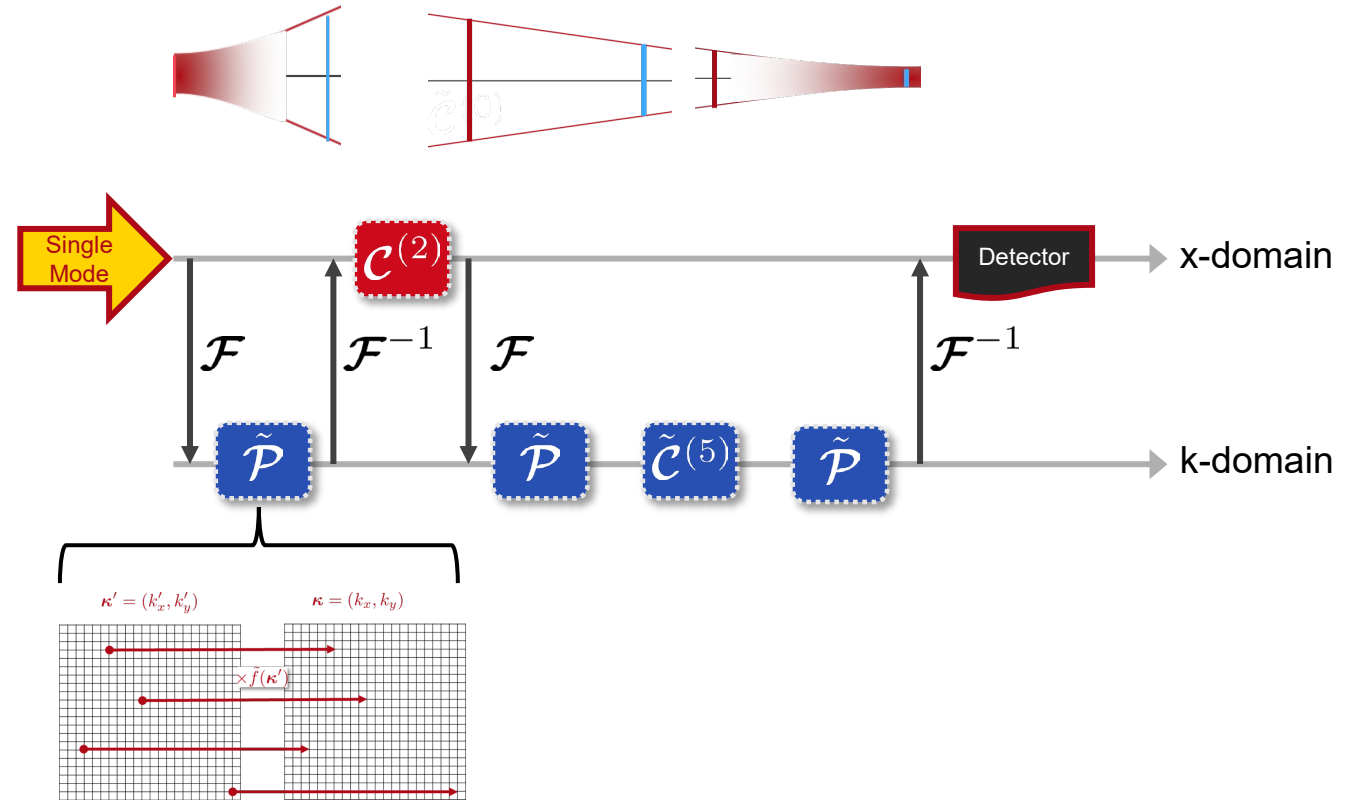
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- Hence, the propagation in the x-domain can only adhere to geometrical laws when the **forward and inverse Fourier transforms exhibit nearly pointwise behavior**.



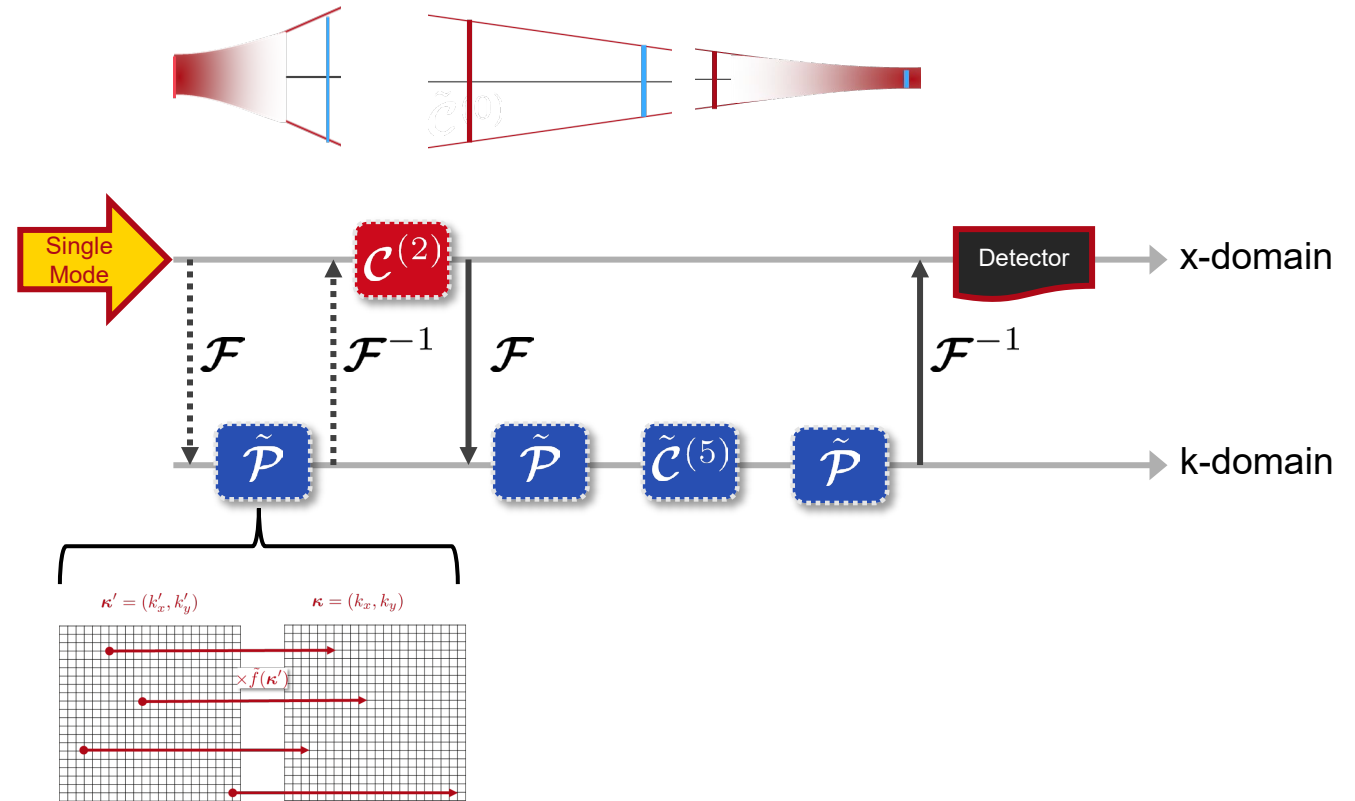
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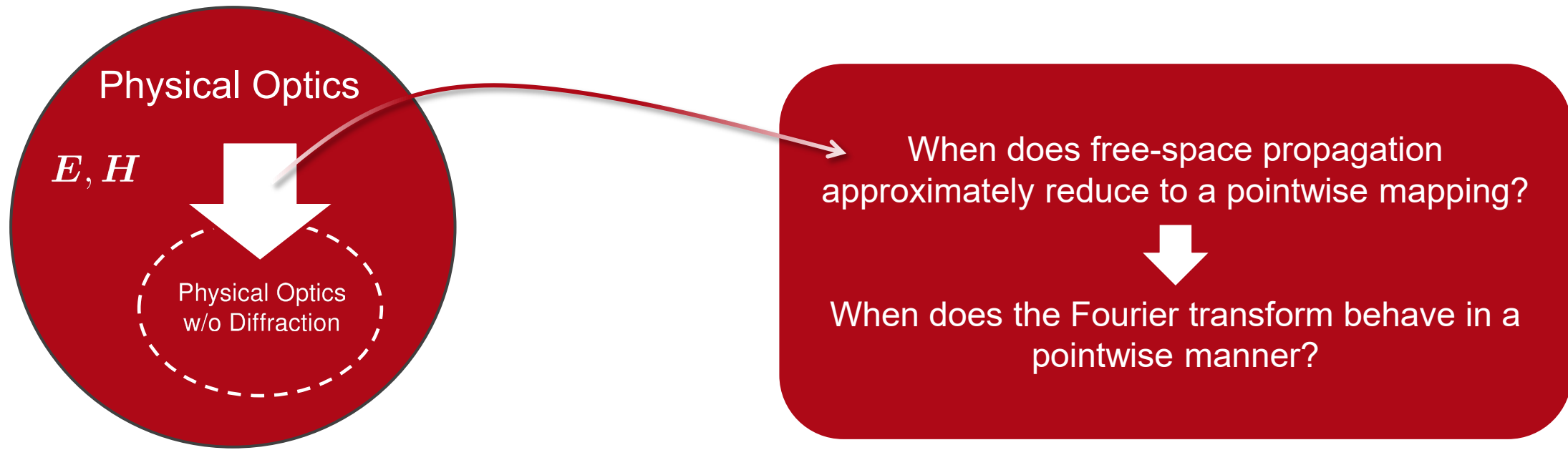
$$\begin{aligned}\tilde{V}(k_x, k_y, z) &= (\tilde{\mathcal{P}}\tilde{V}(z_0))(k_x, k_y, z) \\ &= \exp(i\check{k}_z \Delta z) \times \tilde{V}(k_x, k_y, z_0),\end{aligned}$$

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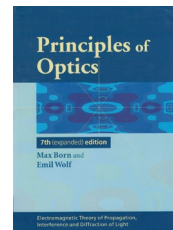


# Geometrical Optics for Electromagnetic Fields

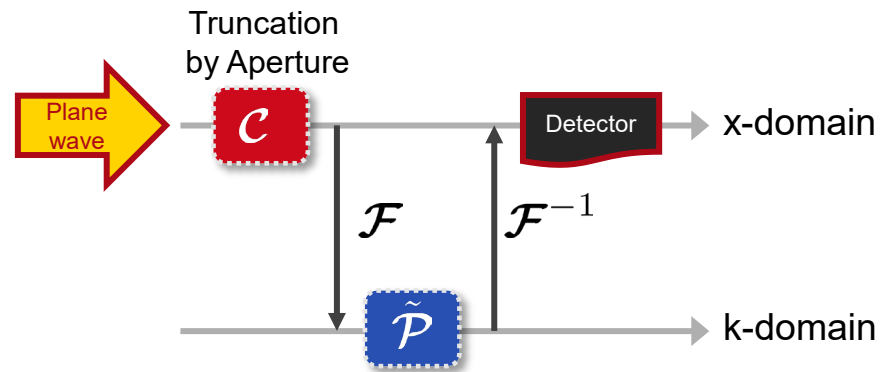


We need to identify that part of physical optics, which deals with the “***geometrical laws relating to the propagation of the 'amplitude vectors'  $E$  and  $H$ .***”

Citation  
Page 125



# Example: Diffraction at Circular Aperture

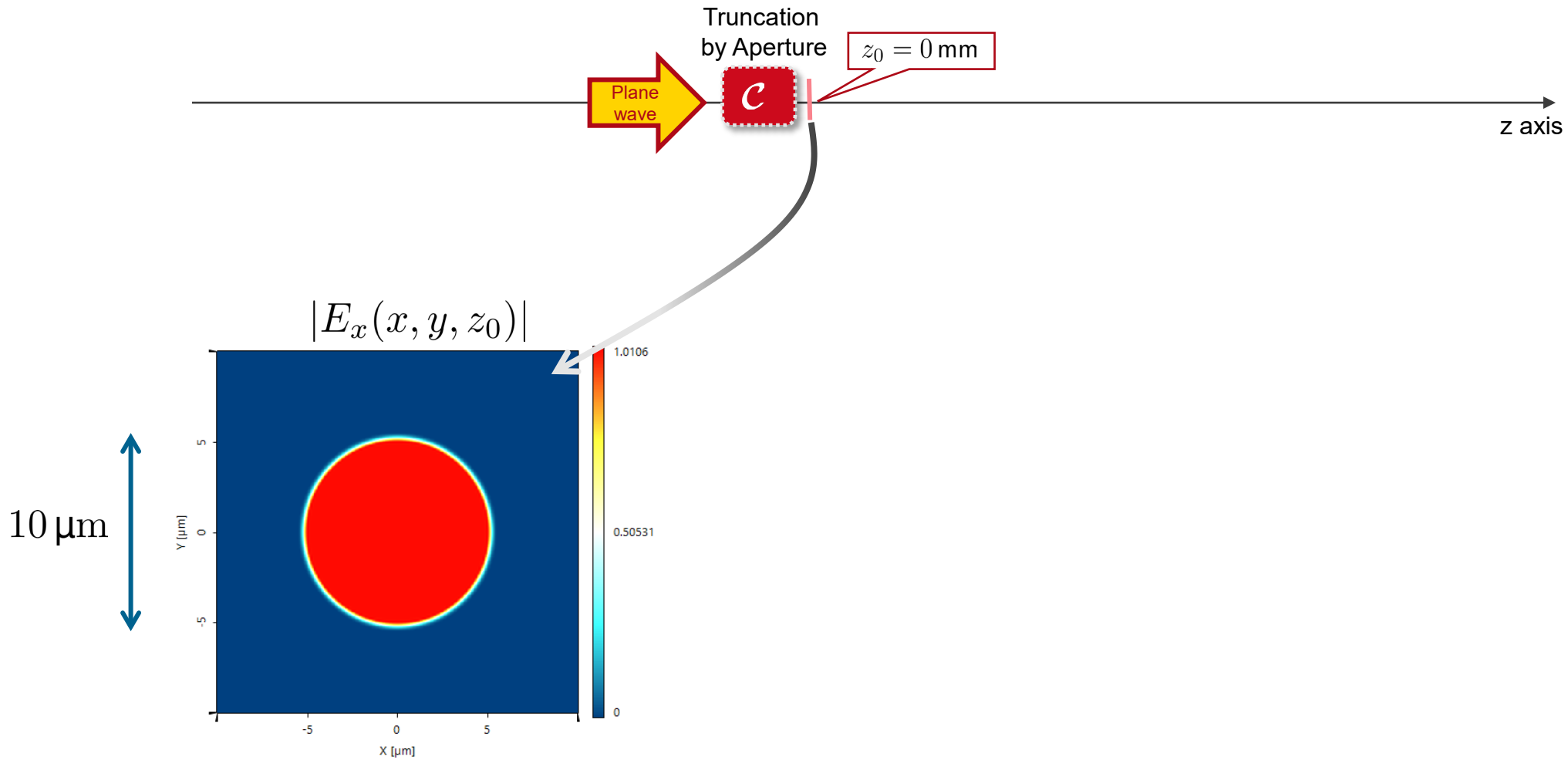


When does free-space propagation approximately reduce to a pointwise mapping?

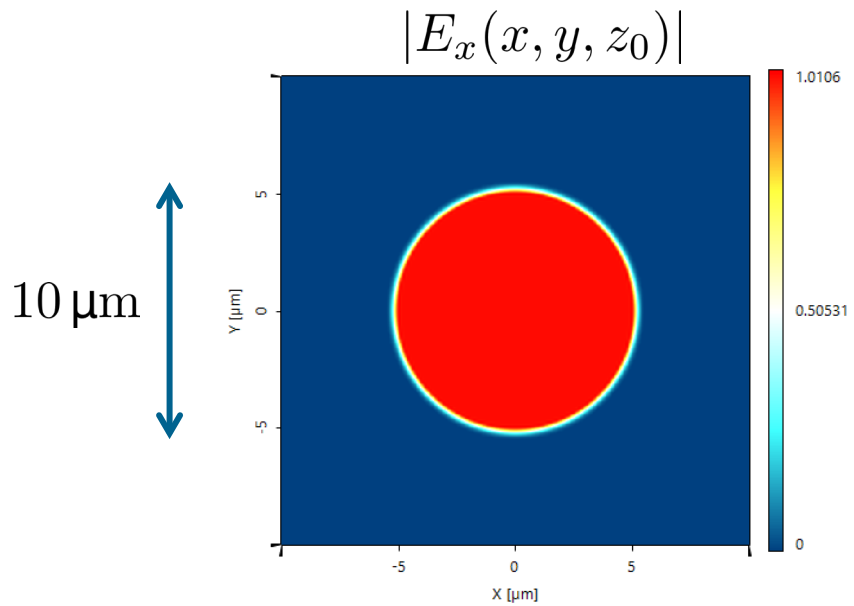
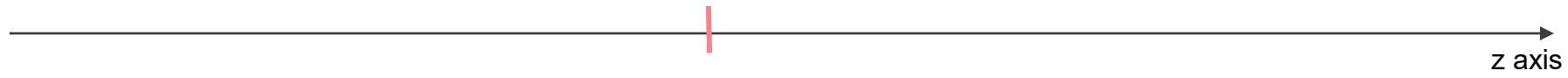


When does the Fourier transform behave in a pointwise manner?

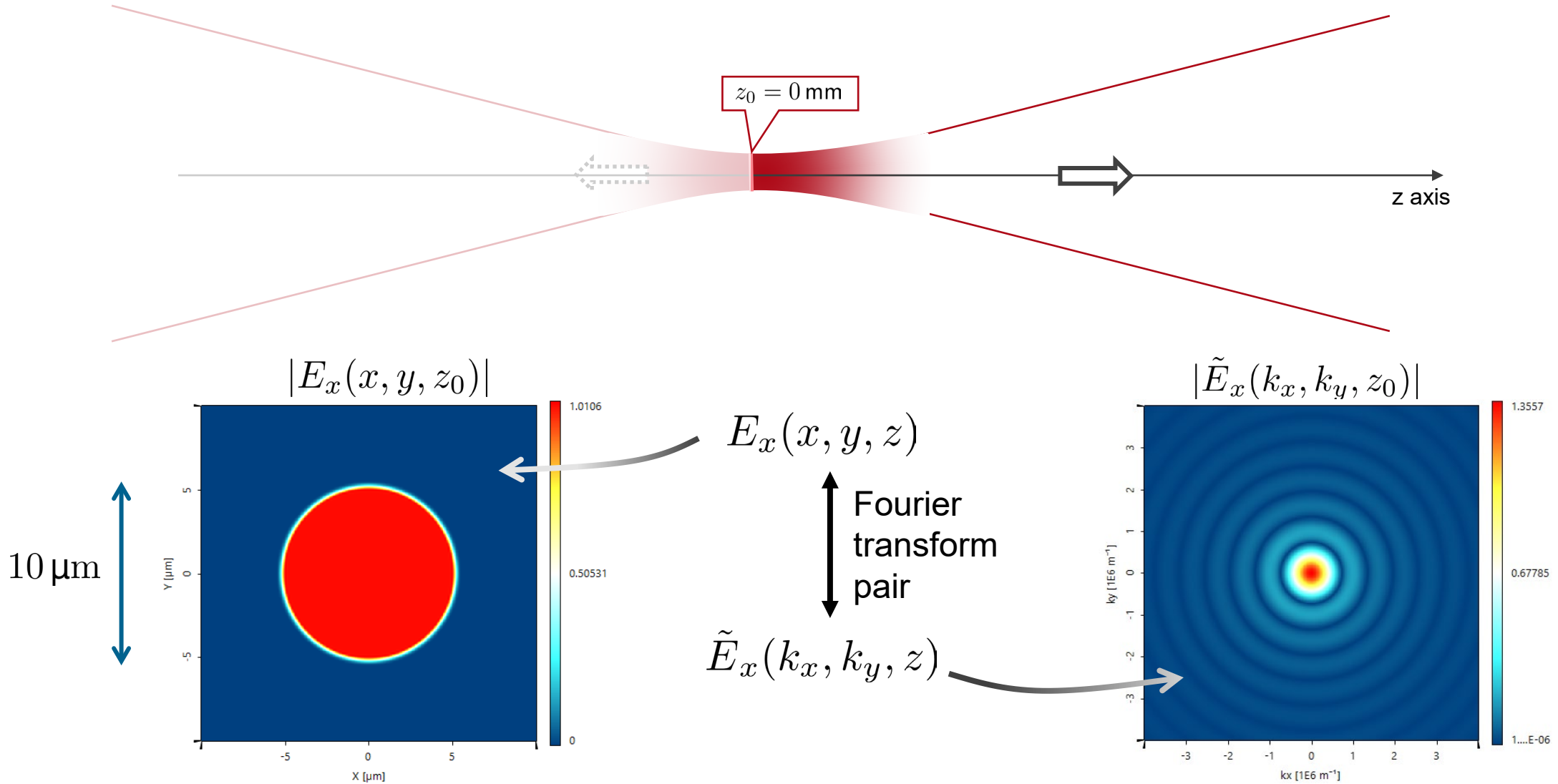
# Example: Diffraction at Circular Aperture



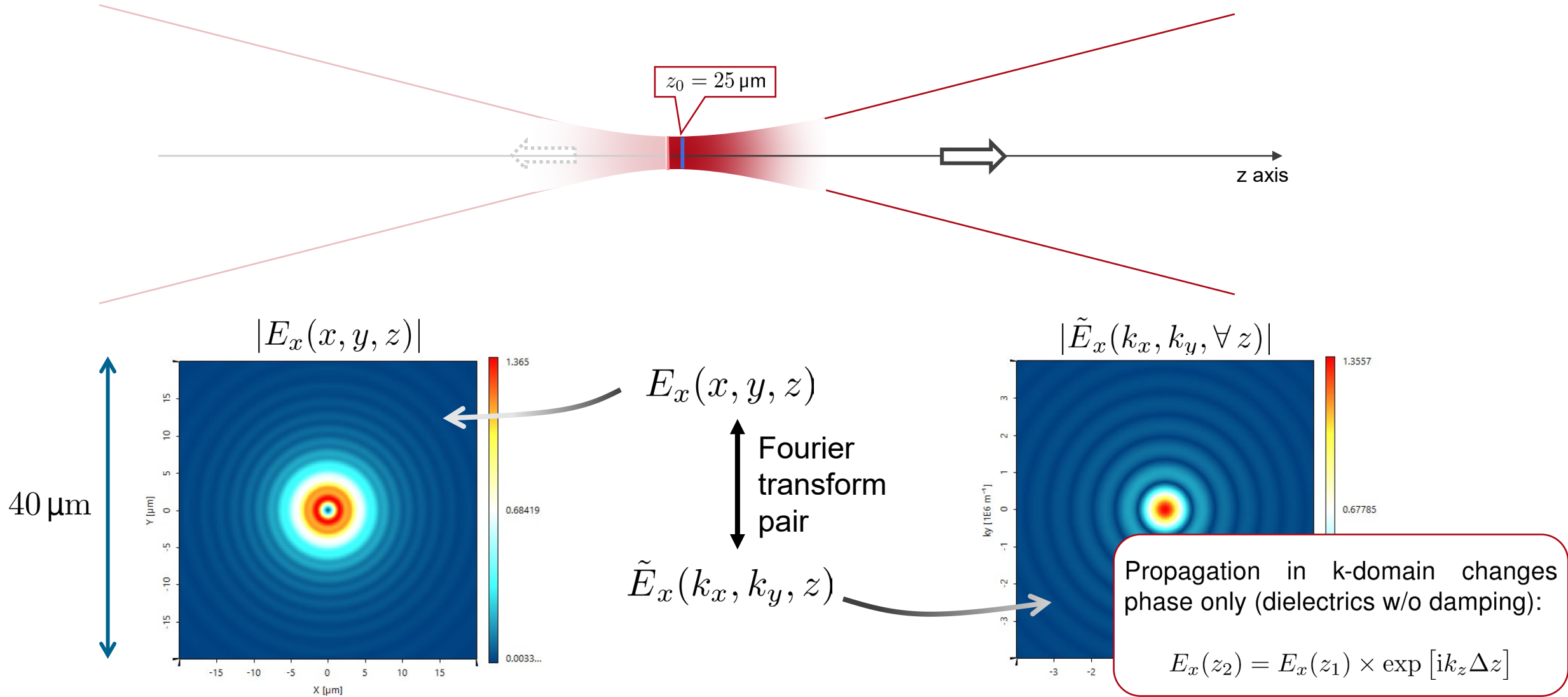
# Example: Diffraction at Circular Aperture



# Example: Diffraction at Circular Aperture

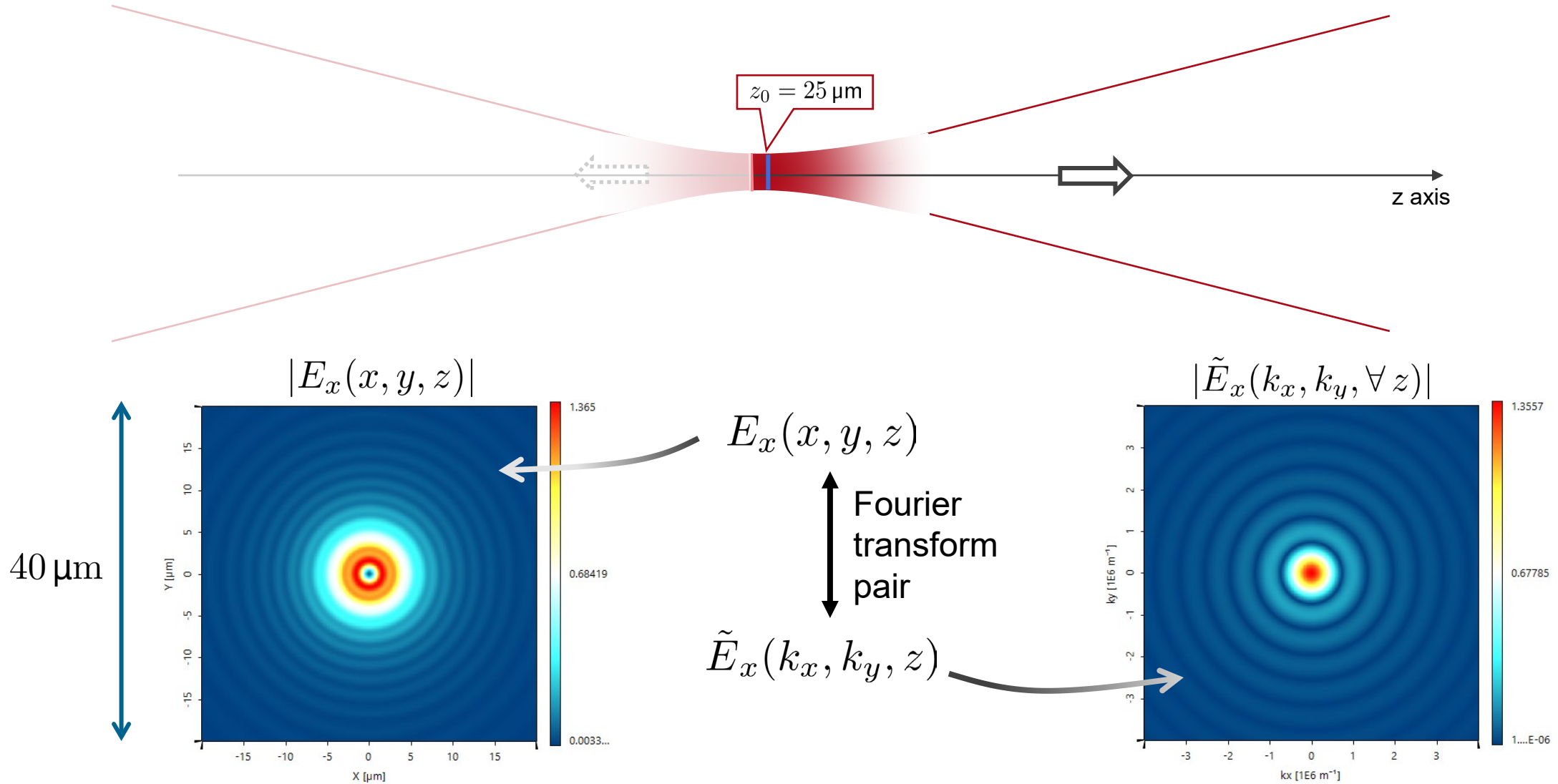


# Example: Diffraction at Circular Aperture

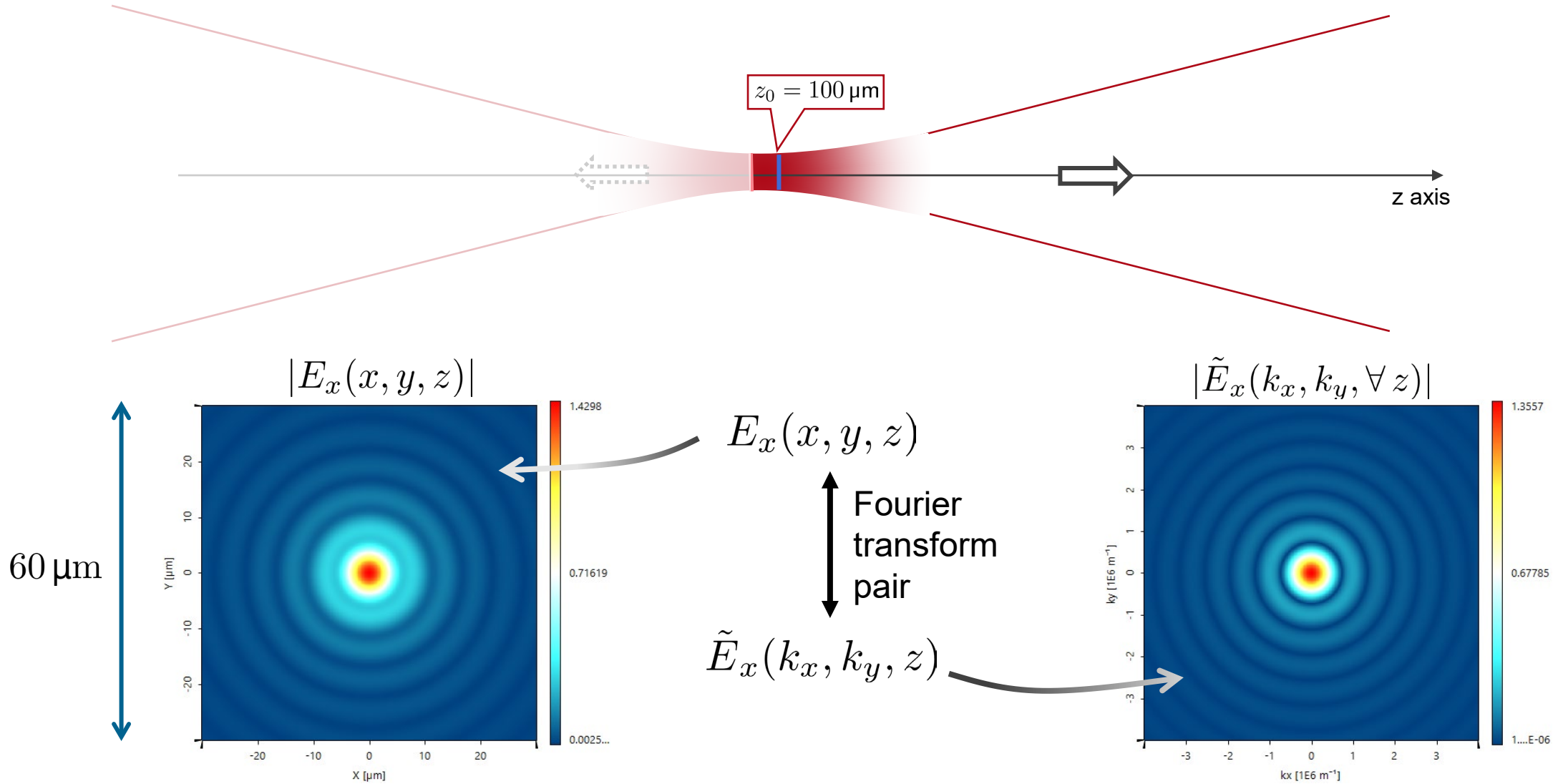




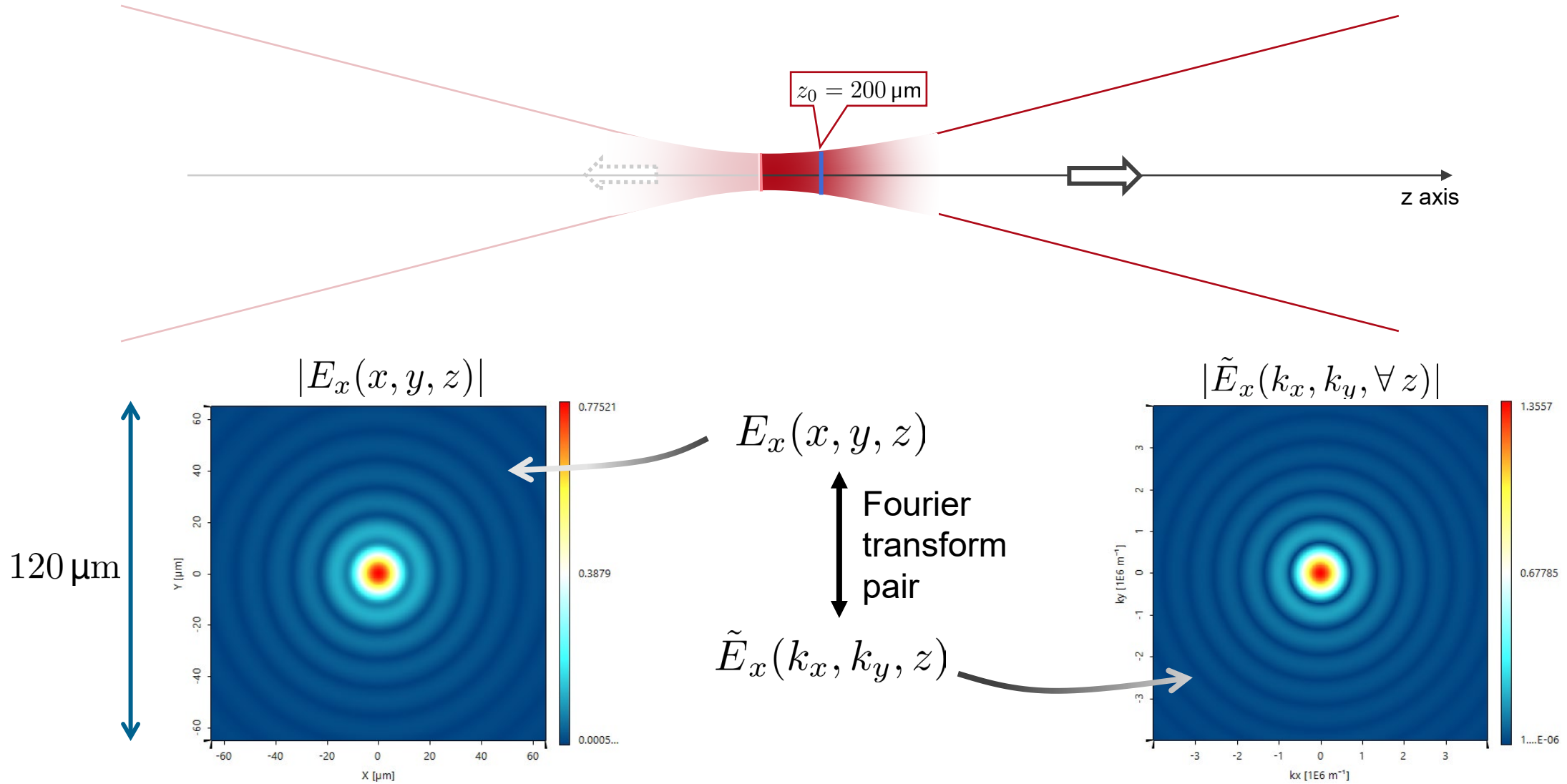
# Example: Diffraction at Circular Aperture



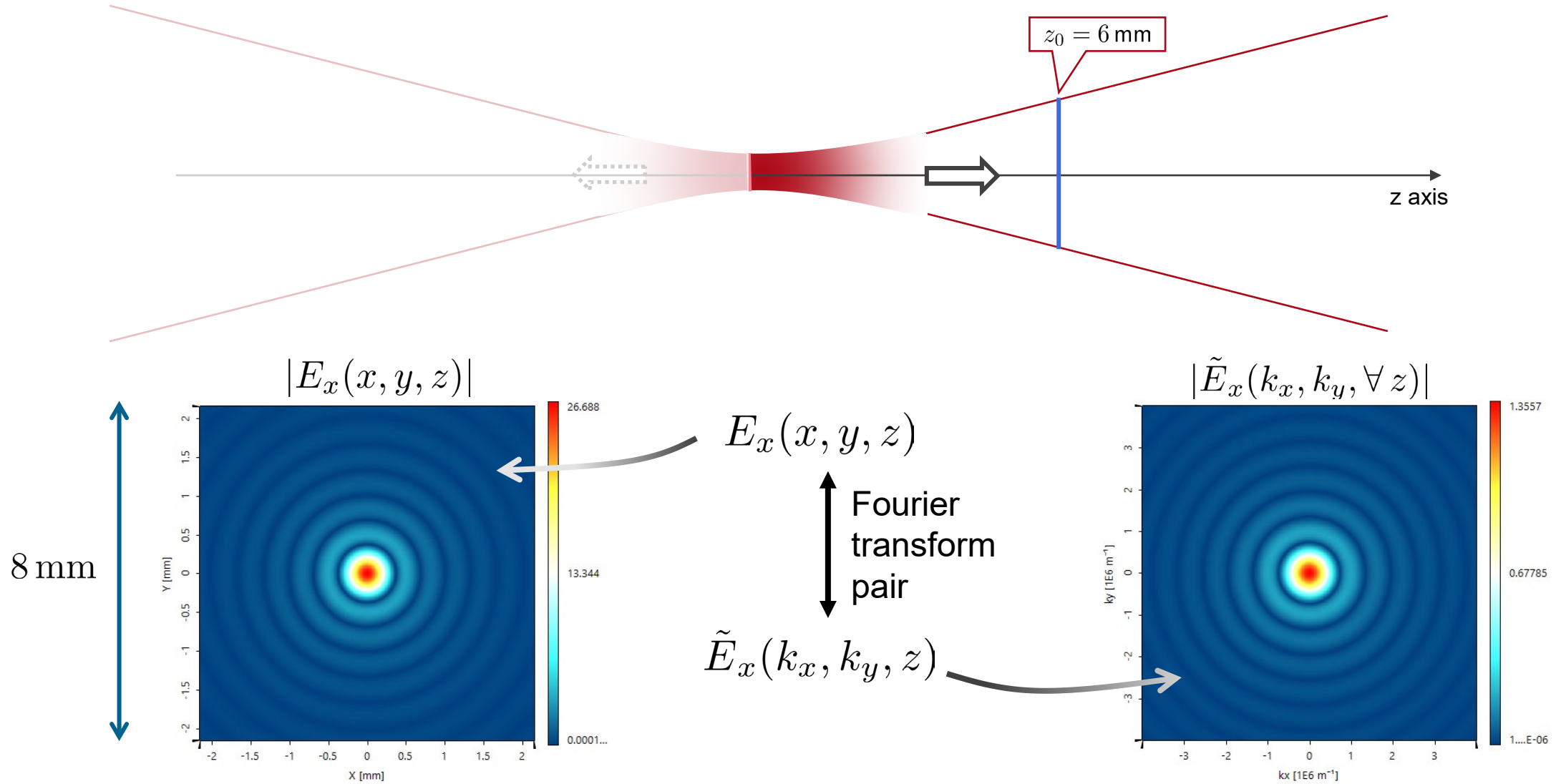
# Example: Diffraction at Circular Aperture



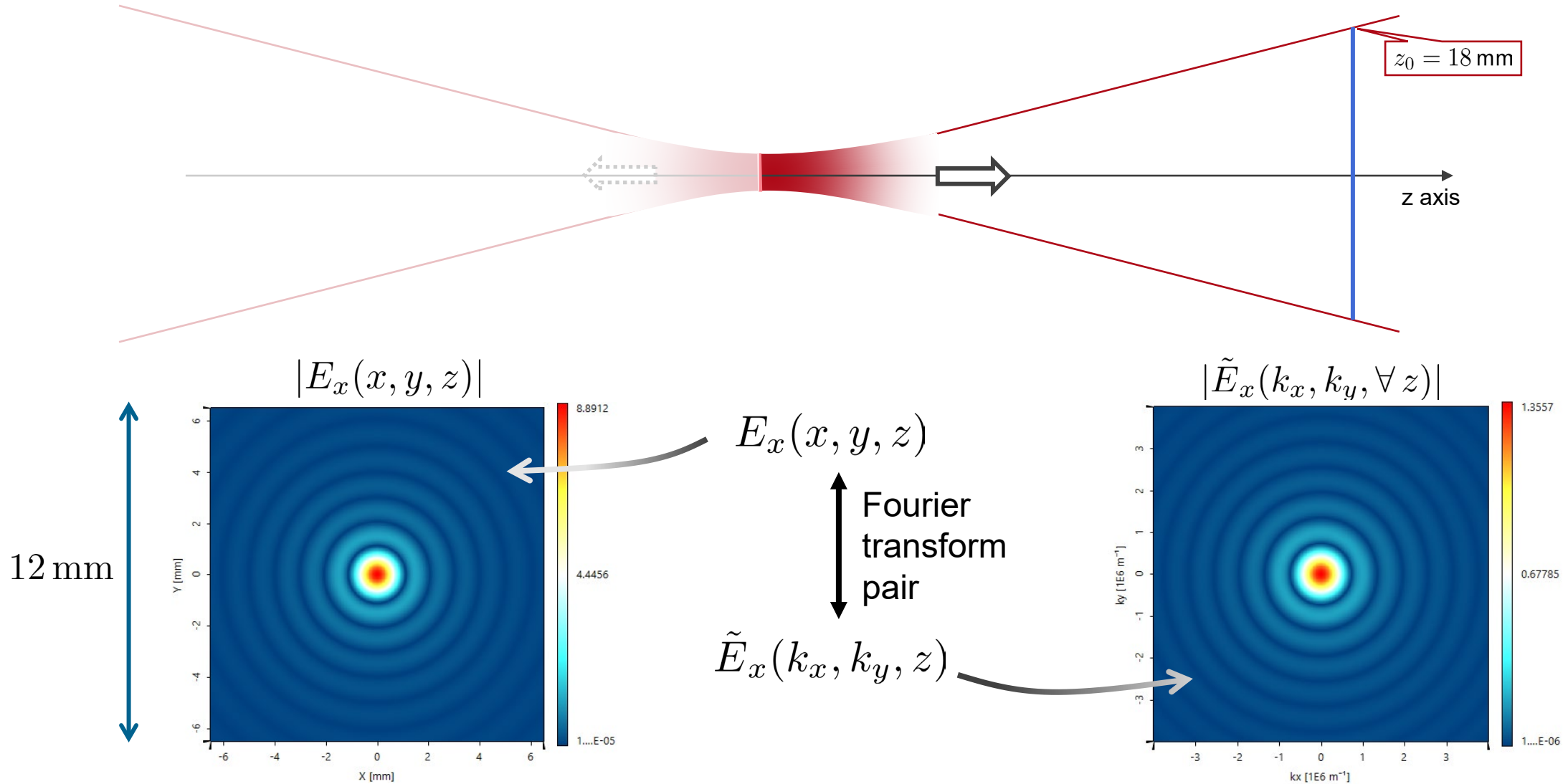
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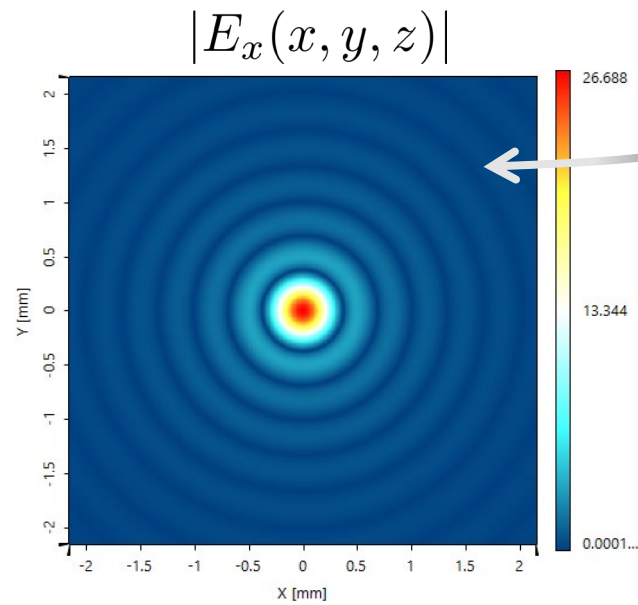
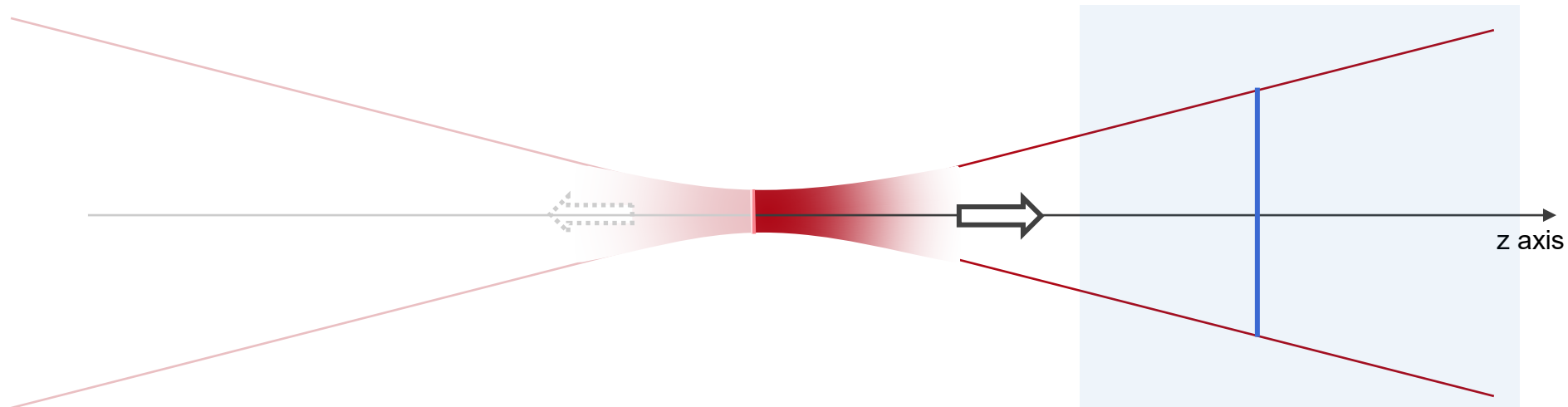
# Example: Diffraction at Circular Aperture



# Example: Diffraction at Circular Aperture



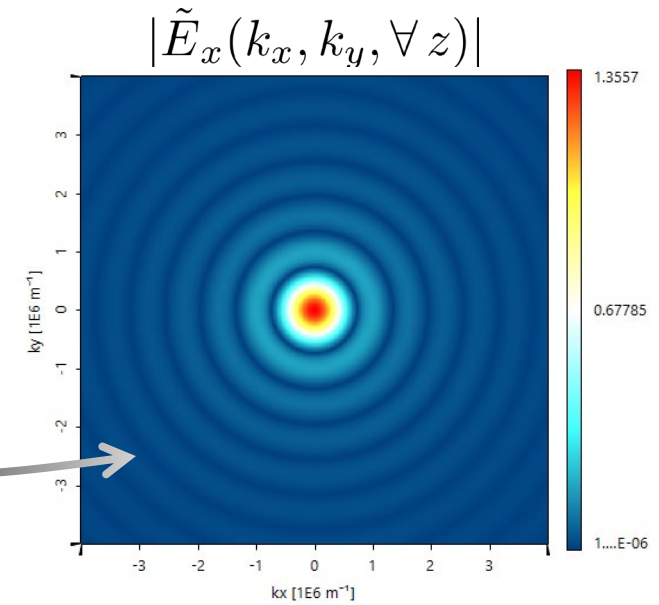
# Example: Diffraction at Circular Aperture: Far Field Zone



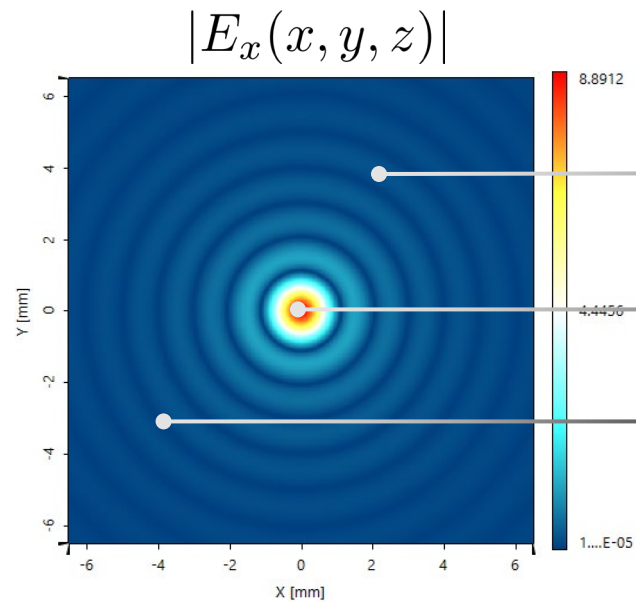
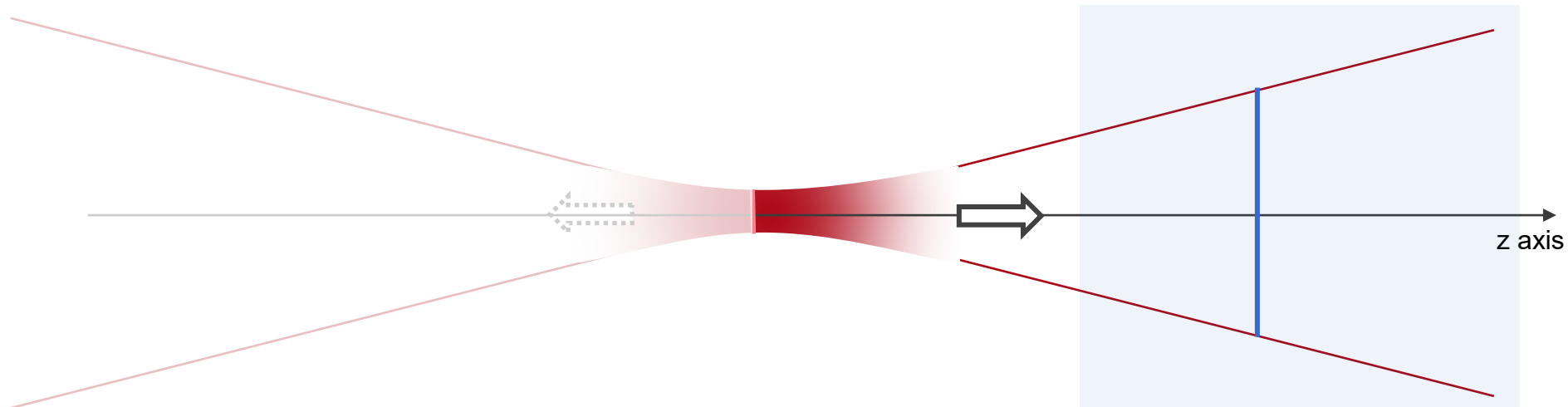
$$E_x(x, y, z)$$

Fourier transform pair

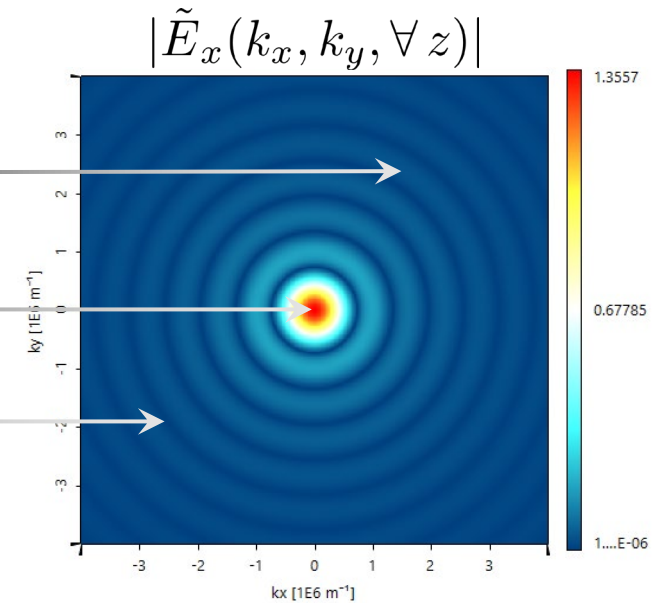
$$\tilde{E}_x(k_x, k_y, z)$$



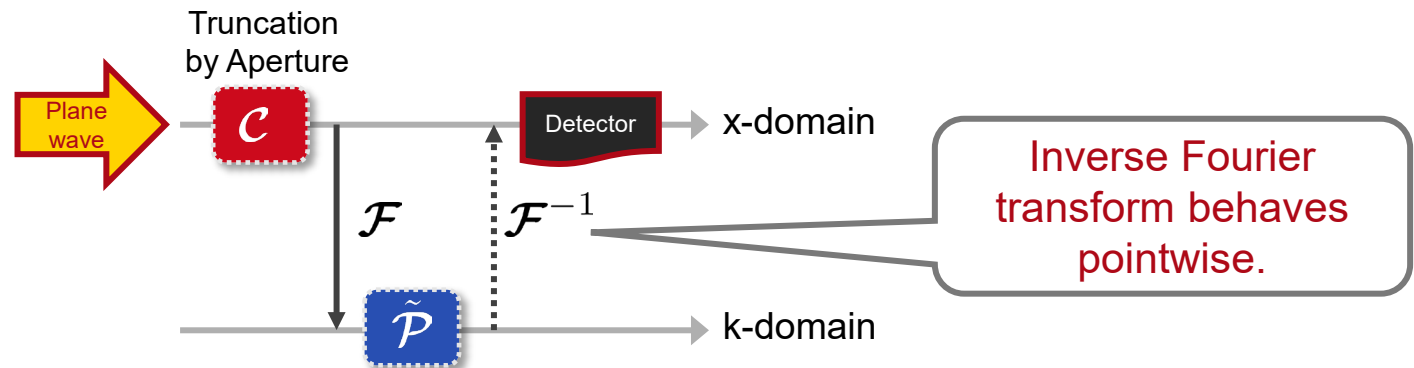
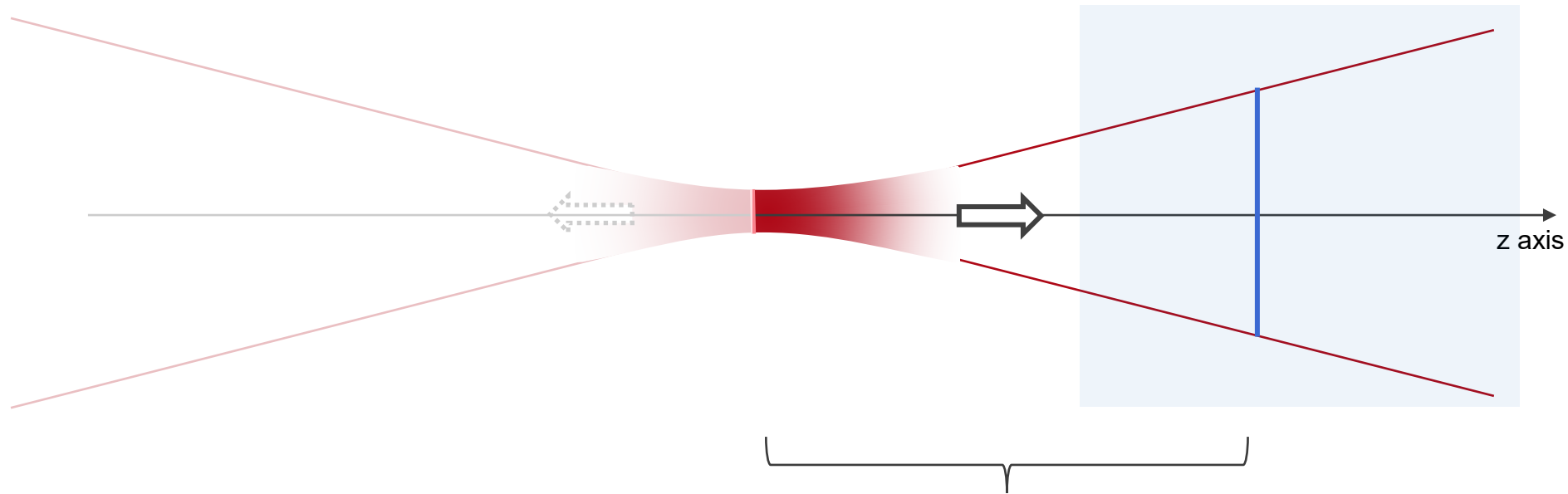
# Example: Diffraction at Circular Aperture: Far Field Zone



Pointwise relationship  
between both domains!

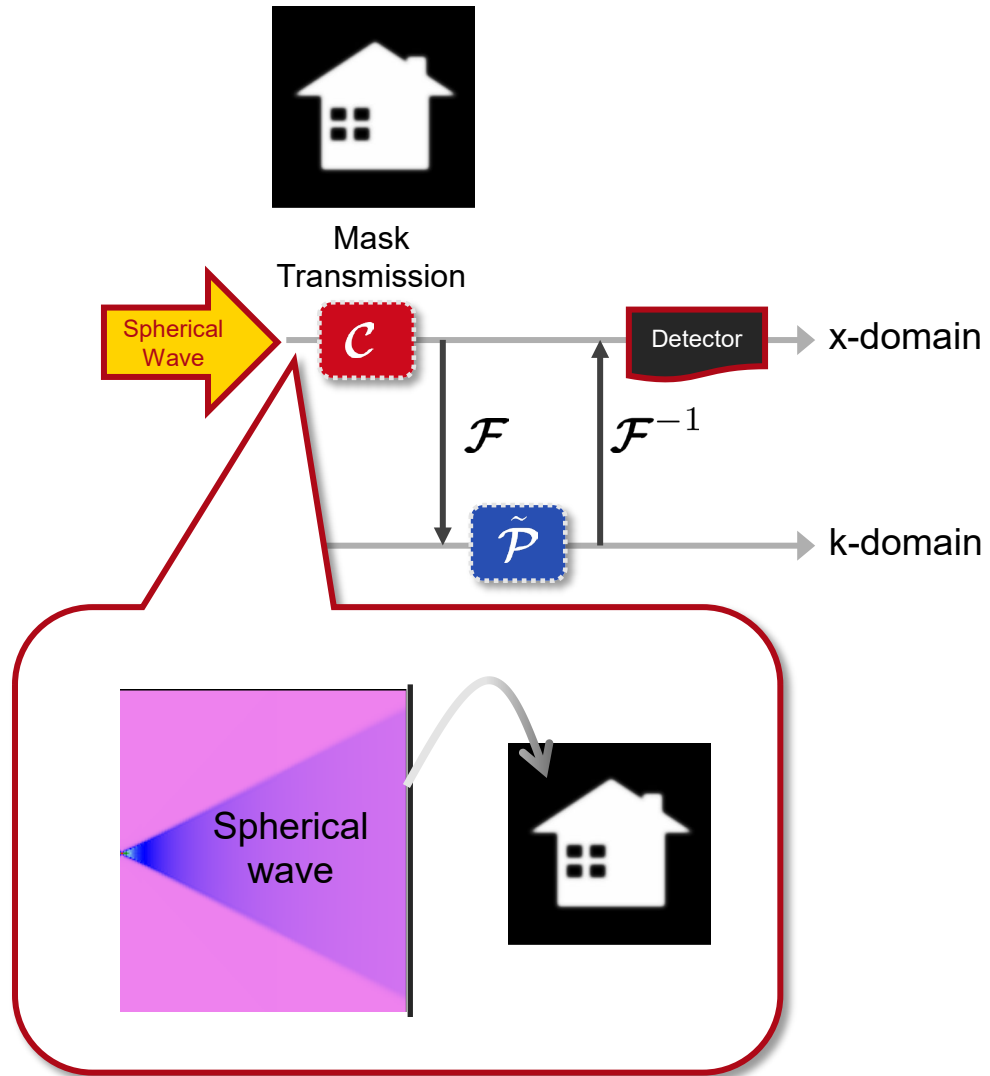


# Example: Diffraction at Circular Aperture: Far Field Zone





# Example: Illumination of Slide with Spherical Wave

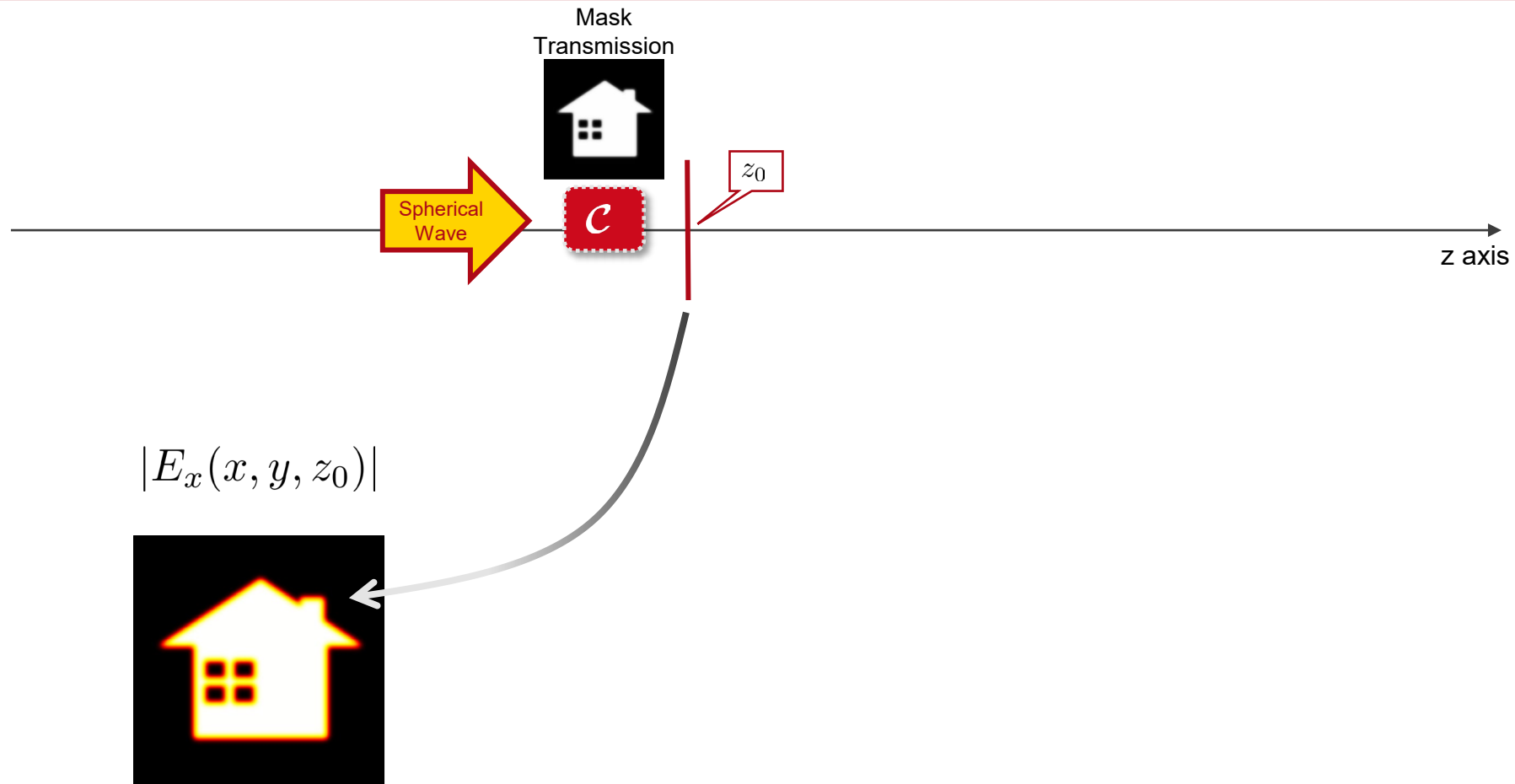


When does free-space propagation approximately reduce to a pointwise mapping?

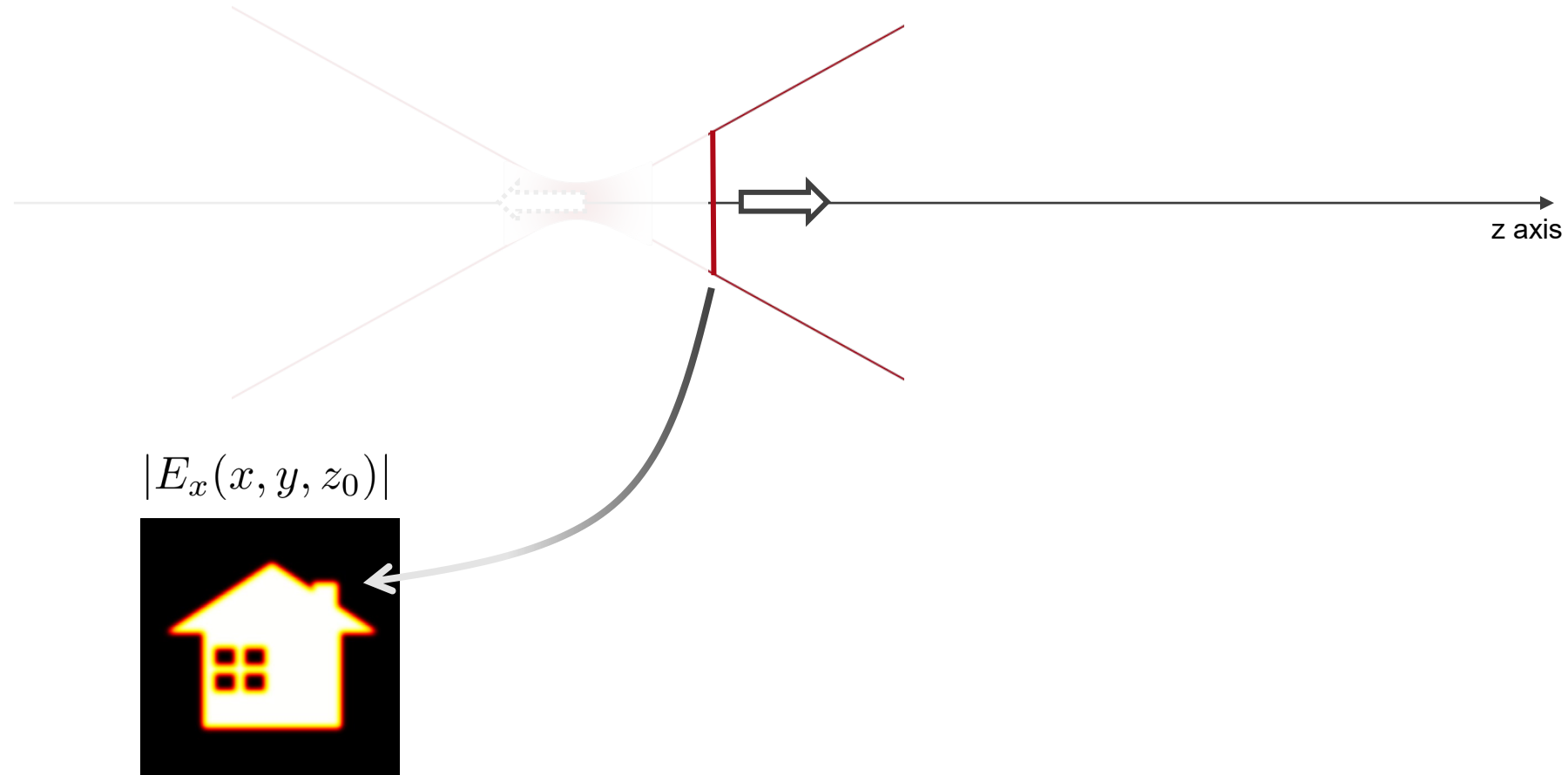


When does the Fourier transform behave in a pointwise manner?

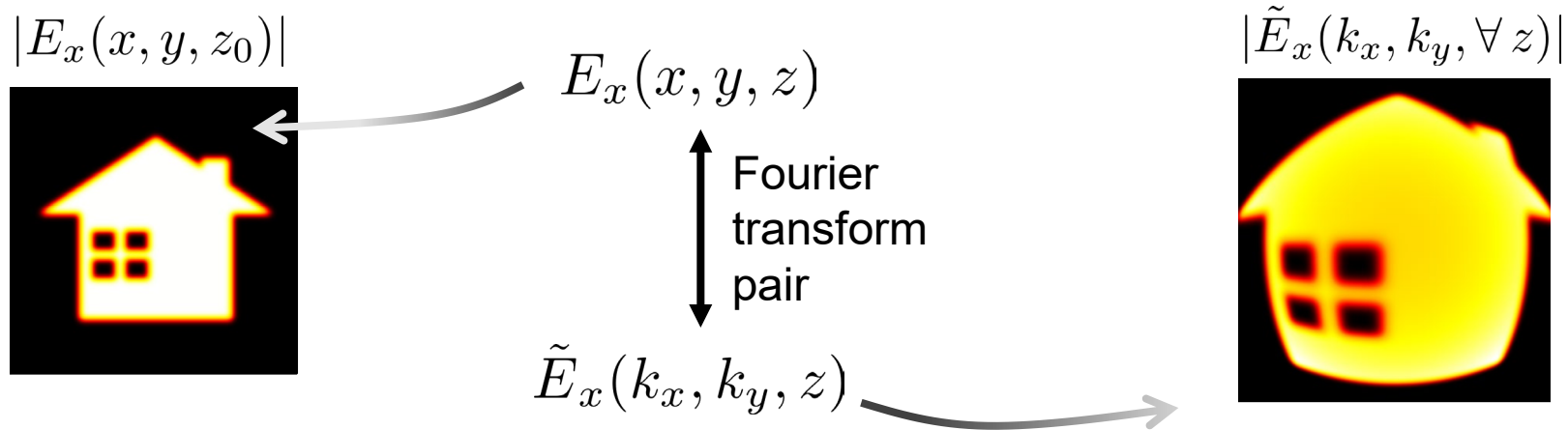
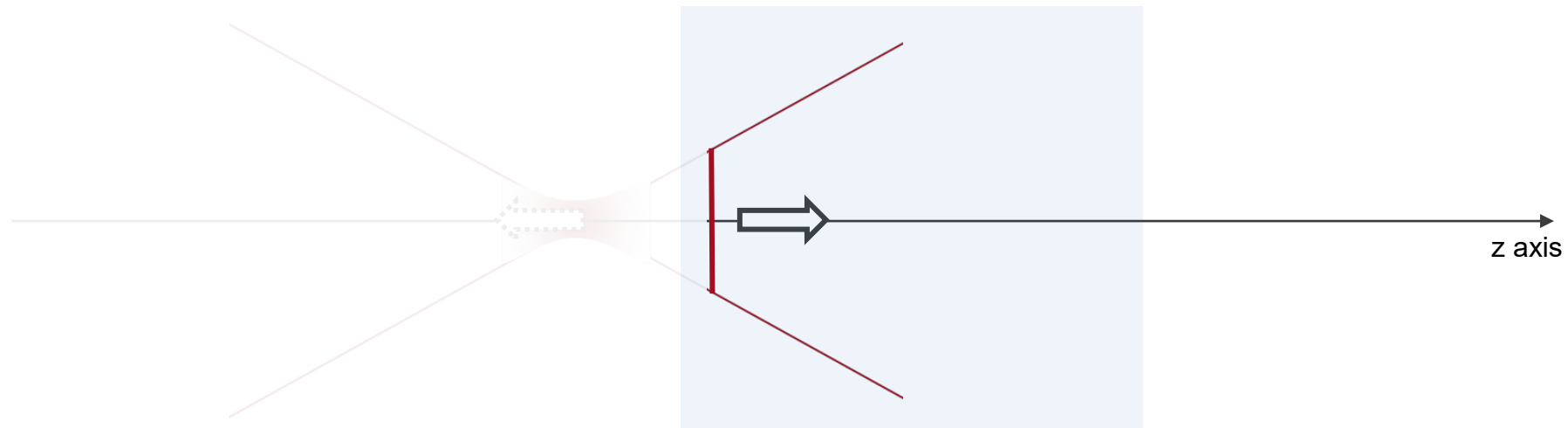
# Example: Propagation Behind Mask



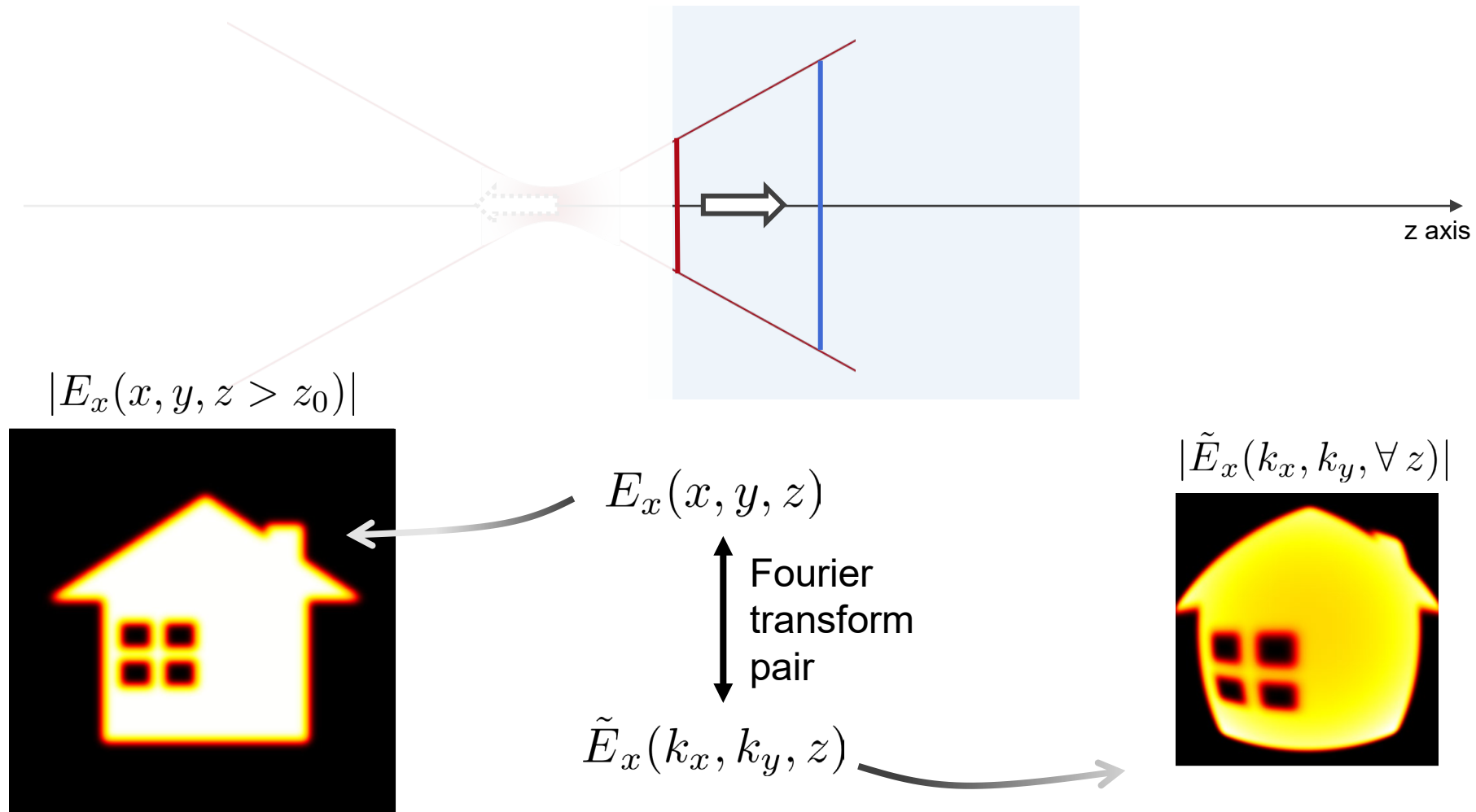
# Example: Propagation Behind Mask



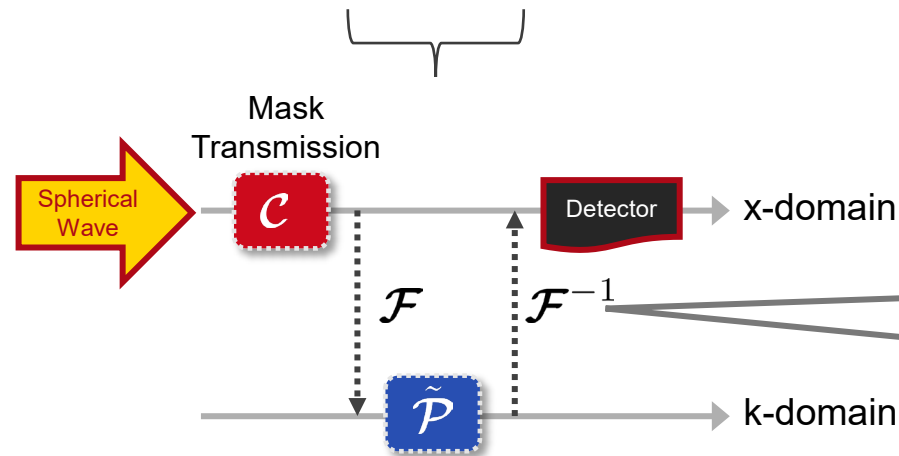
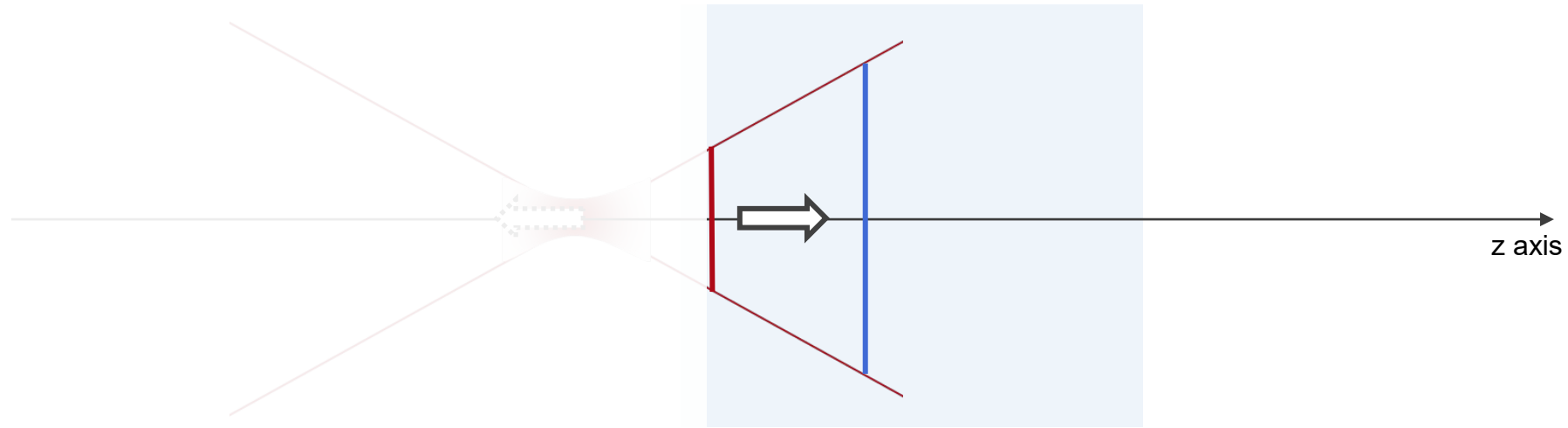
# Example: Propagation Behind Mask



# Example: Propagation Behind Mask

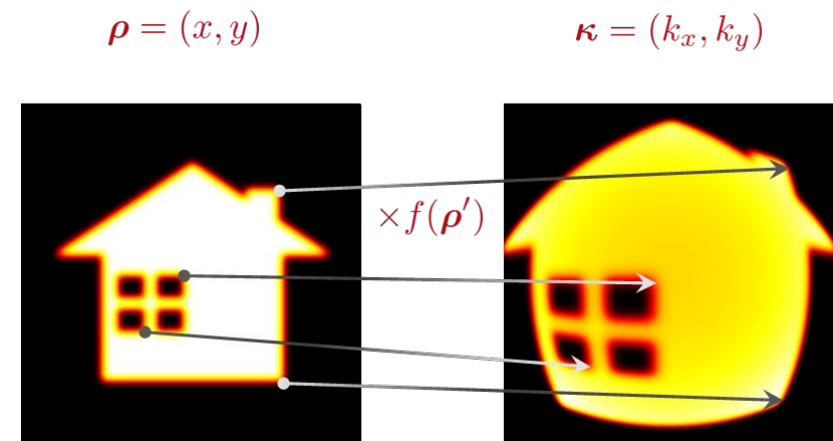
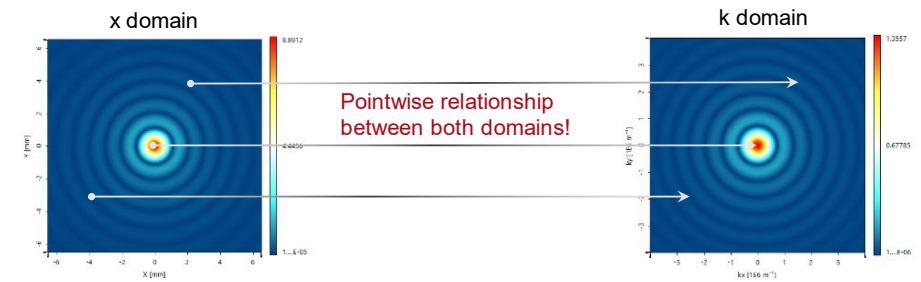
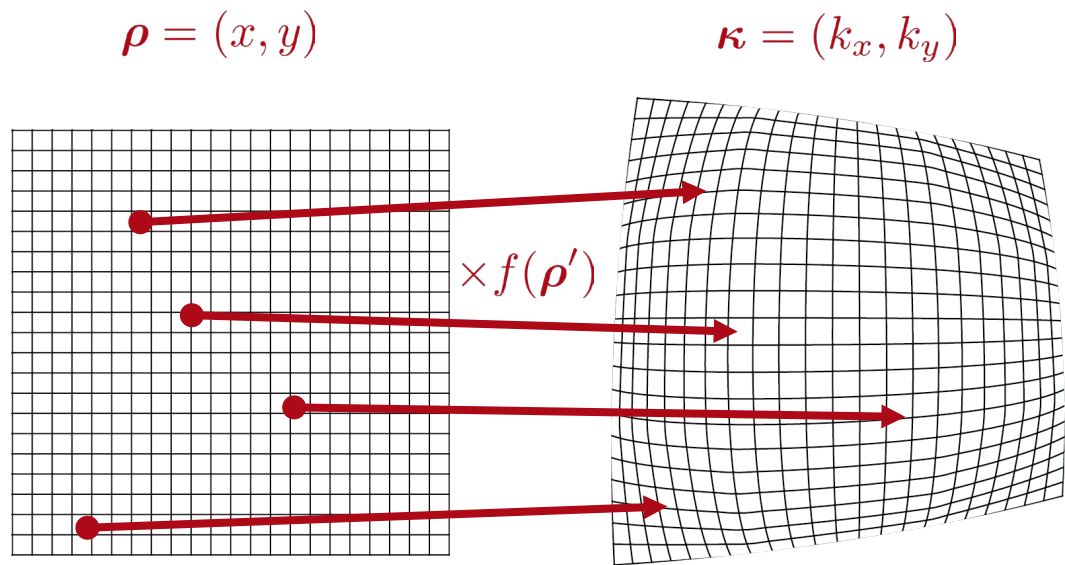


# Example: Propagation Behind Mask



Both Fourier transforms  
behave pointwise:  
**no diffraction**

# Pointwise Behavior of Fourier Transform

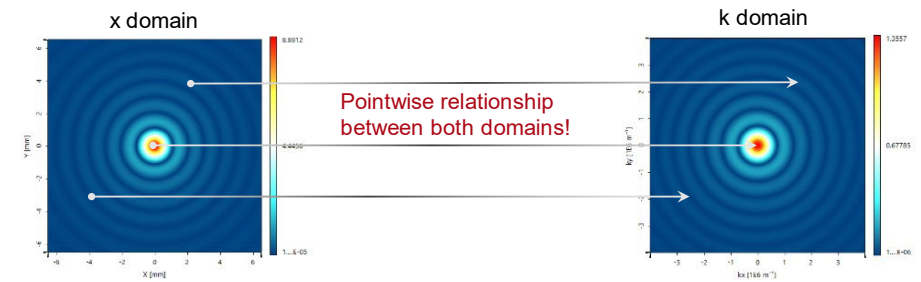


# Pointwise Fourier Transform (PFT) Algorithm

If Fourier transform (FT) behaves approximately pointwise,



Pointwise Fourier Transform (PFT) algorithm enables very fast evaluation of FT.



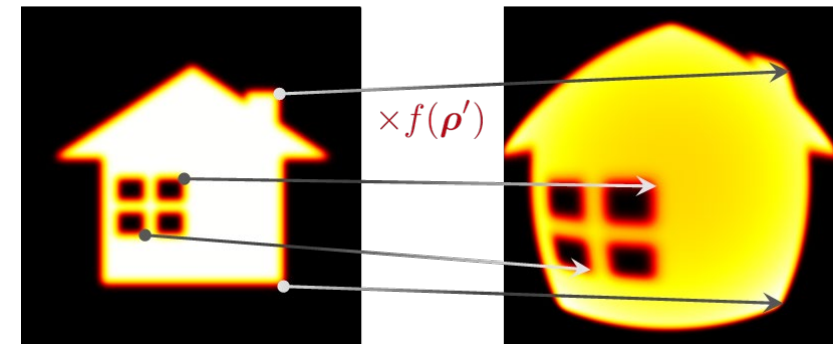
$$\rho = (x, y)$$

$$\kappa = (k_x, k_y)$$



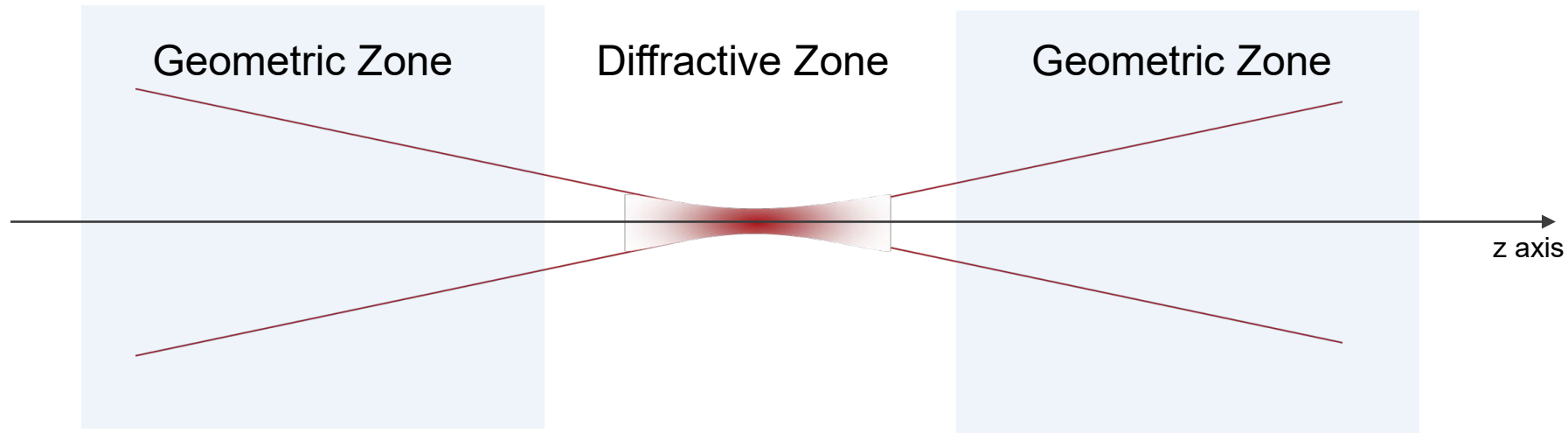
Theory and algorithm of the homeomorphic Fourier transform for optical simulations

ZONGZHAO WANG,<sup>1,2,\*</sup> OLGA BALADRON-ZORITA,<sup>1,2</sup> CHRISTIAN HELLMANN,<sup>3</sup> AND FRANK WYROWSKI<sup>1</sup>





# Geometric and Diffractive Field Zone



**Geometric Field Zone:** Fourier transform (FT) behaves approximately pointwise.



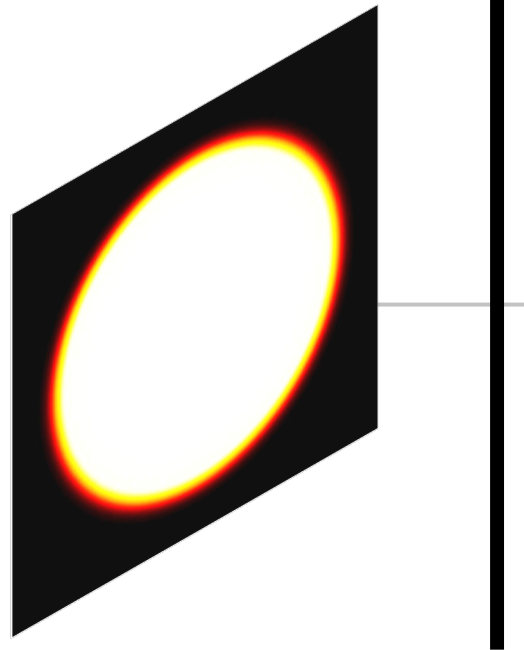
Pointwise Fourier Transform (PFT) algorithm enables very fast evaluation of FT.



Pointwise Transformation Index (PTI) used to specify threshold.

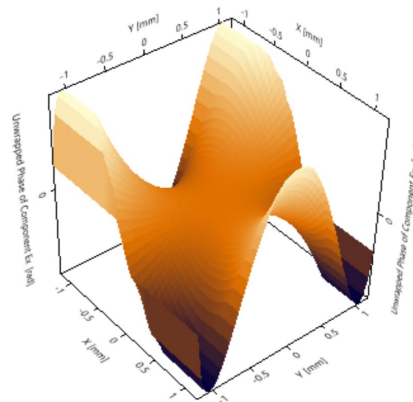
# Pointwise Behavior in Presence of Aberrations

$$V_\ell(\boldsymbol{\rho}) = |V_\ell(\boldsymbol{\rho})| \exp(i\psi(\boldsymbol{\rho}))$$

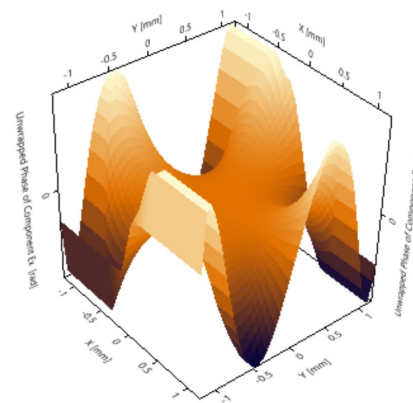


Zernike Phase Plate

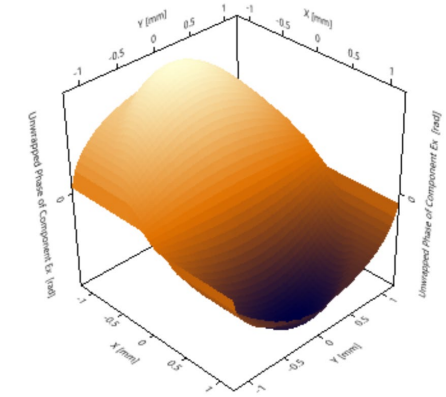
$$\psi(\boldsymbol{\rho}) = \psi^{\text{sph}}(\boldsymbol{\rho}) + \psi^{\text{zer}}(\boldsymbol{\rho})$$



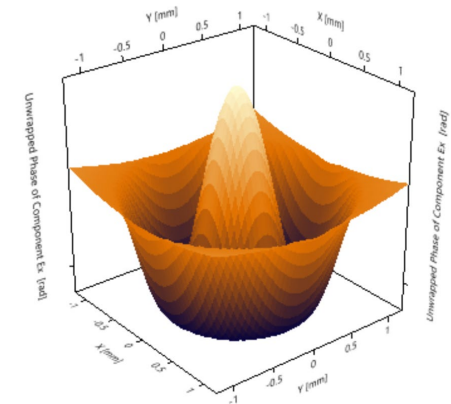
“+” trefoil x



“+” tetrafoil y



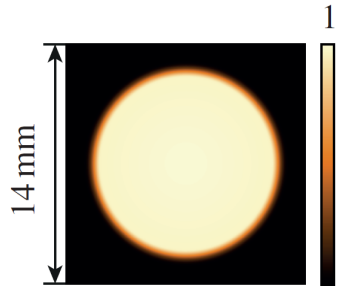
“+” coma x



“+” tertiary spherical

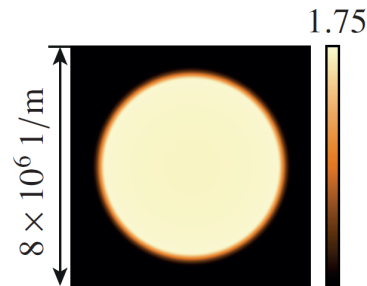
# Results of Fourier Transform

Amplitude  $|V_\ell(\rho)|$



$\mathcal{F}_K$

deviation of PFT  
from FFT  
algorithms  $\sim 0.1\%$

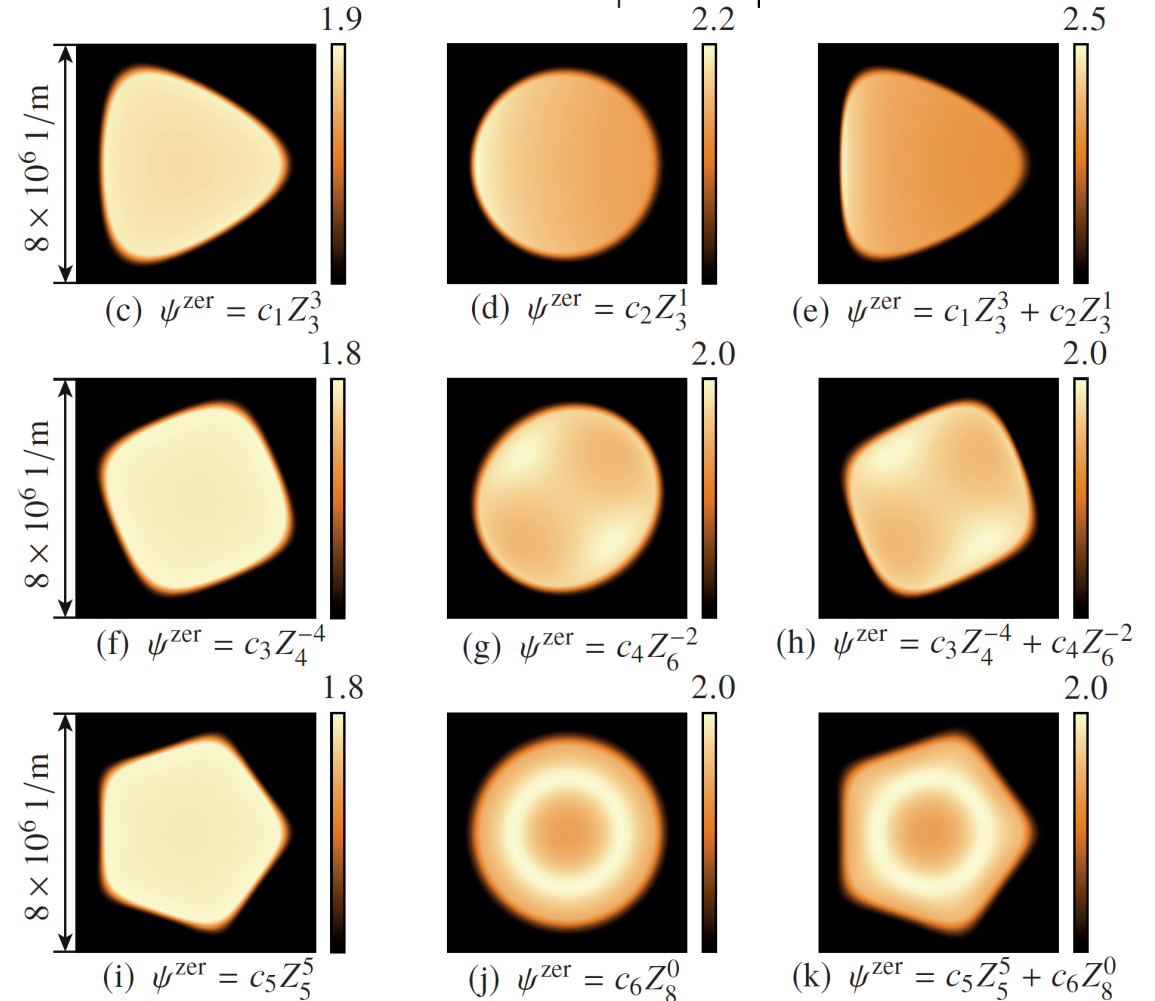


(b)  $\psi^{\text{zer}} = 0$

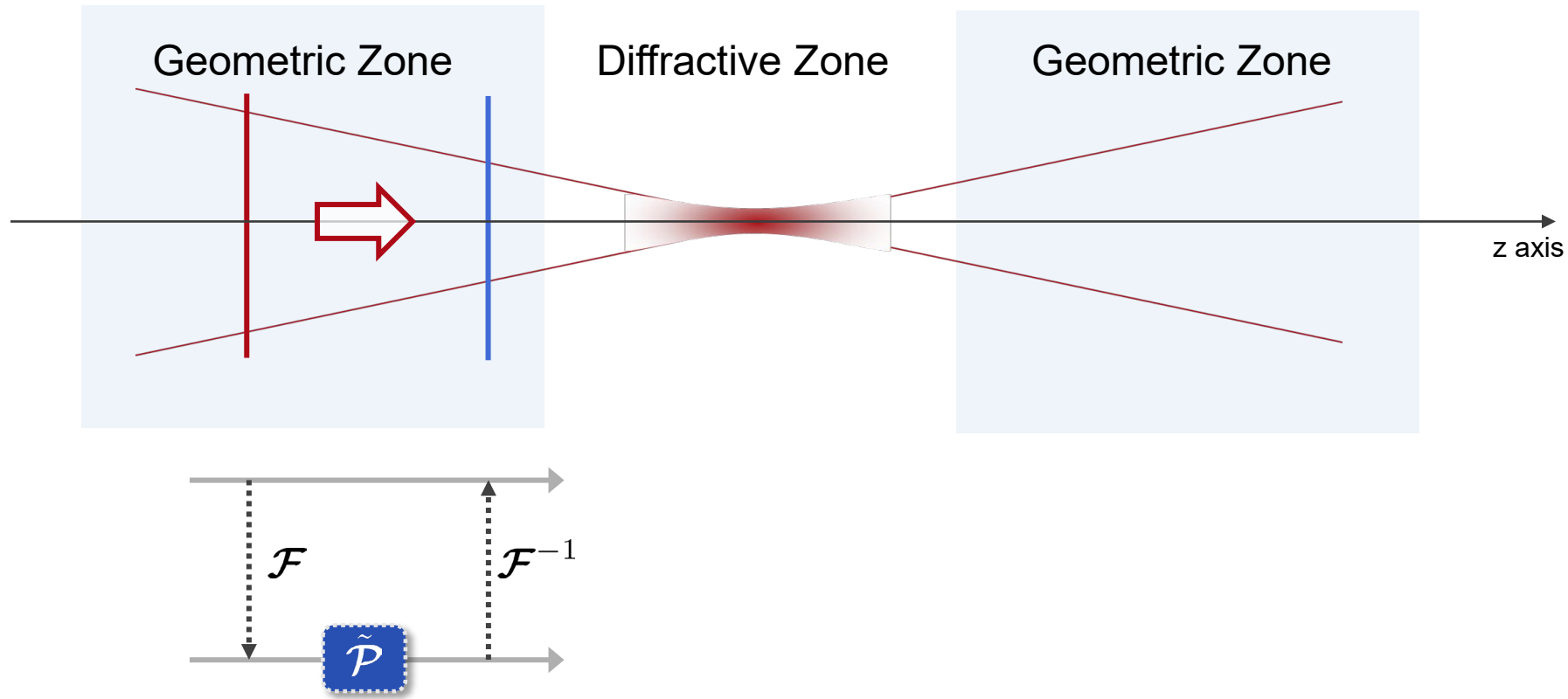
$$V_\ell(\rho) = |V_\ell(\rho)| \exp(i\psi(\rho))$$

$$\psi(\rho) = \psi^{\text{sph}}(\rho) + \psi^{\text{zer}}(\rho)$$

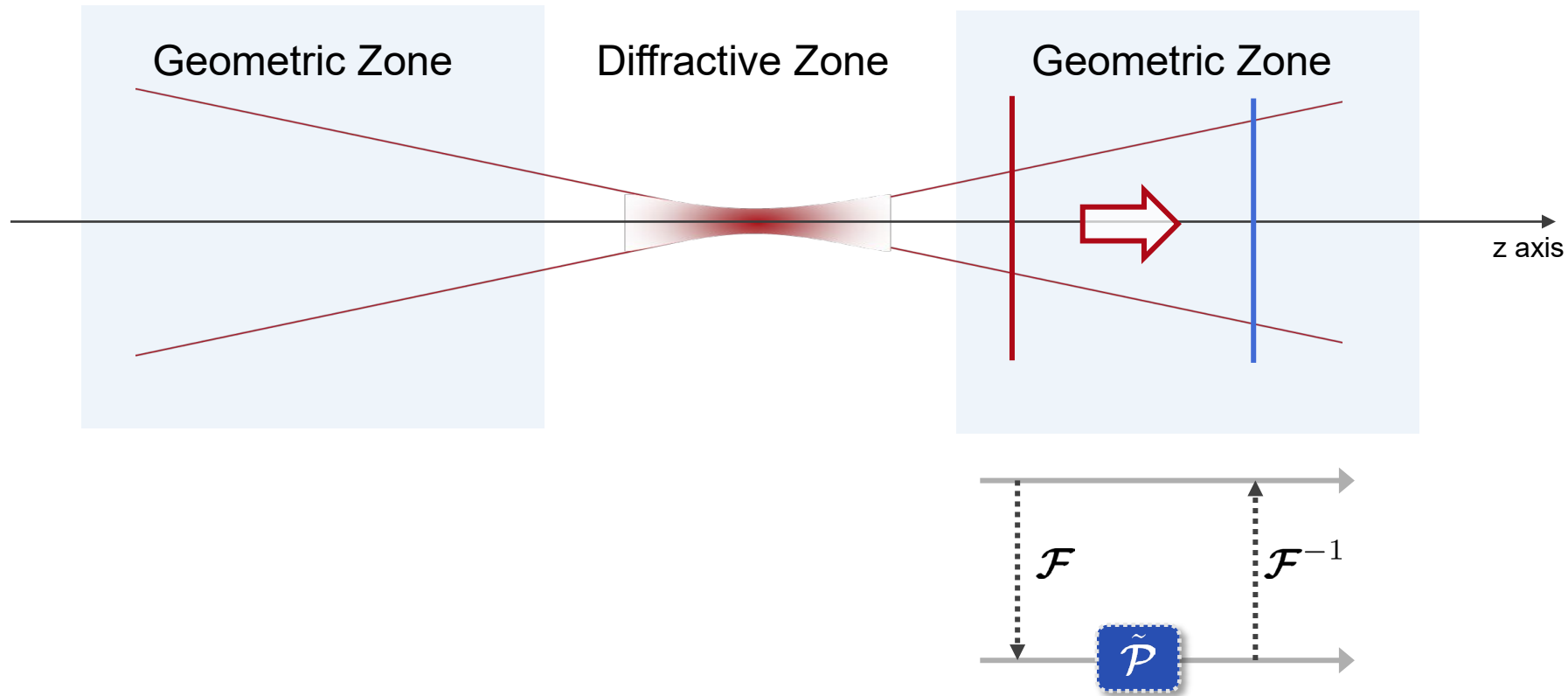
Amplitude  $|\tilde{V}_\ell(\kappa)|$



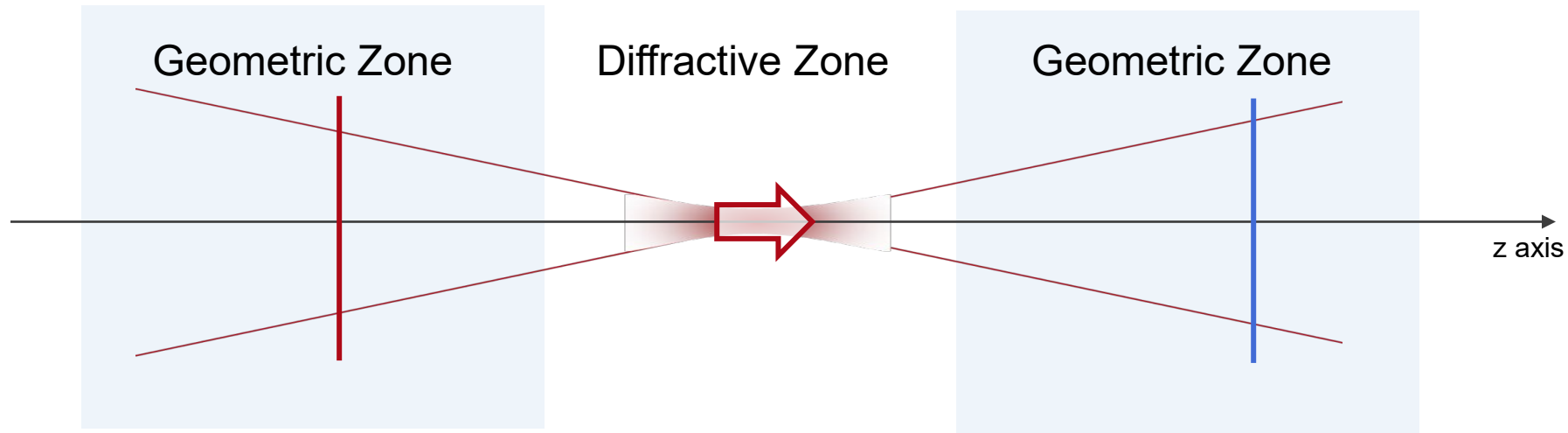
# Geometric Propagation of Electromagnetic Fields



# Geometric Propagation of Electromagnetic Fields



# Geometric Propagation of Electromagnetic Fields



# Pointwise Approximation of Fourier Transform

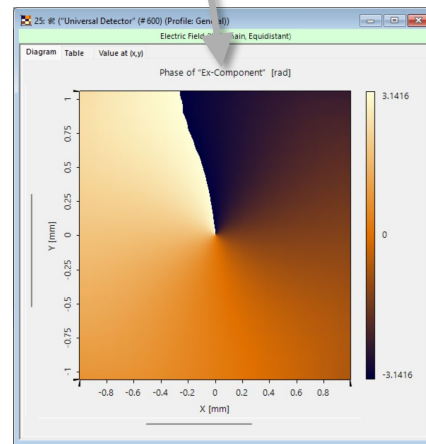
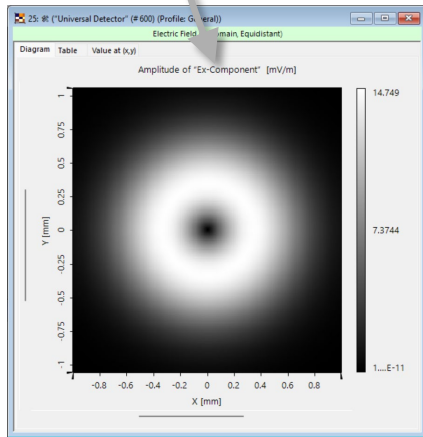
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$$\begin{aligned} V_\ell(\boldsymbol{\rho}, z) &= U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)] \\ &= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)] \end{aligned}$$

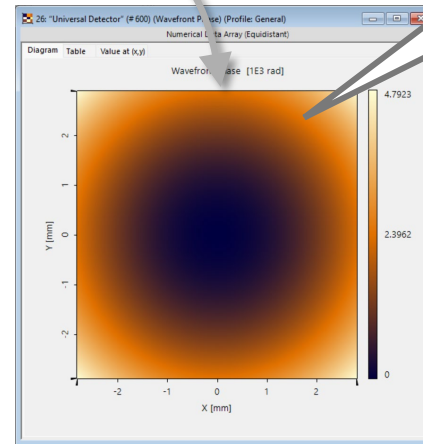
Example: propagated Gaussian Laguerre beam

# Pointwise Approximation of Fourier Transform

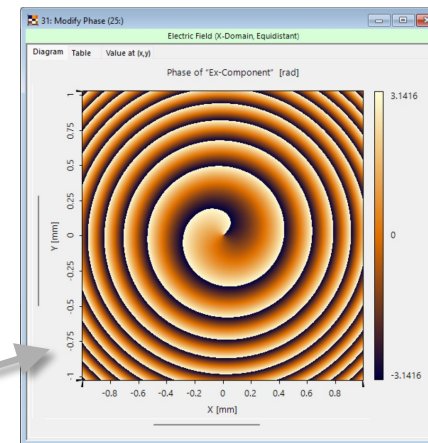
$$V_\ell(\boldsymbol{\rho}, z) = U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)]$$
$$= (|V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)]) \exp [i\psi(\boldsymbol{\rho}, z)]$$



+



Wavefront Phase





# Pointwise Approximation of Fourier Transform

$$\begin{aligned} V_\ell(\boldsymbol{\rho}, z) &= U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)] \\ &= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)] \end{aligned}$$

$$\begin{array}{c} \vdots \\ \mathcal{F} \\ \downarrow \\ \boldsymbol{\rho} \mapsto \boldsymbol{\kappa}(\boldsymbol{\rho}) = \nabla\psi(\boldsymbol{\rho}) \end{array}$$

$$\begin{aligned} \tilde{V}_\ell(\boldsymbol{\kappa}, z) &= \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)] \\ &= \left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\alpha}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)] \end{aligned}$$

$$\tilde{A}_\ell(\boldsymbol{\kappa}, z) = \sqrt{|\mathbf{J}_\rho(\boldsymbol{\kappa})|} \left( U_\ell(\boldsymbol{\rho}, z) \sigma(\boldsymbol{\rho}, z) \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$$\tilde{\phi}(\boldsymbol{\kappa}, z) = \left( \psi(\boldsymbol{\rho}, z) - \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

Jacobian determinant

Legendre transformation

# Pointwise Approximation of Fourier Transform

$$\begin{aligned}
 V_\ell(\boldsymbol{\rho}, z) &= U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)] \\
 &= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)]
 \end{aligned}$$

$\mathcal{F}$   
 $\boldsymbol{\rho} \mapsto \boldsymbol{\kappa}(\boldsymbol{\rho}) = \nabla\psi(\boldsymbol{\rho})$

$$\begin{aligned}
 \tilde{V}_\ell(\boldsymbol{\kappa}, z) &= \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)] \\
 &= \left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\beta}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]
 \end{aligned}$$

$$\tilde{A}_\ell(\boldsymbol{\kappa}, z) = \sqrt{|\mathbf{J}_\rho(\boldsymbol{\kappa})|} \left( U_\ell(\boldsymbol{\rho}, z) \sigma(\boldsymbol{\rho}, z) \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$$\tilde{\phi}(\boldsymbol{\kappa}, z) = \left( \psi(\boldsymbol{\rho}, z) - \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$\partial_{xx}\psi(\boldsymbol{\rho}), \partial_{yy}\psi(\boldsymbol{\rho})$	$\partial_{xy}\psi(\boldsymbol{\rho})$	$ \mathbf{J}_\kappa(\boldsymbol{\rho}) $	factor $\sigma(\boldsymbol{\rho})$
same sign ( $\pm$ )	small	$> 0$	$\pm i$
one/both = 0	$\neq 0$	$< 0$	1
different sign	any	$< 0$	1
same sign	large	$< 0$	1

# Pointwise Approximation of Fourier Transform

$$V_\ell(\boldsymbol{\rho}, z) = U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)]$$

Exponential function must not be sampled!

$\mathcal{F}$   
↓

$$\boldsymbol{\rho} \mapsto \boldsymbol{\kappa}(\boldsymbol{\rho}) = \nabla\psi(\boldsymbol{\rho})$$

Spline interpolation of wavefront phase is suitable.

$$\tilde{V}_\ell(\boldsymbol{\kappa}, z) = \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$= \left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\beta}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

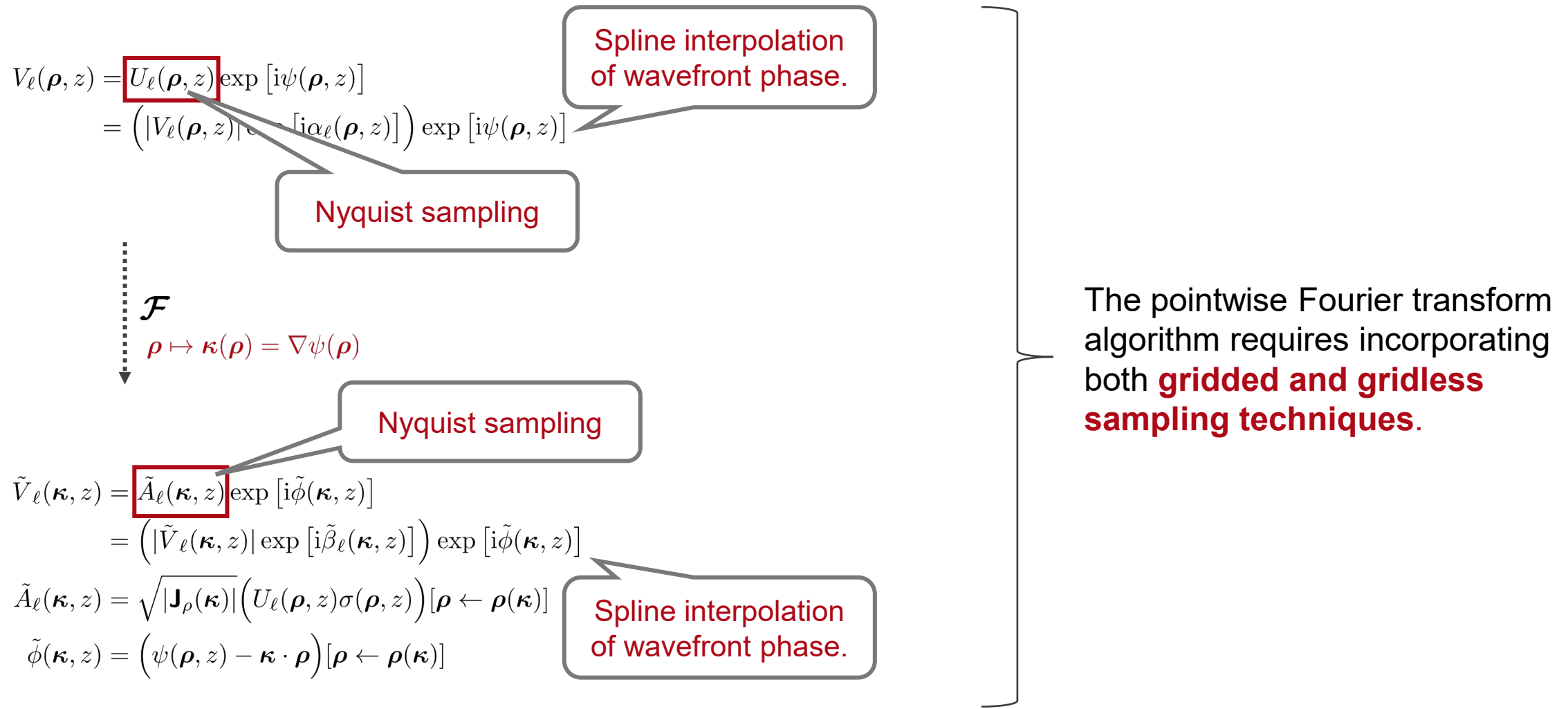
$$\tilde{A}_\ell(\boldsymbol{\kappa}, z) = \sqrt{|\mathbf{J}_\rho(\boldsymbol{\kappa})|} \left( U_\ell(\boldsymbol{\rho}, z) \sigma(\boldsymbol{\rho}, z) \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$$\tilde{\phi}(\boldsymbol{\kappa}, z) = \left( \psi(\boldsymbol{\rho}, z) - \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

Spline interpolation of wavefront phase is suitable.

- Spline interpolation requires only a **small number**  $N$  of sampled values.
- The computational complexity of the Pointwise Fourier Transform algorithm scales linearly with this small  $N$ .

# Pointwise Approximation of Fourier Transform



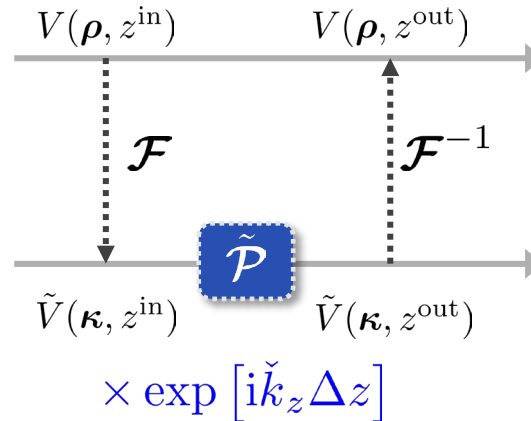
# Algorithm for Geometric Propagation of Electromagnetic Fields

$$V_\ell(\boldsymbol{\rho}, z) = U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$\mathcal{F}$$

$$\boldsymbol{\rho} \mapsto \boldsymbol{\kappa}(\boldsymbol{\rho}) = \nabla\psi(\boldsymbol{\rho})$$



$$\tilde{V}_\ell(\boldsymbol{\kappa}, z) = \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$= \left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\beta}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$\tilde{A}_\ell(\boldsymbol{\kappa}, z) = \sqrt{|\mathbf{J}_\rho(\boldsymbol{\kappa})|} \left( U_\ell(\boldsymbol{\rho}, z) \sigma(\boldsymbol{\rho}, z) \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$$\tilde{\phi}(\boldsymbol{\kappa}, z) = \left( \psi(\boldsymbol{\rho}, z) - \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$$V_\ell(\boldsymbol{\rho}, z) = U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$U_\ell(\boldsymbol{\rho}, z) = \sqrt{|\mathbf{J}_\kappa(\boldsymbol{\rho})|} \left( \tilde{A}_\ell(\boldsymbol{\kappa}, z) \tilde{\sigma}(\boldsymbol{\kappa}, z) \right) [\boldsymbol{\kappa} \leftarrow \boldsymbol{\kappa}(\boldsymbol{\rho})]$$

$$\psi(\boldsymbol{\rho}, z) = \left( \tilde{\phi}(\boldsymbol{\kappa}, z) + \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\kappa} \leftarrow \boldsymbol{\kappa}(\boldsymbol{\rho})]$$

$$\mathcal{F}^{-1}$$

$$\boldsymbol{\kappa} \mapsto \boldsymbol{\rho}(\boldsymbol{\kappa}) = -\tilde{\nabla}\tilde{\phi}(\boldsymbol{\kappa})$$

$$\tilde{V}_\ell(\boldsymbol{\kappa}, z) = \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$= \left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\beta}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

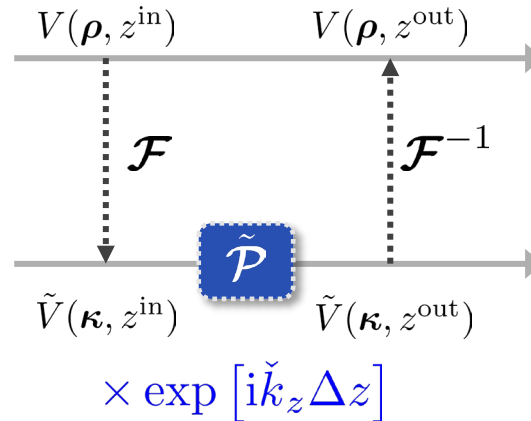
# Pointwise Approximation of Fourier Transform

$$V_\ell(\boldsymbol{\rho}, z) = U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$\mathcal{F}$$

$$\boldsymbol{\rho} \mapsto \boldsymbol{\kappa}(\boldsymbol{\rho}) = \nabla\psi(\boldsymbol{\rho})$$



$$\tilde{V}_\ell(\boldsymbol{\kappa}, z) = \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$= \left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\beta}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$\tilde{A}_\ell(\boldsymbol{\kappa}, z) = \sqrt{|\mathbf{J}_\rho(\boldsymbol{\kappa})|} \left( U_\ell(\boldsymbol{\rho}, z) \sigma(\boldsymbol{\rho}, z) \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$$\tilde{\phi}(\boldsymbol{\kappa}, z) = \left( \psi(\boldsymbol{\rho}, z) - \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$$V_\ell(\boldsymbol{\rho}, z) = U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$U_\ell(\boldsymbol{\rho}, z) = \sqrt{|\mathbf{J}_\kappa(\boldsymbol{\rho})|} \left( \tilde{A}_\ell(\boldsymbol{\kappa}, z) \tilde{\sigma}(\boldsymbol{\kappa}, z) \right) [\boldsymbol{\kappa} \leftarrow \boldsymbol{\kappa}(\boldsymbol{\rho})]$$

$$\psi(\boldsymbol{\rho}, z) = \left( \tilde{\phi}(\boldsymbol{\kappa}, z) + \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\kappa} \leftarrow \boldsymbol{\kappa}(\boldsymbol{\rho})]$$

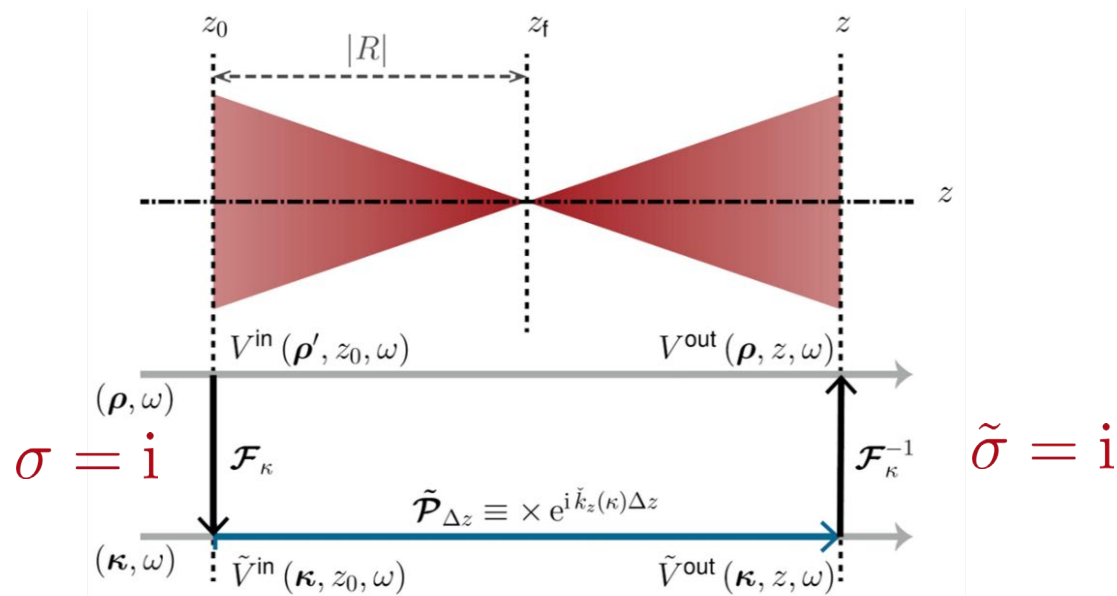
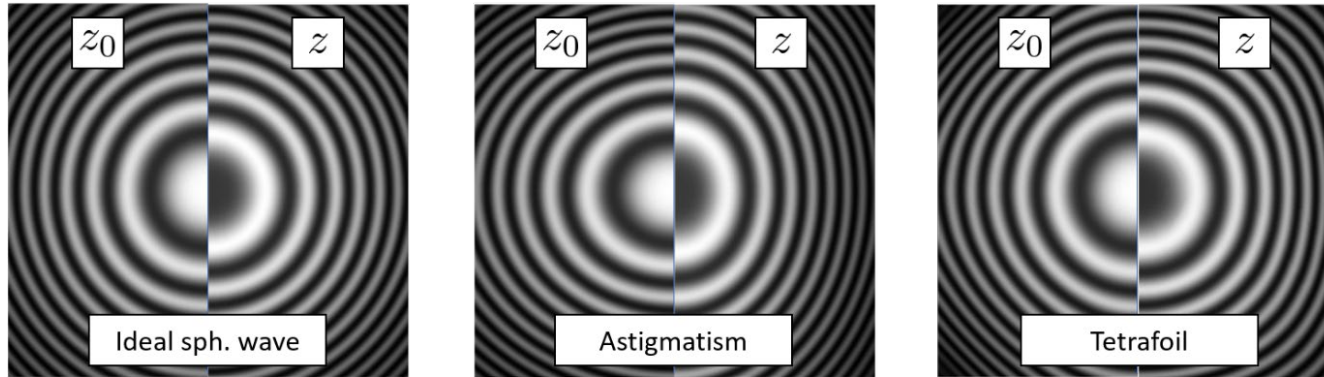
$$\mathcal{F}^{-1}$$

$$\boldsymbol{\kappa} \mapsto \boldsymbol{\rho}(\boldsymbol{\kappa}) = -\tilde{\nabla}\tilde{\phi}(\boldsymbol{\kappa})$$

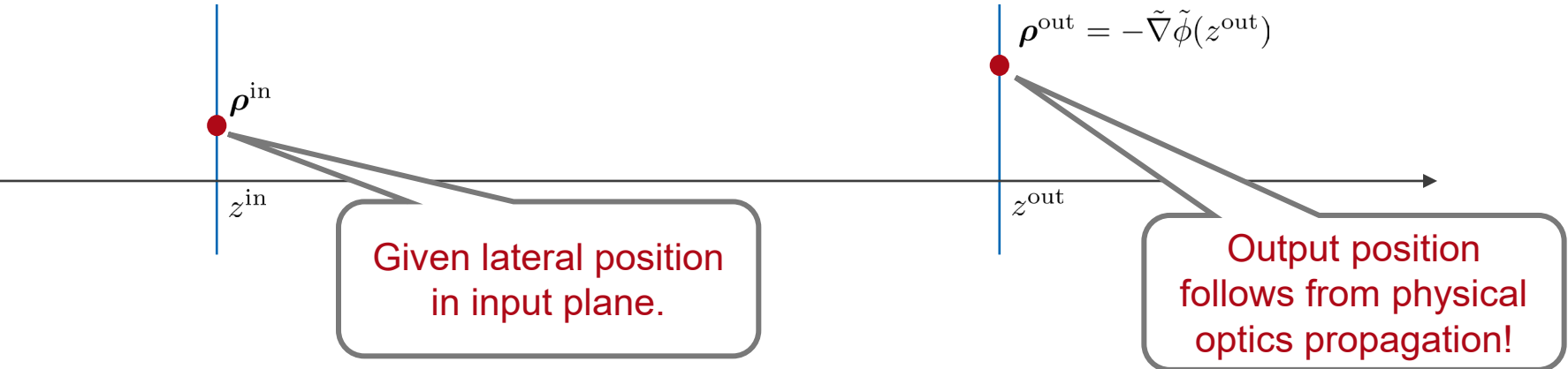
$$\tilde{V}_\ell(\boldsymbol{\kappa}, z) = \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$= \left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\beta}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

# Gouy Phase Shift

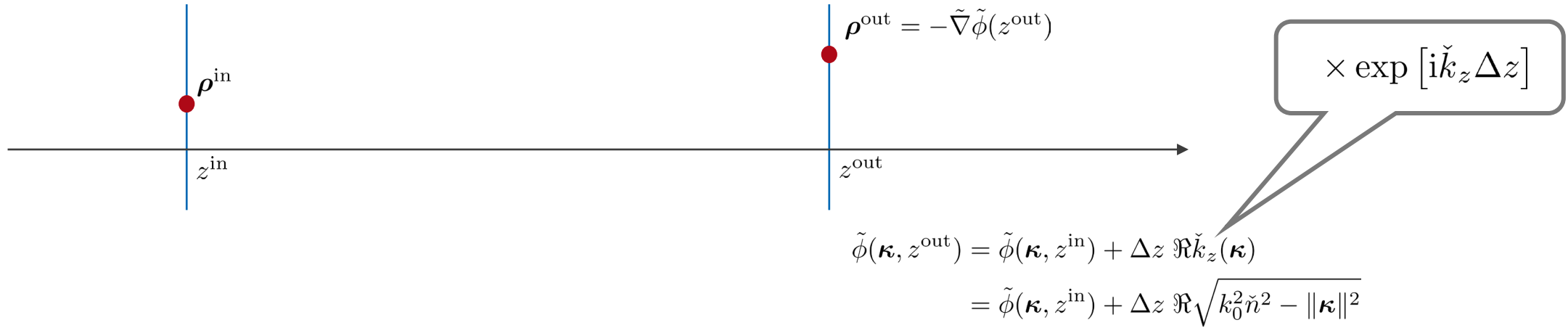


# Analysis of Coordinate Mapping





# Analysis of Coordinate Mapping



# Analysis of Coordinate Mapping



$$\rho^{\text{out}} = -\tilde{\nabla} \tilde{\phi}(z^{\text{out}})$$

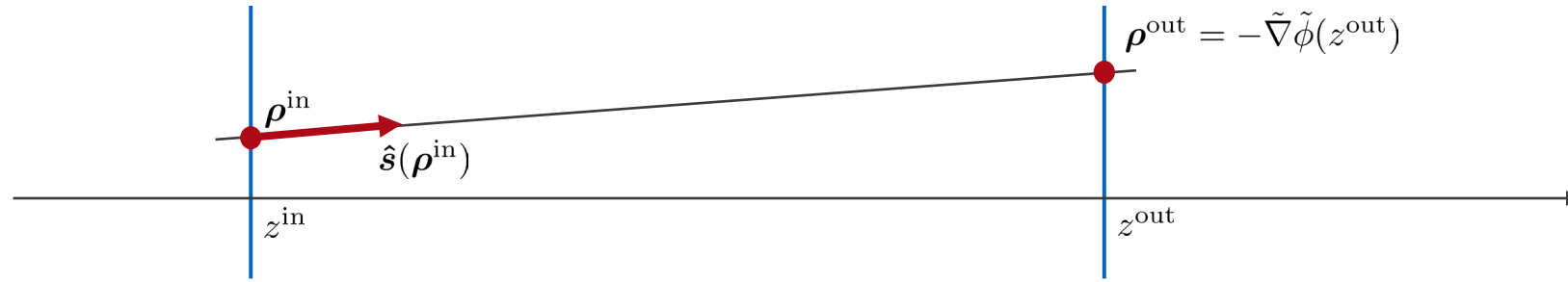
$$\begin{aligned} \tilde{\phi}(\boldsymbol{\kappa}, z^{\text{out}}) &= \tilde{\phi}(\boldsymbol{\kappa}, z^{\text{in}}) + \Delta z \Re \check{k}_z(\boldsymbol{\kappa}) \\ &= \tilde{\phi}(\boldsymbol{\kappa}, z^{\text{in}}) + \Delta z \Re \sqrt{k_0^2 \tilde{n}^2 - \|\boldsymbol{\kappa}\|^2} \end{aligned}$$



$$\begin{aligned} \rho^{\text{out}}(\boldsymbol{\kappa}) &= -(\tilde{\nabla} \tilde{\phi}(z^{\text{out}}))(\boldsymbol{\kappa}) \\ &= \rho^{\text{in}}(\boldsymbol{\kappa}) - \Delta z \Re(\tilde{\nabla} \check{k}_z)(\boldsymbol{\kappa}) \\ &= \rho^{\text{in}}(\boldsymbol{\kappa}) + \Delta z \frac{k_z(\boldsymbol{\kappa})}{k_z^2(\boldsymbol{\kappa}) + \bar{k}_z^2(\boldsymbol{\kappa})} \boldsymbol{\kappa} \end{aligned}$$

$$\check{k}_z = k_z + i \underline{k}_z$$

# Analysis of Coordinate Mapping



$$\hat{\mathbf{s}} := \frac{\mathbf{r}^{\text{out}} - \mathbf{r}^{\text{in}}}{\|\mathbf{r}^{\text{out}} - \mathbf{r}^{\text{in}}\|} = \frac{(\boldsymbol{\rho}^{\text{out}}, z^{\text{out}}) - (\boldsymbol{\rho}^{\text{in}}, z^{\text{in}})}{\|(\boldsymbol{\rho}^{\text{out}}, z^{\text{out}}) - (\boldsymbol{\rho}^{\text{in}}, z^{\text{in}})\|}$$

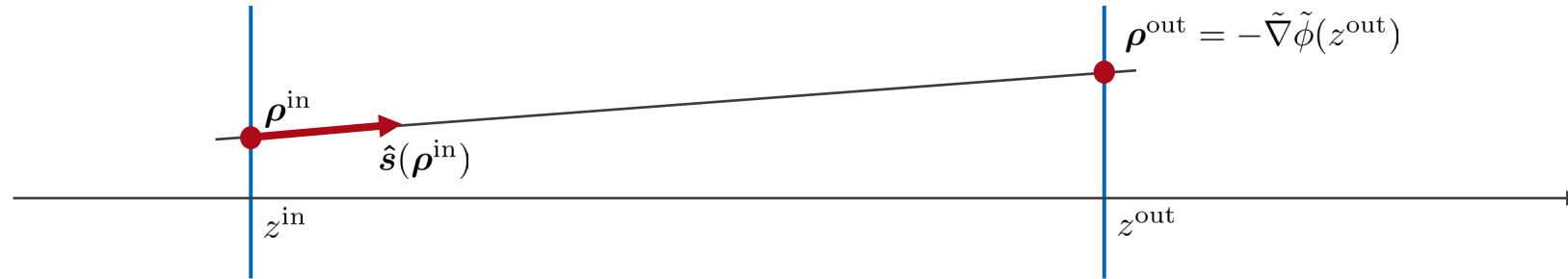
$$\begin{aligned}\tilde{\phi}(\boldsymbol{\kappa}, z^{\text{out}}) &= \tilde{\phi}(\boldsymbol{\kappa}, z^{\text{in}}) + \Delta z \Re \check{k}_z(\boldsymbol{\kappa}) \\ &= \tilde{\phi}(\boldsymbol{\kappa}, z^{\text{in}}) + \Delta z \Re \sqrt{k_0^2 \tilde{n}^2 - \|\boldsymbol{\kappa}\|^2}\end{aligned}$$



$$\begin{aligned}\boldsymbol{\rho}^{\text{out}}(\boldsymbol{\kappa}) &= -(\tilde{\nabla} \tilde{\phi}(z^{\text{out}}))(\boldsymbol{\kappa}) \\ &= \boldsymbol{\rho}^{\text{in}}(\boldsymbol{\kappa}) - \Delta z \Re(\tilde{\nabla} \check{k}_z)(\boldsymbol{\kappa}) \\ &= \boldsymbol{\rho}^{\text{in}}(\boldsymbol{\kappa}) + \Delta z \frac{k_z(\boldsymbol{\kappa})}{k_z^2(\boldsymbol{\kappa}) + \bar{k}_z^2(\boldsymbol{\kappa})} \boldsymbol{\kappa}\end{aligned}$$

$$\check{k}_z = k_z + i\underline{k}_z$$

# Analysis of Coordinate Mapping



$$\hat{\mathbf{s}} := \frac{\mathbf{r}^{\text{out}} - \mathbf{r}^{\text{in}}}{\|\mathbf{r}^{\text{out}} - \mathbf{r}^{\text{in}}\|} = \frac{(\boldsymbol{\rho}^{\text{out}}, z^{\text{out}}) - (\boldsymbol{\rho}^{\text{in}}, z^{\text{in}})}{\|(\boldsymbol{\rho}^{\text{out}}, z^{\text{out}}) - (\boldsymbol{\rho}^{\text{in}}, z^{\text{in}})\|}$$

$$\hat{\mathbf{s}}_{\perp}(\boldsymbol{\rho}, z^{\text{in}}) := \left( \frac{k_z(\boldsymbol{\kappa}) \boldsymbol{\kappa}}{\sqrt{k_z^2(\boldsymbol{\kappa}) \|\boldsymbol{\kappa}\|^2 + (k_z^2(\boldsymbol{\kappa}) + \bar{k}_z^2(\boldsymbol{\kappa}))^2}} \right) \left[ \boldsymbol{\kappa} \leftarrow (\nabla\psi(z^{\text{in}}))(\boldsymbol{\rho}) \right]$$

No or very small absorption

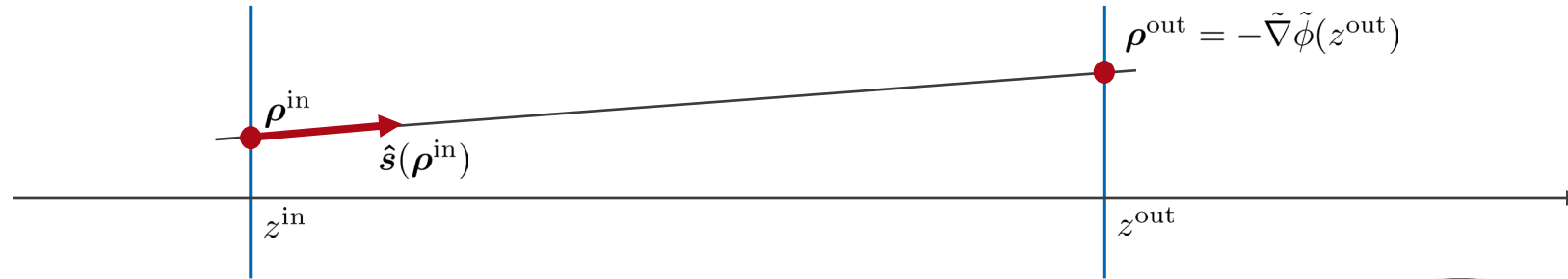
$$\hat{\mathbf{s}}_{\perp}(\boldsymbol{\rho}, z^{\text{in}}) = \frac{(\nabla\psi(z^{\text{in}}))(\boldsymbol{\rho})}{k_0 n}$$

$$\begin{aligned} \tilde{\phi}(\boldsymbol{\kappa}, z^{\text{out}}) &= \tilde{\phi}(\boldsymbol{\kappa}, z^{\text{in}}) + \Delta z \Re \check{k}_z(\boldsymbol{\kappa}) \\ &= \tilde{\phi}(\boldsymbol{\kappa}, z^{\text{in}}) + \Delta z \Re \sqrt{k_0^2 \tilde{n}^2 - \|\boldsymbol{\kappa}\|^2} \end{aligned}$$

$$\begin{aligned} \boldsymbol{\rho}^{\text{out}}(\boldsymbol{\kappa}) &= -(\tilde{\nabla}\tilde{\phi}(z^{\text{out}}))(\boldsymbol{\kappa}) \\ &= \boldsymbol{\rho}^{\text{in}}(\boldsymbol{\kappa}) - \Delta z \Re(\tilde{\nabla}\check{k}_z)(\boldsymbol{\kappa}) \\ &= \boldsymbol{\rho}^{\text{in}}(\boldsymbol{\kappa}) + \Delta z \frac{k_z(\boldsymbol{\kappa})}{k_z^2(\boldsymbol{\kappa}) + \bar{k}_z^2(\boldsymbol{\kappa})} \boldsymbol{\kappa} \end{aligned}$$

$$\check{k}_z = k_z + i\bar{k}_z$$

# Analysis of Coordinate Mapping

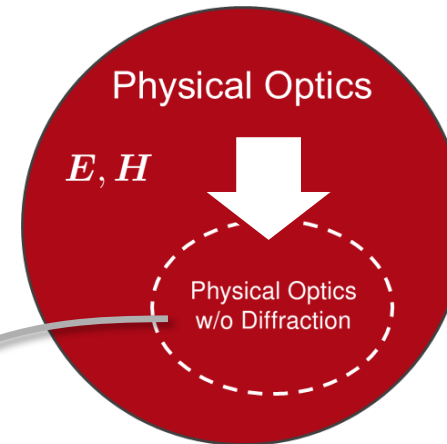


$$\hat{\mathbf{s}} := \frac{\mathbf{r}^{\text{out}} - \mathbf{r}^{\text{in}}}{\|\mathbf{r}^{\text{out}} - \mathbf{r}^{\text{in}}\|} = \frac{(\boldsymbol{\rho}^{\text{out}}, z^{\text{out}}) - (\boldsymbol{\rho}^{\text{in}}, z^{\text{in}})}{\|(\boldsymbol{\rho}^{\text{out}}, z^{\text{out}}) - (\boldsymbol{\rho}^{\text{in}}, z^{\text{in}})\|}$$

$$\hat{\mathbf{s}}_{\perp}(\boldsymbol{\rho}, z^{\text{in}}) := \left( \frac{k_z(\boldsymbol{\kappa}) \boldsymbol{\kappa}}{\sqrt{k_z^2(\boldsymbol{\kappa}) \|\boldsymbol{\kappa}\|^2 + (k_z^2(\boldsymbol{\kappa}) + \underline{k}_z^2(\boldsymbol{\kappa}))^2}} \right) \left[ \boldsymbol{\kappa} \leftarrow (\nabla\psi(z^{\text{in}}))(\boldsymbol{\rho}) \right]$$

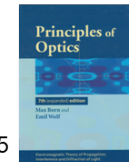
↓ No or very small absorption

$$\hat{\mathbf{s}}_{\perp}(\boldsymbol{\rho}, z^{\text{in}}) := \frac{(\nabla\psi(z^{\text{in}}))(\boldsymbol{\rho})}{k_0 n}$$



We need to identify that part of physical optics, which deals with the “**geometrical laws relating to the propagation of the 'amplitude vectors'  $E$  and  $H$ .**”

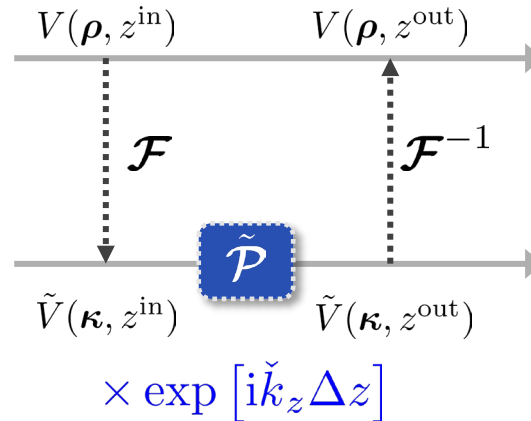
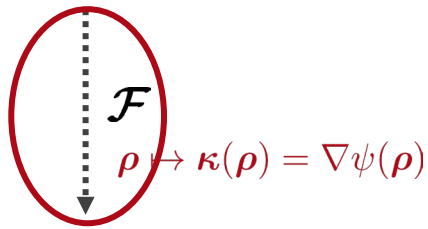
Citation  
Page 125



# Pointwise Approximation of Fourier Transform

$$V_\ell(\boldsymbol{\rho}, z) = U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)]$$



$$\tilde{V}_\ell(\boldsymbol{\kappa}, z) = \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$= \left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\beta}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$\tilde{A}_\ell(\boldsymbol{\kappa}, z) = \sqrt{|\mathbf{J}_\rho(\boldsymbol{\kappa})|} \left( U_\ell(\boldsymbol{\rho}, z) \sigma(\boldsymbol{\rho}, z) \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

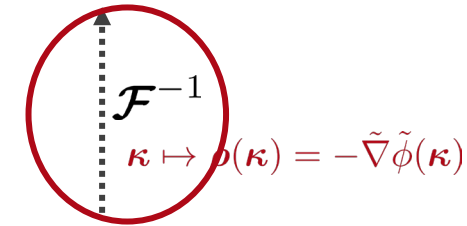
$$\tilde{\phi}(\boldsymbol{\kappa}, z) = \left( \phi(\boldsymbol{\rho}, z) - \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\rho} \leftarrow \boldsymbol{\rho}(\boldsymbol{\kappa})]$$

$$V_\ell(\boldsymbol{\rho}, z) = U_\ell(\boldsymbol{\rho}, z) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$= \left( |V_\ell(\boldsymbol{\rho}, z)| \exp [i\alpha_\ell(\boldsymbol{\rho}, z)] \right) \exp [i\psi(\boldsymbol{\rho}, z)]$$

$$U_\ell(\boldsymbol{\rho}, z) = \sqrt{|\mathbf{J}_\kappa(\boldsymbol{\rho})|} \left( \tilde{A}_\ell(\boldsymbol{\kappa}, z) \tilde{\sigma}(\boldsymbol{\kappa}, z) \right) [\boldsymbol{\kappa} \leftarrow \boldsymbol{\kappa}(\boldsymbol{\rho})]$$

$$\psi(\boldsymbol{\rho}, z) = \left( \tilde{\phi}(\boldsymbol{\kappa}, z) + \boldsymbol{\kappa} \cdot \boldsymbol{\rho} \right) [\boldsymbol{\kappa} \leftarrow \boldsymbol{\kappa}(\boldsymbol{\rho})]$$

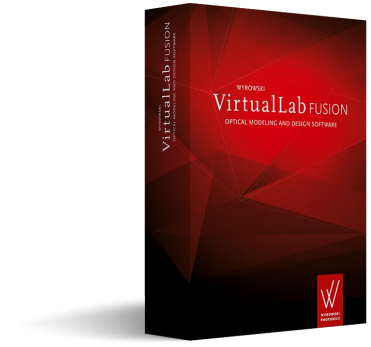
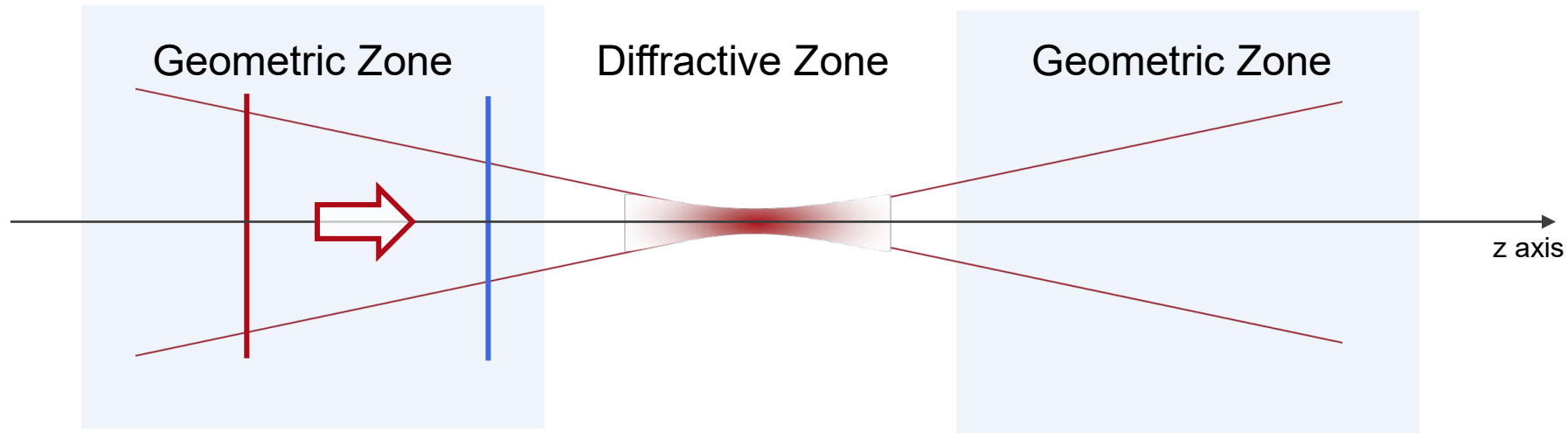


$$\tilde{V}_\ell(\boldsymbol{\kappa}, z) = \tilde{A}_\ell(\boldsymbol{\kappa}, z) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

$$\left( |\tilde{V}_\ell(\boldsymbol{\kappa}, z)| \exp [i\tilde{\beta}_\ell(\boldsymbol{\kappa}, z)] \right) \exp [i\tilde{\phi}(\boldsymbol{\kappa}, z)]$$

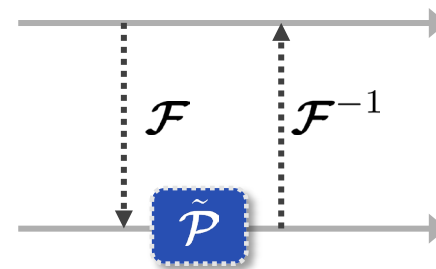
Seamless inclusion of diffraction by replacing one or both PFT by FT!

# Propagation Scenarios and Selection of Fourier Transform



Geometric Propagation

- Forward FFT
- Forward SFT
- Forward PFT
- Inverse FFT
- Inverse SFT
- Inverse PFT

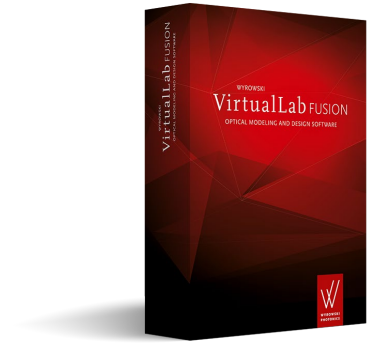
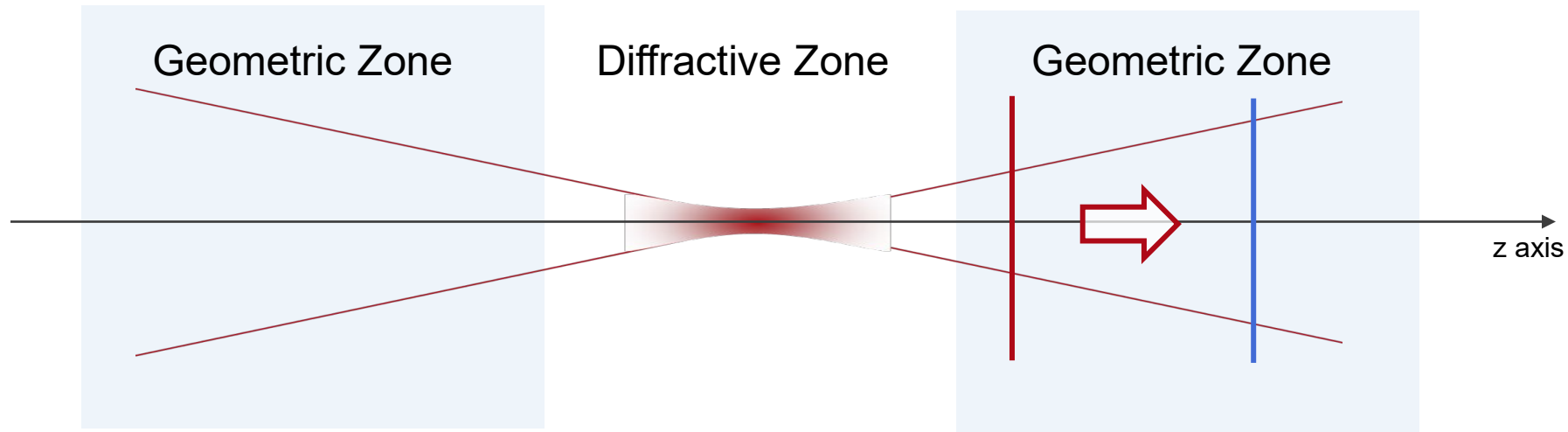


2019



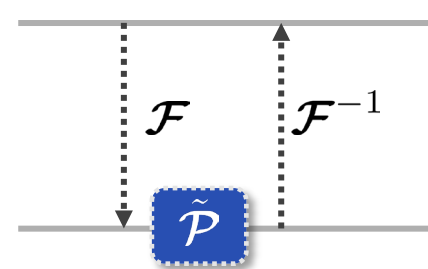
**Isolating the Gouy phase shift in a full physical-optics solution to the propagation problem**  
 OLGA BALADRON-ZORITA,<sup>1,2,\*</sup> ZONGZHAO WANG,<sup>1,2</sup> CHRISTIAN HELLMANN,<sup>2,3</sup> AND FRANK WYROWSKI<sup>1</sup>

# Propagation Scenarios and Selection of Fourier Transform



Geometric Propagation

- Forward FFT
- Forward SFT
- Forward PFT
- Inverse FFT
- Inverse SFT
- Inverse PFT



2019

Research Article Vol. 36, No. 9 / September 2019 / Journal of the Optical Society of America A 1551

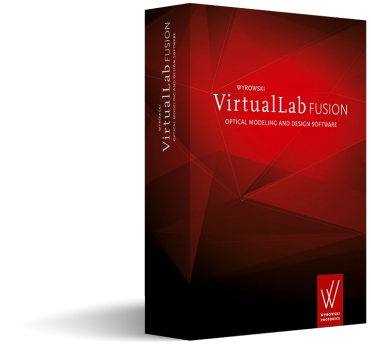
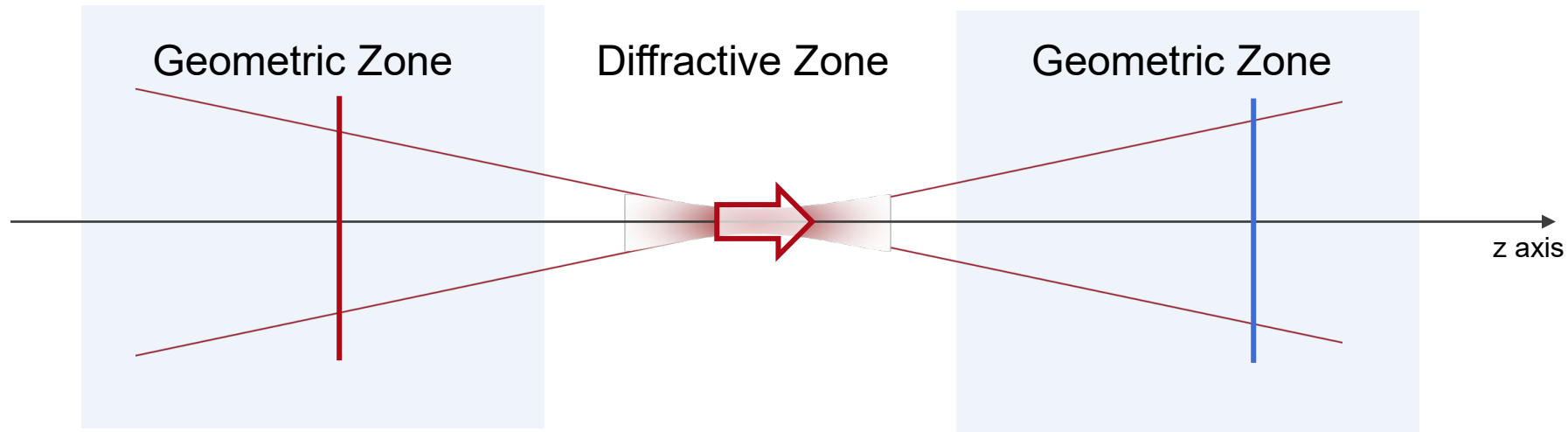
Journal of the Optical Society of America **A** OPTICS, IMAGE SCIENCE, AND VISION

**Isolating the Gouy phase shift in a full physical-optics solution to the propagation problem**

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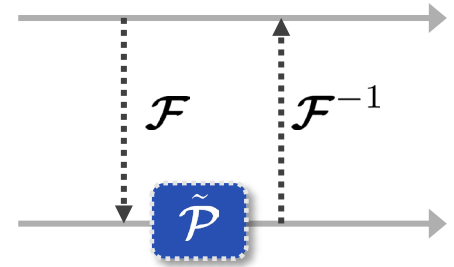


# Propagation Scenarios and Selection of Fourier Transform



Geometric Propagation

- Forward FFT
- Forward SFT
- Forward PFT
- Inverse FFT
- Inverse SFT
- Inverse PFT



2019

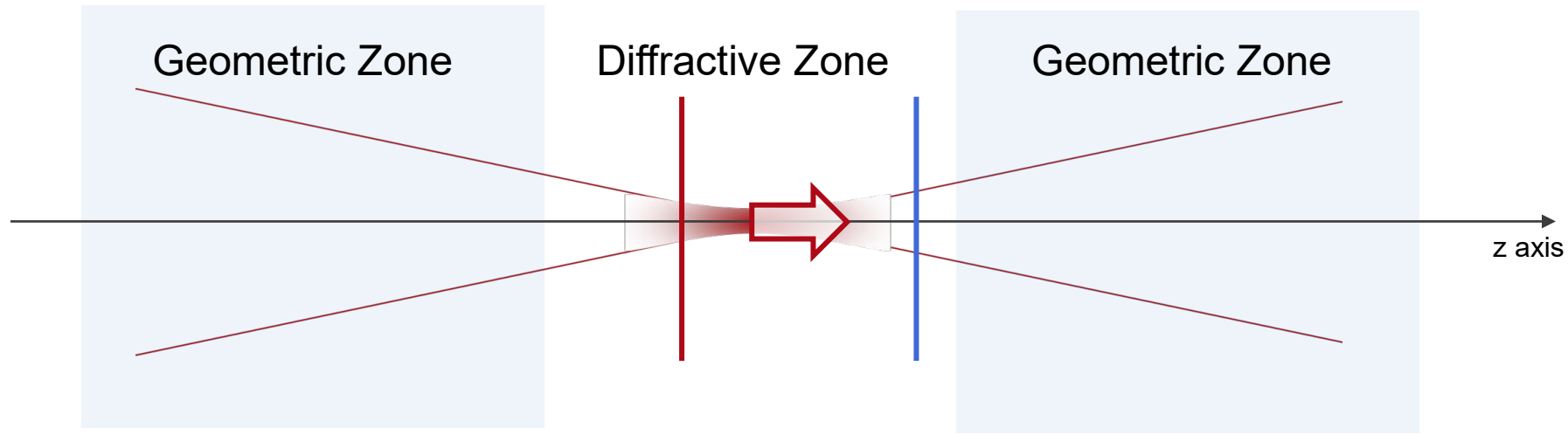
Research Article      Vol. 36, No. 9 / September 2019 / Journal of the Optical Society of America A      1551

Journal of the Optical Society of America **A**      OPTICS, IMAGE SCIENCE, AND VISION

**Isolating the Gouy phase shift in a full physical-optics solution to the propagation problem**

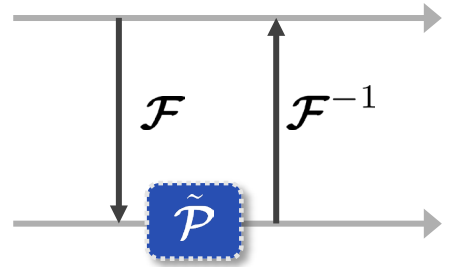
OLGA BALADRON-ZORITA,<sup>1,2,\*</sup> ZONGZHAO WANG,<sup>1,2</sup> CHRISTIAN HELLMANN,<sup>2,3</sup> AND FRANK WYROWSKI<sup>1</sup>

# Propagation Scenarios and Selection of Fourier Transform



Fast equivalent to  
Rayleigh-Sommerfeld  
integral

- Forward FFT
- Forward SFT
- Forward PFT
- Inverse FFT
- Inverse SFT
- Inverse PFT



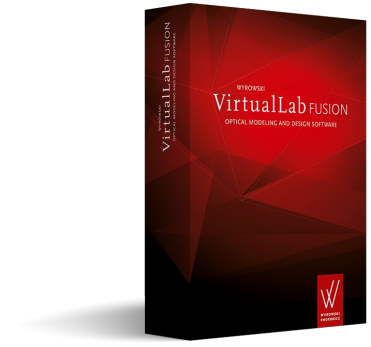
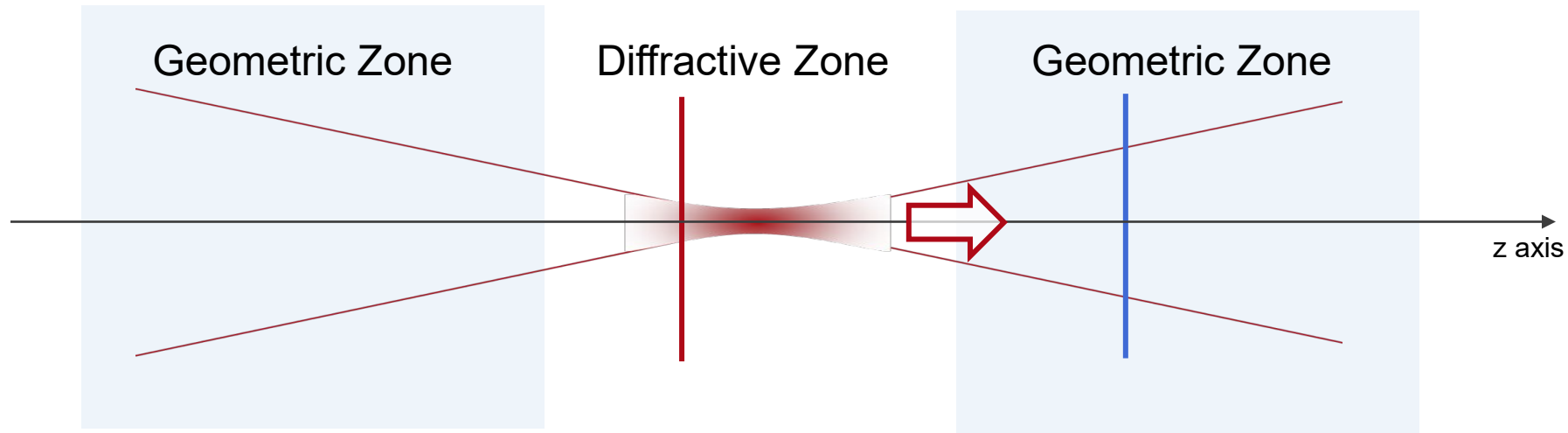
2019

Research Article Vol. 27, No. 11 | 27 May 2019 | OPTICS EXPRESS 15335  
Optics EXPRESS

Application of the semi-analytical Fourier transform to electromagnetic modeling

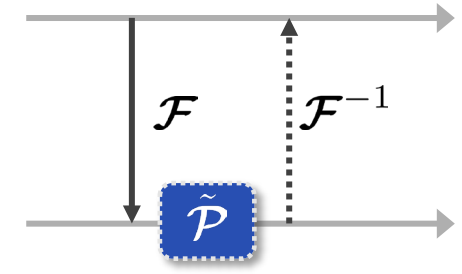
ZONGZHAO WANG,<sup>1,2</sup> SITE ZHANG,<sup>1,2</sup> OLGA BALADRON-ZORITA,<sup>1,2</sup> CHRISTIAN HELLMANN,<sup>3</sup> AND FRANK WYROWSKI<sup>1</sup>

# Propagation Scenarios and Selection of Fourier Transform



Generalized Far-Field integral

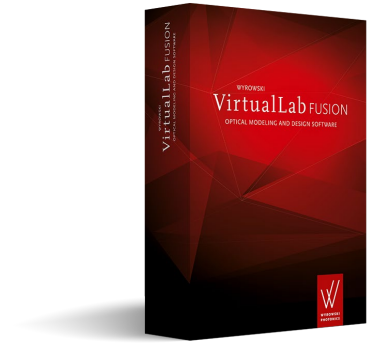
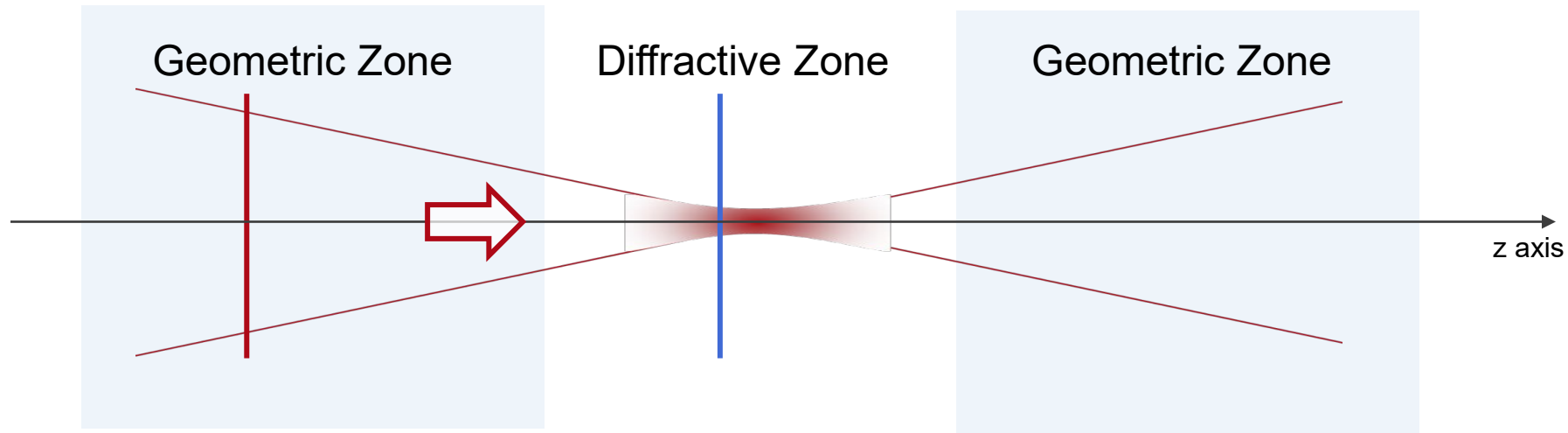
- Forward FFT
- Forward SFT
- Forward PFT
- Inverse FFT
- Inverse SFT
- Inverse PFT



Generalized far-field integral

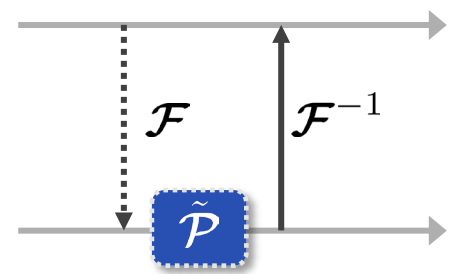
ZONGZHAO WANG,<sup>1,2,\*</sup> OLGA BALADRON-ZORITA,<sup>1,2</sup> CHRISTIAN HELLMANN,<sup>3</sup> AND FRANK WYROWSKI<sup>1</sup>

# Propagation Scenarios and Selection of Fourier Transform



Generalized Debye integral

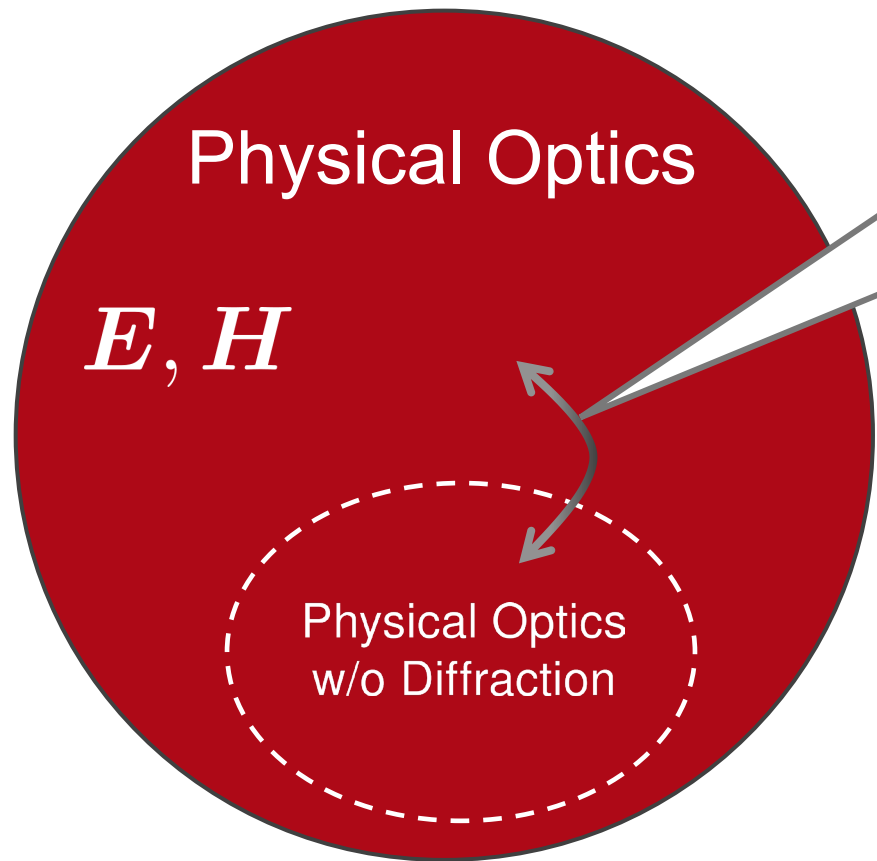
- Forward FFT
- Forward SFT
- Forward PFT
- Inverse FFT
- Inverse SFT
- Inverse PFT



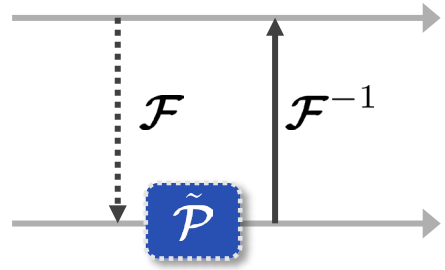
## Generalized Debye integral

ZONGZHAO WANG,<sup>1,2,\*</sup> OLGA BALADRON-ZORITA,<sup>1,2</sup> CHRISTIAN HELLMANN,<sup>3</sup> AND FRANK WYROWSKI<sup>1</sup>

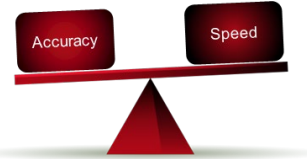
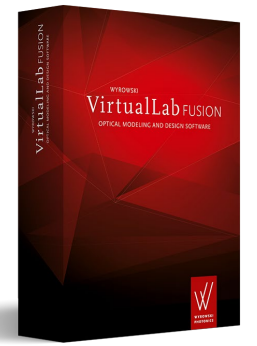
# Geometrical Optics for Electromagnetic Fields



Enables the seamless inclusion of diffraction effects within a physical optics simulation framework.

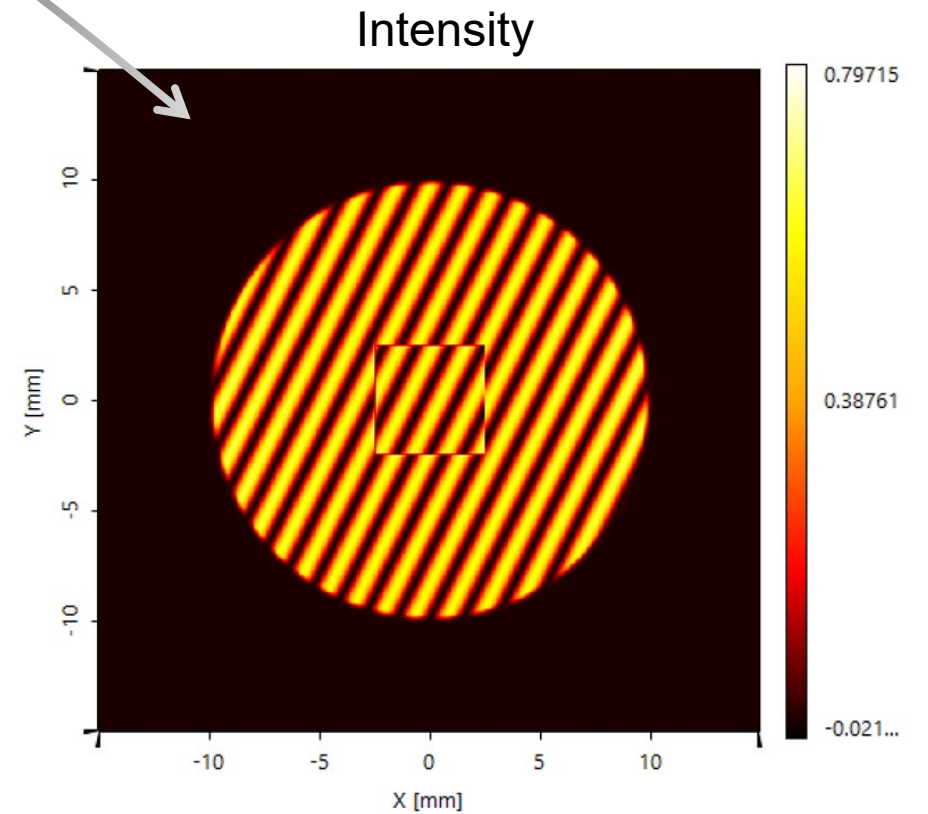
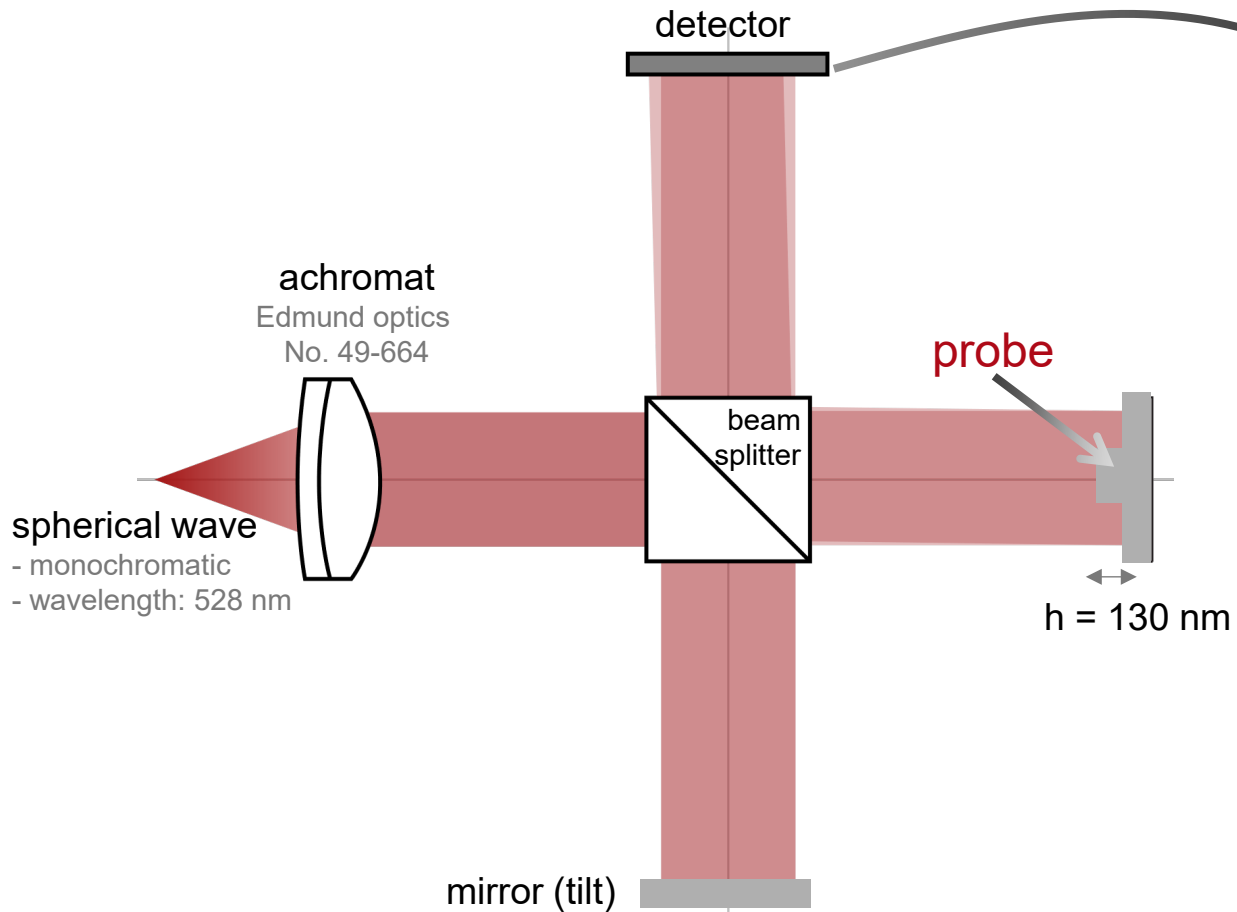


Seamless control by manual or automatic selection of Fourier transform algorithms!

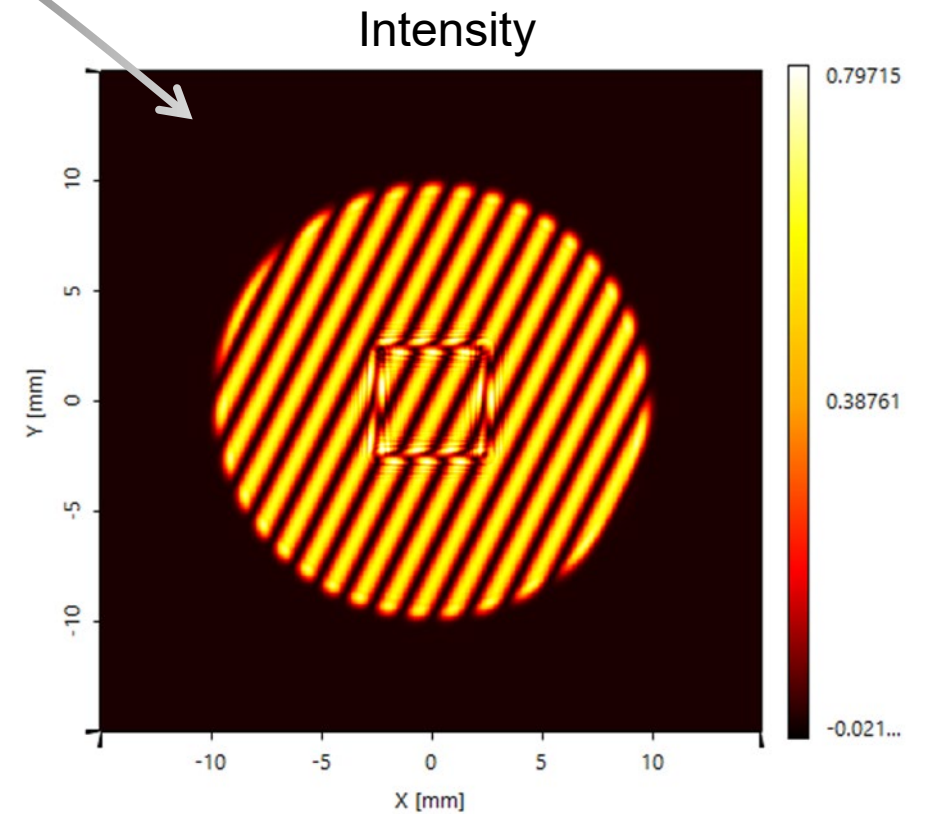
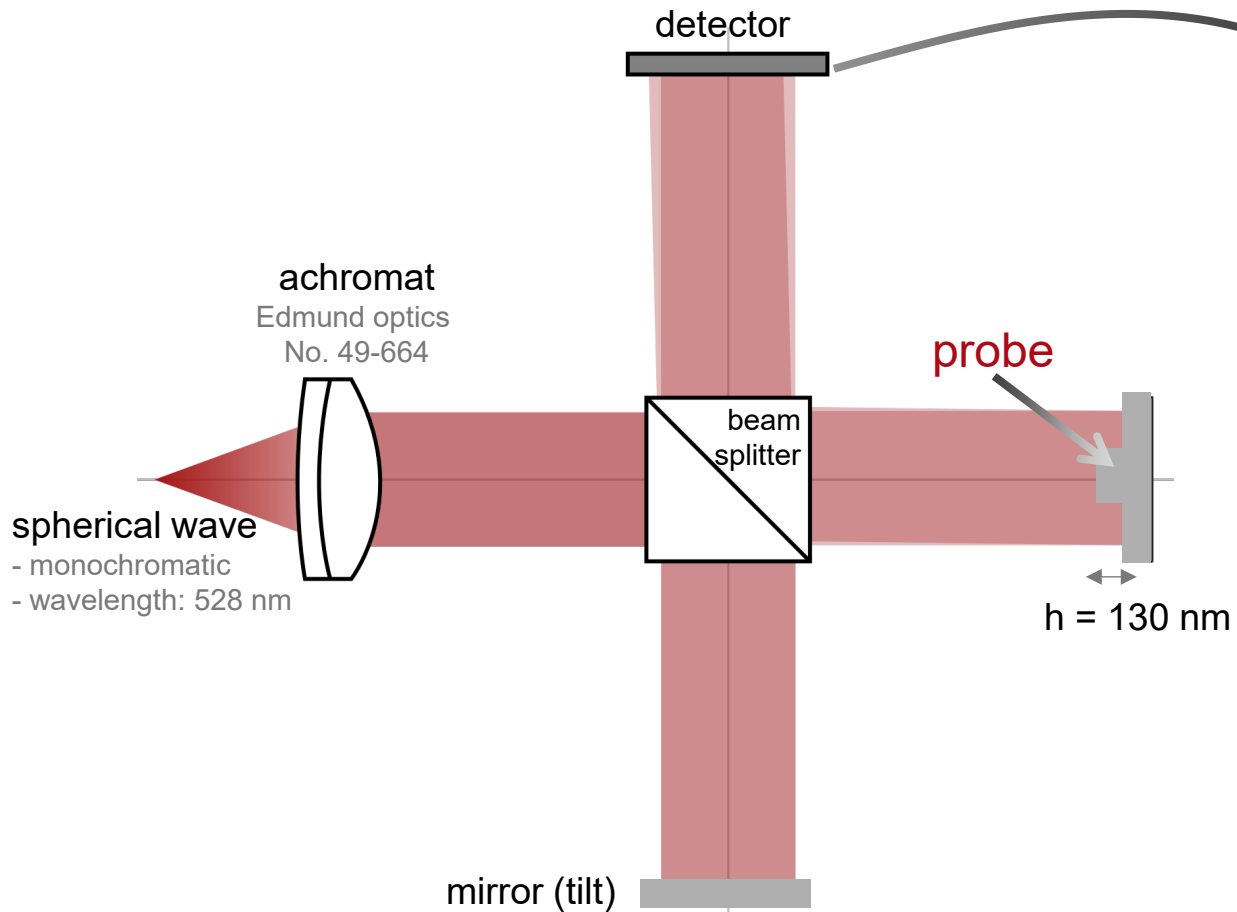


Control of accuracy-speed balance

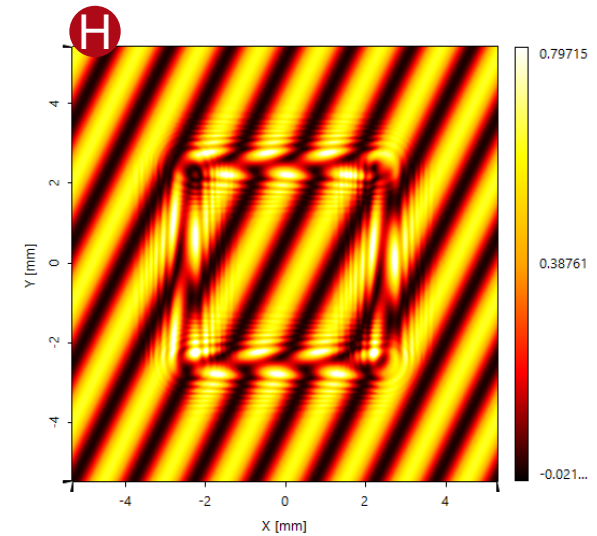
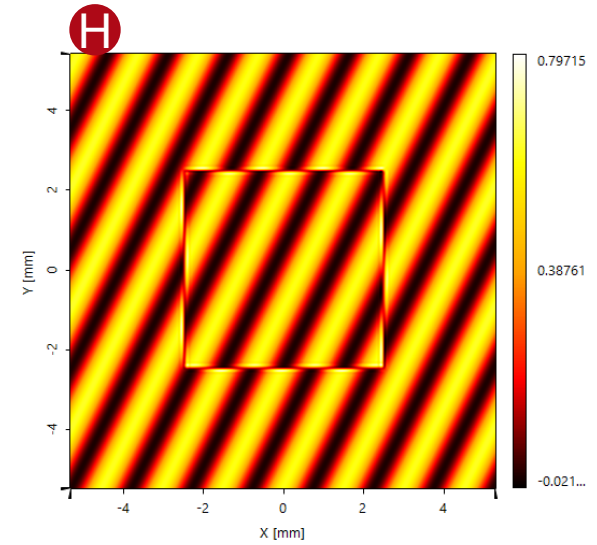
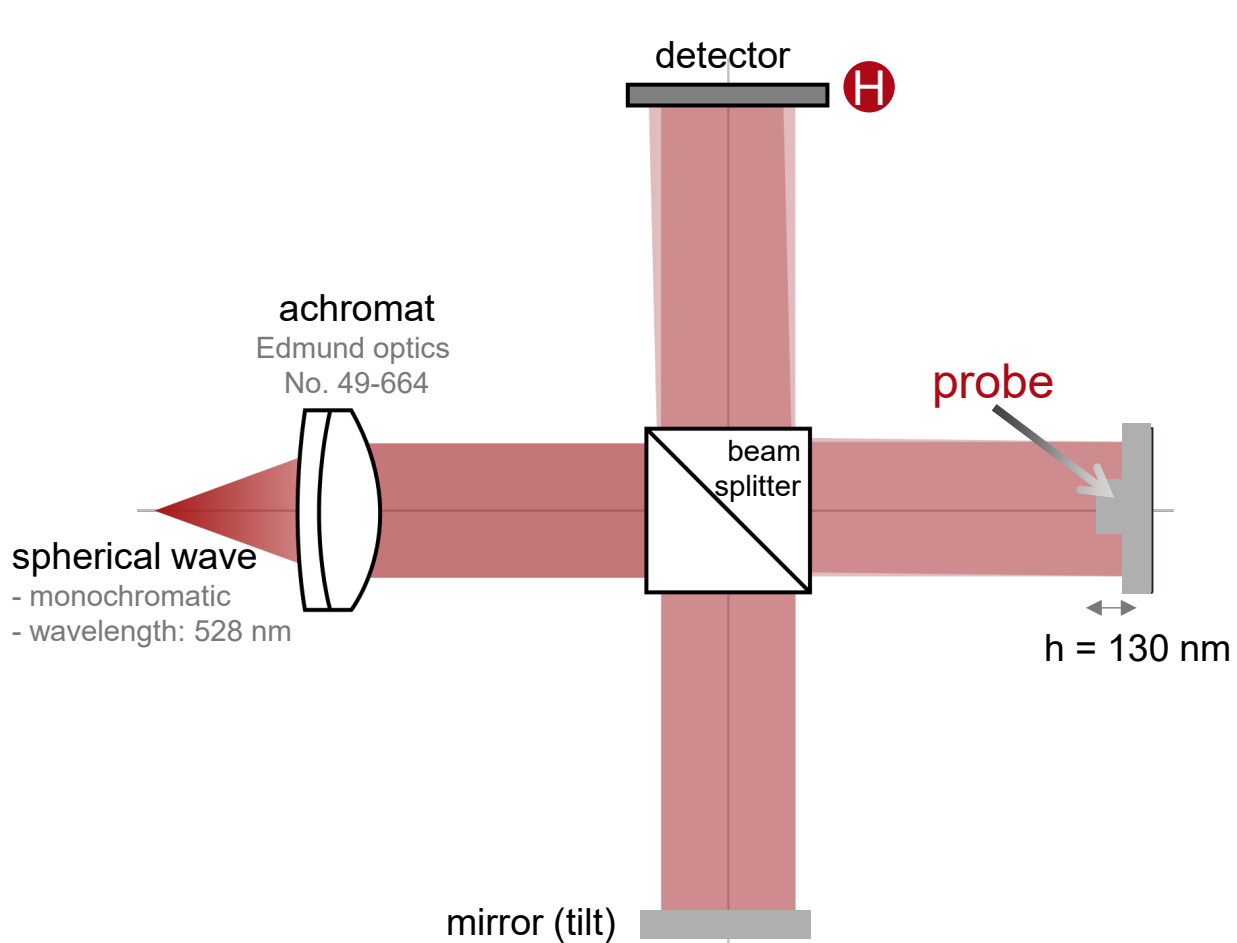
# Interferometer Modeling: Pointwise FT Algorithm Only



# Interferometer Modeling: Automatic Selection of FT Algorithms

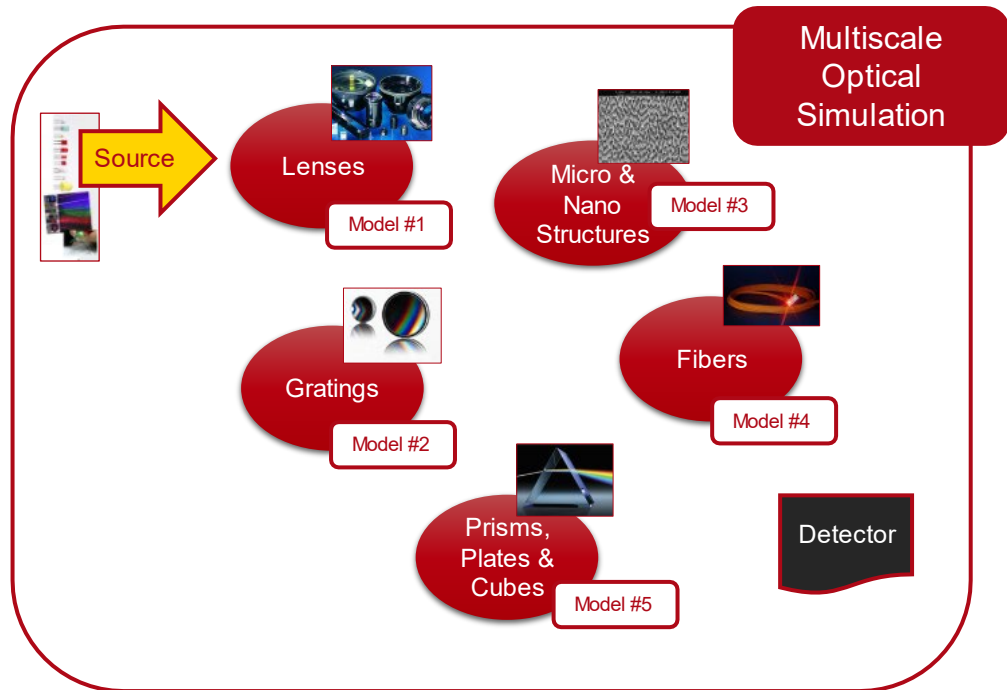


# Seamless Inclusion of Diffraction Effects

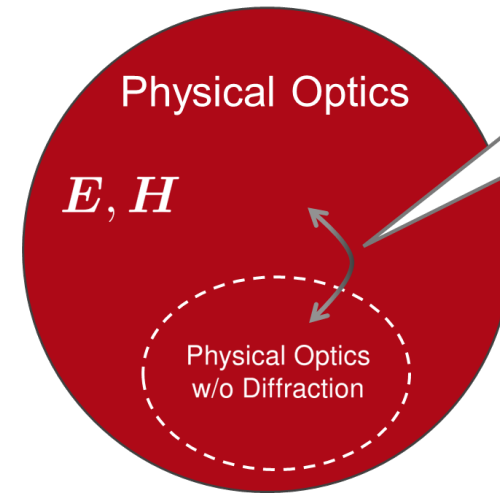
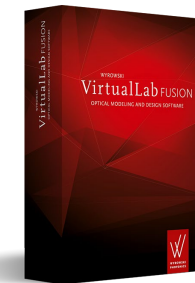




# Megatrend Multiscale Optical Simulation and Design



Requires generalization of geometrical optics in theory and practice!



Enables the seamless inclusion of diffraction effects within a physical optics simulation framework.

We need to identify that part of physical optics, which deals with the “*geometrical laws relating to the propagation of the 'amplitude vectors' E and H.*”

Citation  
Page 125

